



# Mechanism of Ag<sub>3</sub>Sn grain growth in Ag/Sn transient liquid phase soldering



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Received 6 January 2016; accepted 26 April 2016

**Abstract:** Transient liquid phase (TLP) bonding is a potential high-temperature (HT) electron packaging technology that is used in the interconnection of wide band-gap semiconductors. This study focused on the mechanism of intermetallic compounds (IMCs) evolution in Ag/Sn TLP soldering at different temperatures. Experimental results indicated that morphologies of Ag<sub>3</sub>Sn grains mainly were scallop-type, and some other shapes such as prism, needle, hollow column, sheet and wire of Ag<sub>3</sub>Sn grains were also observed, which was resulted from their anisotropic growths. However, the scallop-type Ag<sub>3</sub>Sn layer turned into more planar with prolonging soldering time, due to grain coarsening and anisotropic mass flow of Ag atoms from substrate. Furthermore, a great amount of nano-Ag<sub>3</sub>Sn particles were found on the surfaces of Ag<sub>3</sub>Sn grains, which were formed in Ag-rich areas of the molten Sn and adsorbed by the Ag<sub>3</sub>Sn grains during solidification process. Growth kinetics of the Ag<sub>3</sub>Sn IMCs in TLP soldering followed a parabolic relationship with soldering time, and the growth rate constants of 250, 280 and 320 °C were calculated as  $5.83 \times 10^{-15}$  m<sup>2</sup>/s,  $7.83 \times 10^{-15}$  m<sup>2</sup>/s and  $2.83 \times 10^{-14}$  m<sup>2</sup>/s, respectively. Accordingly, the activation energy of the reaction was estimated about 58.89 kJ/mol.

**Key words:** transient liquid phase soldering; Ag<sub>3</sub>Sn; morphologies of grains; growth kinetics; activation energy

## 1 Introduction

Wide band-gap semiconductors, including silicon carbide (SiC) and gallium nitride (GaN), are commonly considered as promising electronic materials that are used in high-temperature (HT) applications, such as aerospace, automotive, deep-well drilling and energy production industry [1–3]. This is attributed to their high operation temperature, for example, SiC power devices have been demonstrated to work at 600 °C [3]. However, lack of qualified HT packaging technologies limits the development and market growth of the wide band-gap semiconductors. In recent years, transient liquid phase (TLP) bonding has been concerned and proven to be a superior candidate, since TLP-bonded joints fully consist of intermetallic compounds (IMCs) and have excellent thermal reliability. For example,  $\epsilon$  phase (Ag<sub>3</sub>Sn) in Ag–Sn binary system can withstand operation temperature approximately 480 °C according to the phase diagram. Nevertheless, growth process of the

IMCs probably affects the mechanical integrity of the TLP-bonded joint, for example, voids were observed between Ag<sub>3</sub>Sn grain boundaries during Ag/Sn/Ag TLP bonding [4]. Furthermore, the large IMCs are very brittle [5], which may lead to serious problems under stressed conditions in operation. As a matter of fact, both of them depend on the morphology of the IMCs grains to some extent. Therefore, it is quite deserved to research mechanism of the IMCs growth in TLP bonding.

Evolution and morphologies of the IMCs between Sn-based solder and Cu, Ag and Ni substrates during conventional soldering have been extensively studied for many years [6–16]. It is reported that many factors affect the growth of the IMCs. Reactions apparently differ with each other under different soldering temperatures, especially for solid- and liquid-state conditions [6]. The Sn-based solders with different compositions also affect the morphologies of the IMCs [7]. Additions of nano-particles such as nano-TiO<sub>2</sub> [8], nano-Al<sub>2</sub>O<sub>3</sub> [9] and nano-ZrO<sub>2</sub> [10], or other elements like Cr [11], Ni [12] and RE [13] to prepare composite solders are

investigated popularly, and different growth processes of the IMCs are obtained. In addition, effects of the other factors like cooling rate [14], soldering time [15] and crystal orientation [16] on morphologies of the IMCs are also reported.

In this work, silver is chosen for the substrate due to its superior physical properties of ductility, high electrical and thermal conductivity, as well as excellent oxidation resistance [17]. However, there are few reports on the morphologies and growth kinetics of  $\text{Ag}_3\text{Sn}$  grains between Sn-based solders and silver substrate [18–20], especially for the pure Sn with plating Ag during TLP soldering. Moreover, it was found that preparation of the substrate had a significant effect on the growth kinetics of the IMCs [21]. Therefore, understanding more about TLP reaction for the electroplated Ag with pure Sn is very important. In the present work, the major objective is concerned about the morphologies and growth kinetics of the IMCs formed in Ag/Sn TLP soldering. Based on soldering temperatures ranging from 250 °C to 320 °C for different time, growth mechanism of the  $\text{Ag}_3\text{Sn}$  grains and adsorption process of the nano- $\text{Ag}_3\text{Sn}$  particles were deeply discussed.

## 2 Experimental

### 2.1 Preparation of samples

Polished pure Cu (99.9%) rod with dimensions of  $\phi 10 \text{ mm} \times 5.5 \text{ mm}$  was successively electroplated with 2  $\mu\text{m}$ -thick Ni layer as barrier layer and 35  $\mu\text{m}$ -thick Ag layer as substrate, followed by washing in 5% nitric acid with an ultrasonic bath for 5 min, and then rinsed with deionized water and anhydrous alcohol. Meanwhile, commercial pure Sn foil (99.9%) with thickness of 50  $\mu\text{m}$  was used as interlayer, and cut to wafer with diameter of 10 mm, and subsequently cleaned in acetone and anhydrous alcohol. After that, Sn foil was placed on the surface of plating Ag layer, and isothermally heated in a vacuum furnace with vacuum degree of lower than  $2 \times 10^{-3} \text{ Pa}$ . Soldering process was conducted at temperatures of 250, 280 and 320 °C for various time ranging from 30 to 300 min, and the soldered samples were naturally cooled to room temperature.

The samples used to observe morphologies of the IMCs on top-view were deeply etched for 2–3 h by a solution of 93%  $\text{CH}_3\text{OH}$ , 5%  $\text{HNO}_3$  and 2%  $\text{HCl}$  (volume fraction) to resolve away the residual Sn. Other TLP joints were mounted and polished to study evolution process of the IMCs. In addition, morphology analysis was carried out using a scanning electron microscope (SEM), and energy dispersive spectroscopy (EDS) was also utilized to identify compositions of the marked zones.

### 2.2 Measurement of $\text{Ag}_3\text{Sn}$ grain size

In order to improve the accuracy, two methods were adopted to measure the radius of  $\text{Ag}_3\text{Sn}$  grains. The first way was classical linear intercept method referred to GB 6394–2002, and grain size was calculated by the following expression:

$$\bar{R} = \frac{\bar{L}}{2} = \frac{L_0}{2Ns_p} \quad (1)$$

where  $\bar{R}$  is the average radius of  $\text{Ag}_3\text{Sn}$  grains for one condition,  $\bar{L}$  is the average intercept,  $L_0$  is the length of the calculated line,  $N$  is the number of the knots,  $s_p$  is the plotting scale of the SEM image.

Another way was statistic method introduced in the following. Firstly, the top-view SEM image of the IMCs for one condition was processed to extract grain boundaries with a special software, as shown in Fig. 1. Secondly, Image Pro Plus 6.0 was utilized to calculate the radius of every  $\text{Ag}_3\text{Sn}$  grain. Thirdly, frequencies of the values of grain size in different ranges were satisfied and fitted by Gauss function:

$$y = y_0 + \frac{A}{w\sqrt{\pi/2}} \exp \left[ -2 \frac{(x - R_C)^2}{w^2} \right] \quad (2)$$

where  $R_C$  is the calculated radius of the  $\text{Ag}_3\text{Sn}$  grains. According to Eq. (2), grain size for  $\text{Ag}_3\text{Sn}$  could be obtained. Several SEM images for one condition were processed and used to calculate corresponding grain radius, and these values were averaged as the final result.

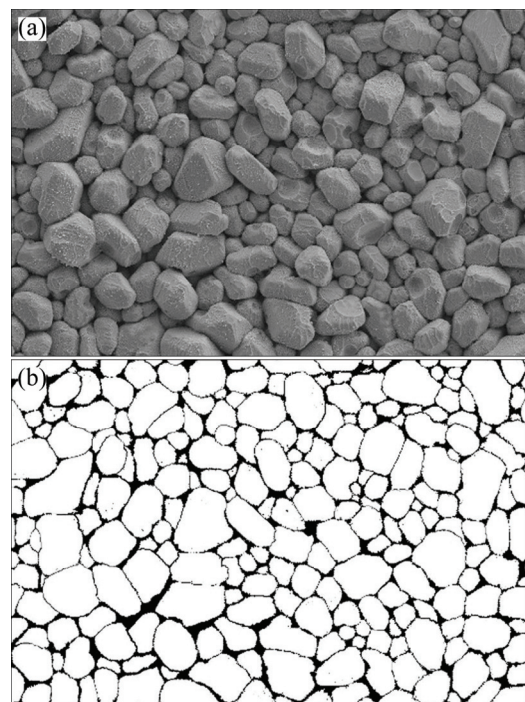


Fig. 1 Images before (a) and after (b) extraction for  $\text{Ag}_3\text{Sn}$  grain boundaries



### 3 Results

#### 3.1 Cross-sections of Ag/Sn TLP joints

Interfacial microstructures of the Ag/Sn TLP joints soldered at 280 °C for different time were evaluated using SEM, and the residual Sn was mildly etched for about 30 s with corrosion solution before examination. As shown in Fig. 2, scallop-type  $\text{Ag}_3\text{Sn}$  grains were observed on the substrate after soldering for 30 min (Fig. 2(a)), and groove-like structure was made up by two neighboring grains, which was so-called molten channel for the diffusion of Ag atoms from substrate [19,22]. In the study of ripening process of scalloped  $\text{Cu}_6\text{Sn}_5$ , KIM and TU [23] proposed that the selective reaction of Cu with Sn and expelling of the nonreactive Pb, Bi or Ag into the molten solders resulted in formation of the scalloped shape of the IMCs. However, in our work, we considered that grain boundary grooving and anisotropic mass flow were the dominant mechanism for that according to Ghosh's study [24]. Thickness of the  $\text{Ag}_3\text{Sn}$  layer increased with prolonging soldering time, while the number of grains in unit area reduced due to grain coarsening. The scalloped morphology was still stayed with continuing reaction, but curvature of the  $\text{Ag}_3\text{Sn}$  grains gradually decreased, especially for that of 90 min (Fig. 2(c)) and 150 min (Fig. 2(d)). This revealed that  $\text{Ag}_3\text{Sn}$  scallops would turn into more planar with soldering time, which may be attributed to grain coarsening and anisotropic mass flow of the Ag atoms.

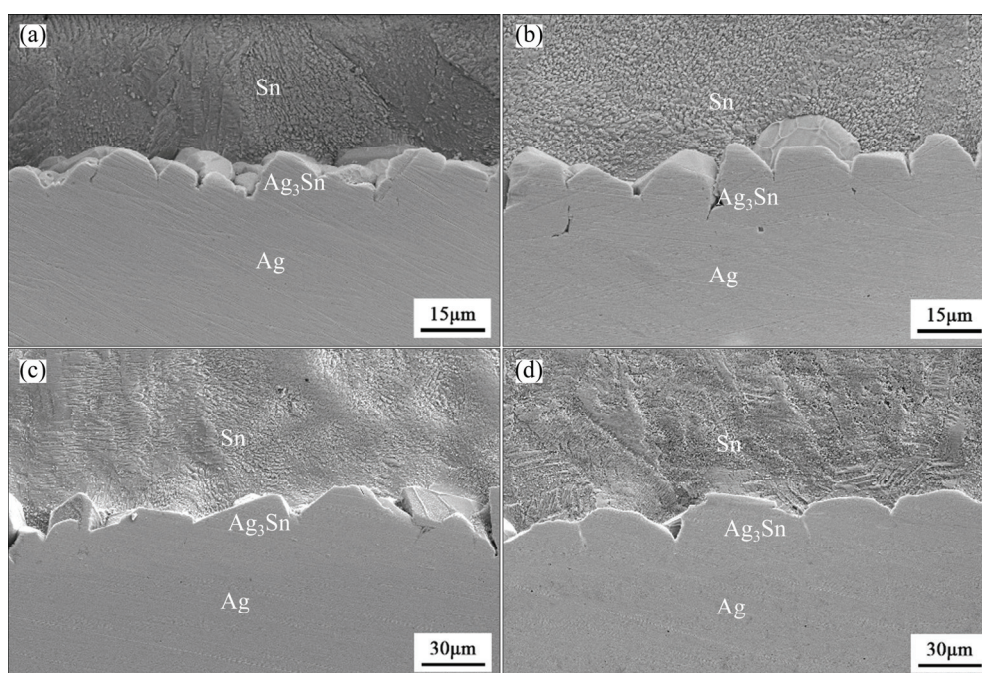
#### 3.2 Morphologies of $\text{Ag}_3\text{Sn}$ grains

Figure 3 showed top-view SEM images of the

$\text{Ag}_3\text{Sn}$  grains formed on plating Ag surface after reaction with Sn at 250 °C. It could be clearly seen that the morphology of  $\text{Ag}_3\text{Sn}$  grains mainly was scalloped, which was similar to the  $\text{Cu}_6\text{Sn}_5$  scallops formed on polycrystalline Cu surface that reacted with molten Sn–Ag–Cu solder [18]. Grain size increased with the increasing soldering time, and differed with each other though under one condition, which related to different growth velocities of the IMCs grains in different areas. In addition, abundant nano-particles were observed on the surface of the  $\text{Ag}_3\text{Sn}$  scallops for 30 min (Fig. 3(a)) and 150 min (Fig. 3(d)), this phenomenon also occurred in Sn–Ag/Cu or Sn–Ag–Cu/Cu system soldering [25–27], all of them had similar shapes and scales but the latter was formed on the Cu–Sn IMCs.

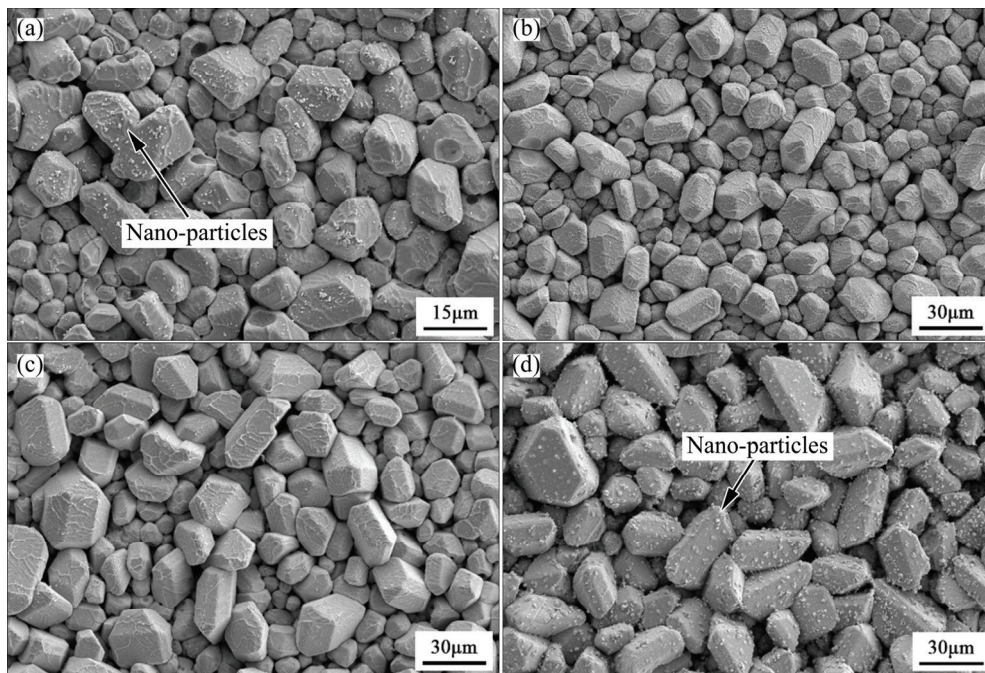
Figure 4 illustrated morphologies of the  $\text{Ag}_3\text{Sn}$  grains formed on the substrate after reaction with Sn at 280 °C for various time. Two different shapes of the  $\text{Ag}_3\text{Sn}$  grains, including scallops for 30 min, 60 min and 150 min and few prisms for 90 min, were clearly observed. Interestingly, it was found that grain size of the prism-type  $\text{Ag}_3\text{Sn}$  was commonly larger than that of the scallop-type under the same condition, and the former existed lonely on the primary scallops, as shown in Fig. 4(c). Furthermore, amount of nano-particles were also captured by the  $\text{Ag}_3\text{Sn}$  grains after soldering for 30 min (Fig. 4(a)).

Figure 5 showed morphologies of the  $\text{Ag}_3\text{Sn}$  grains formed on plating Ag surface after reaction with Sn at 320 °C for different time. It was observed that several types of  $\text{Ag}_3\text{Sn}$  grains existed and lots of nano-particles also appeared on the surface of the IMCs. After soldering for 30 min (Fig. 5(a)) and 90 min (Fig. 5(c)), irregular

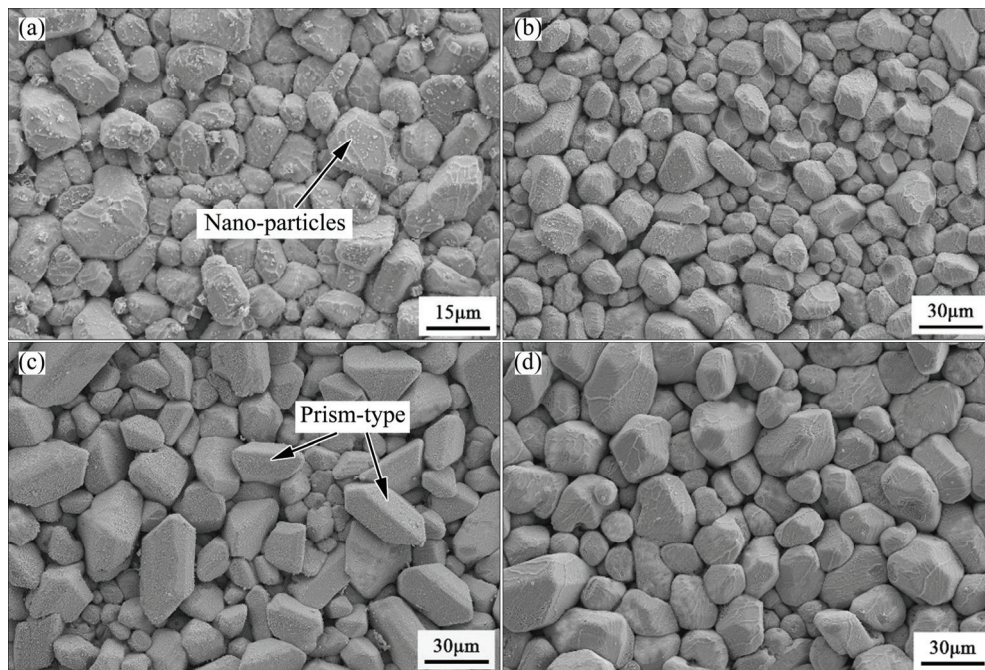


**Fig. 2** Interfacial microstructures of Ag/Sn joints soldered at 280 °C for different time: (a) 30 min; (b) 60 min; (c) 90 min; (d) 150 min





**Fig. 3** Morphologies of  $\text{Ag}_3\text{Sn}$  grains formed at 250 °C for different time: (a) 30 min; (b) 60 min; (c) 90 min; (d) 150 min



**Fig. 4** Morphologies of  $\text{Ag}_3\text{Sn}$  grains formed at 280 °C for different time: (a) 30 min; (b) 60 min; (c) 90 min; (d) 150 min

prism was the main shape of the  $\text{Ag}_3\text{Sn}$  grains, and this kind of crystals had a remarkable feature of one backbone and two sharp corner. Furthermore, the space between two prism-type  $\text{Ag}_3\text{Sn}$  grains was thicker than that of the scallop-type somewhat. It seemed that the number of the prism-type  $\text{Ag}_3\text{Sn}$  grains appeared at 320 °C increased in comparison to that at 280 °C, which demonstrated that it was inclined to form prism-type

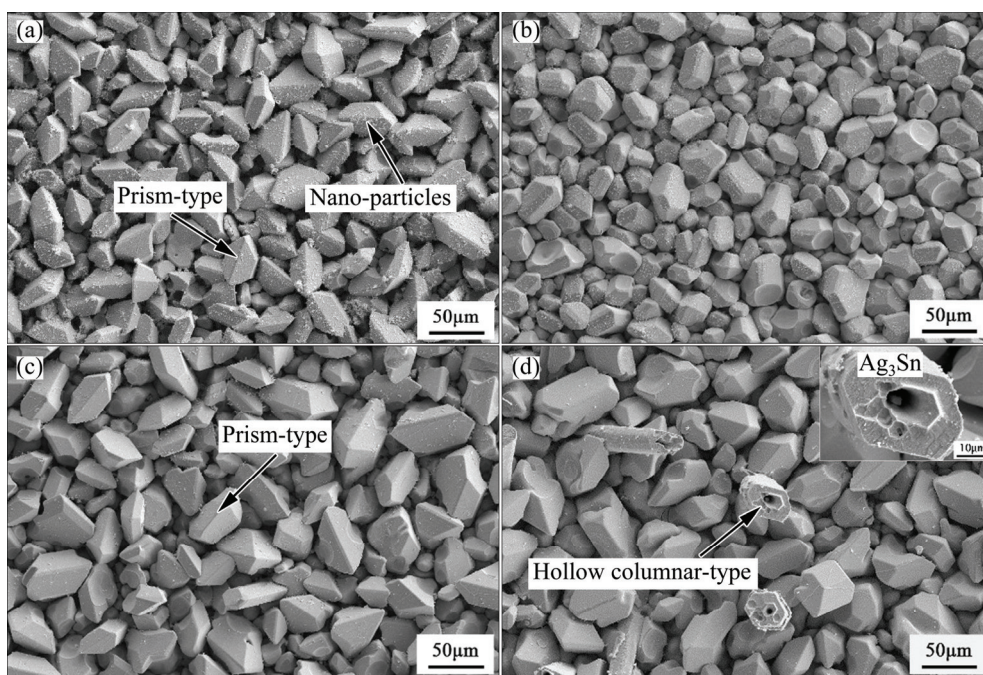
IMCs under higher-temperature conditions. However, only scallop-type  $\text{Ag}_3\text{Sn}$  grains were found for 60 min (Fig. 5(b)), and surface of the  $\text{Ag}_3\text{Sn}$  grain became more discontinuous compared with the previous scallops. When the soldering time prolonged to 150 min, as shown in Fig. 5(d), some large hollow column-type  $\text{Ag}_3\text{Sn}$  crystals were formed and distributed at the grain boundaries of the scallops, whose axial direction had an



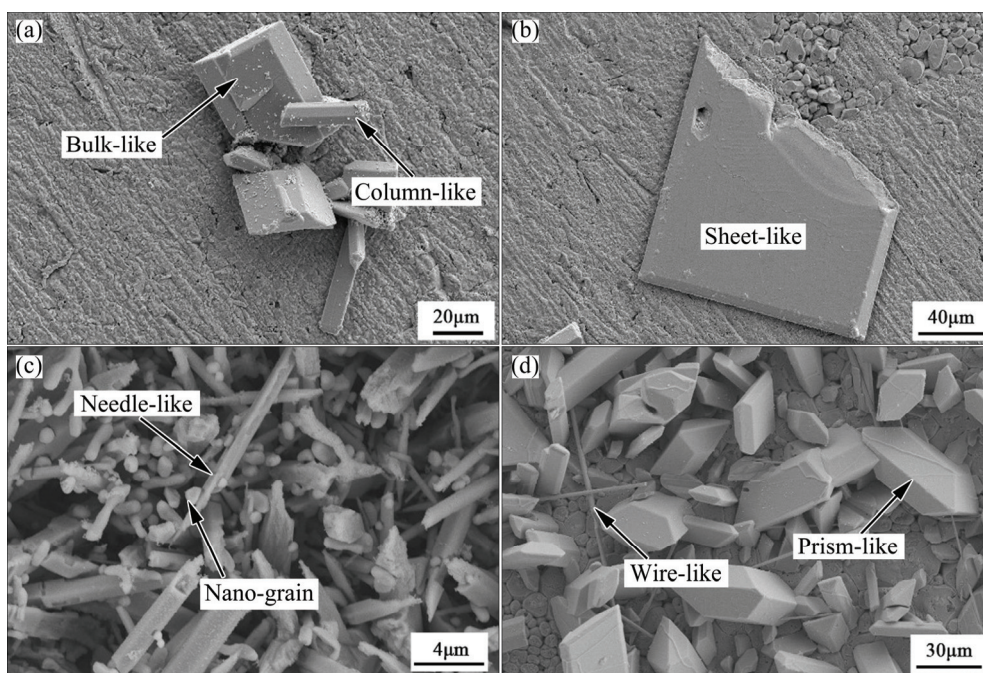
angle of  $<90^\circ$  with the surface of the substrate. LI et al [28] suggested that long  $\text{Ag}_3\text{Sn}$  column formed with a screw dislocation mechanism first, and then, the core of the column dissolved into molten Sn due to its higher energy, which led to formation of the hollow column-type grain.

In addition, some other types of the  $\text{Ag}_3\text{Sn}$  grains were also observed in Ag/Sn TLP soldering. After soldering at  $250^\circ\text{C}$  for 30 min (Fig. 6(a)), several large

bulk- and column-like  $\text{Ag}_3\text{Sn}$  crystals, as identified with EDS, formed on the primary IMCs that had planar and compact surface. As shown in Fig. 6(b), a large  $\text{Ag}_3\text{Sn}$  crystal with sheet-shaped feature was found on the surface of the primary IMCs, whose morphology was similar to the above. Lots of slender  $\text{Ag}_3\text{Sn}$  grains were observed on the substrate, as shown in Fig. 6(c), and they did not present prominent grain orientations. Meanwhile, many submicron particles were also formed and captured



**Fig. 5** Morphologies of  $\text{Ag}_3\text{Sn}$  grains formed at  $320^\circ\text{C}$  for different time: (a) 30 min; (b) 60 min; (c) 90 min; (d) 150 min

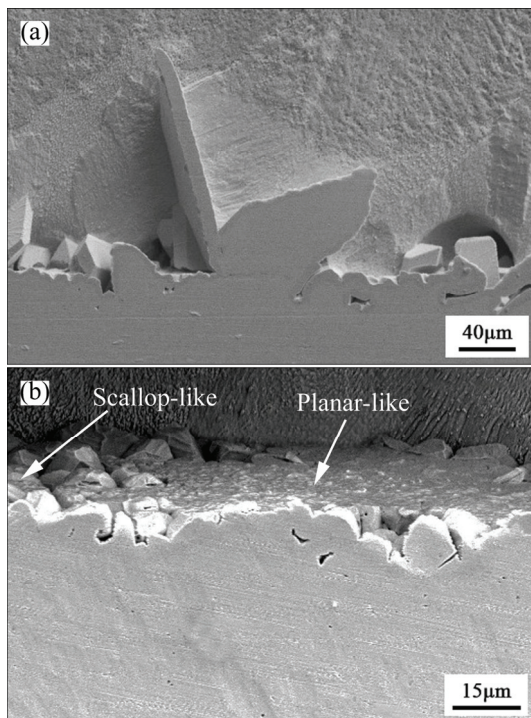


**Fig. 6** Non-scallop-like shapes of  $\text{Ag}_3\text{Sn}$  grains soldered at  $250^\circ\text{C}$  for 30 min (a),  $250^\circ\text{C}$  for 90 min (b),  $280^\circ\text{C}$  for 90 min (c) and  $280^\circ\text{C}$  for 300 min (d)



by these  $\text{Ag}_3\text{Sn}$  needles. Besides, a few wire- and prism-like  $\text{Ag}_3\text{Sn}$  grains appeared on the primary IMCs for the sample soldered at 280 °C for 300 min.

Abnormal  $\text{Ag}_3\text{Sn}$  grain was also found in the cross-sectional microstructure of the Ag/Sn TLP joint (Fig. 7(a)), and it could be seen that a crystal like butterfly was situated on the primary IMCs but not in the grain boundary. It was proposed that basic condition for the formation of the non-scalloped  $\text{Ag}_3\text{Sn}$  grain was that the primary IMCs had turned into planar morphology. Moreover, it was dominantly ascribed to anisotropic growth that  $\text{Ag}_3\text{Sn}$  grains presented different shapes. However, how did the primary IMCs plane form? Just a new type of morphology? Or the IMCs evolution for a long time? This answer was found out according to cross-section of the TLP joint soldered at 250 °C for 30 min. As shown in Fig. 7(b), many planar  $\text{Ag}_3\text{Sn}$  grains were observed and made up a large plane, whereas, scalloped grains still existed at the same time. Therefore, it could be deduced that planar  $\text{Ag}_3\text{Sn}$  grain represented a new morphology that was formed in a short soldering time.



**Fig. 7** Cross-sectional microstructures of Ag/Sn joints soldered at 250 °C for 90 min (a) and 280 °C for 90 min (b)

### 3.3 Growth kinetics of IMCs

Grain growth model was developed in Ref. [29], which was found to obey a power-law type  $t^{1/n}$  kinetics. Especially,  $\text{Ag}_3\text{Sn}$  grain growth was modeled as a diffusion driven process that could be expressed by

$$R(t)^2 - R_0^2 = K_{gb}t \quad (3)$$

$$K_{gb} = \frac{D_{gb}\sigma V_m}{\delta_{gb}RT} \quad (4)$$

where  $R(t)$  is the average radius of the  $\text{Ag}_3\text{Sn}$  grains under one condition,  $R_0$  is the initial radius when  $t=0$ ,  $K_{gb}$  is the growth rate constant,  $D_{gb}$  is the grain boundary diffusivity,  $\sigma$  is the grain boundary energy,  $V_m$  is the molar volume of  $\text{Ag}_3\text{Sn}$ ,  $\delta_{gb}$  is the thickness of the grain boundary,  $R$  is the mole gas constant,  $T$  is the thermodynamic temperature. However,  $R_0$  was zero since reaction did not take place at the beginning, and Eq. (3) was simplified as following:

$$R(t) = \sqrt{K_{gb}t} \quad (5)$$

This equation was very common to investigate the growth kinetics of the IMCs in conventional soldering, and mean grain size was generally plotted against the square root of the aging time [16,18].

Radius of  $\text{Ag}_3\text{Sn}$  grains for the samples soldered at 250, 280 and 320 °C for 30, 60, 90 and 150 min, were respectively calculated with linear intercept and statistic methods. As shown in Table 1, the values of grain radius increased with both increasing soldering time and temperature. In addition, it could be seen that the value measured with linear intercept method was slightly larger than that of the statistic method, which was resulted from the ignorance of the space between two grains for the former.

**Table 1** Measured radius of  $\text{Ag}_3\text{Sn}$  grains with linear intercept and statistic method

Temperature/ °C	Average radius of $\text{Ag}_3\text{Sn}$ grains (linear intercept/statistic)/μm			
	30 min	60 min	90 min	150 min
250	2.83/2.76	4.64/4.38	6.44/6.01	7.42/7.23
280	3.51/3.3	5.93/5.59	7.18/6.55	8.64/8.45
320	9.61/8.21	11.06/10.76	13.47/12.57	15.95/14.96

Figure 8(a) showed the IMCs growth changing with soldering time. It was found that measurements with two methods were successfully fitted by Eq. (5), and growth of  $\text{Ag}_3\text{Sn}$  grains followed a parabolic law with soldering time. Furthermore, growth rate constants for 250, 280 and 320 °C were calculated as  $5.83 \times 10^{-15}$ ,  $7.83 \times 10^{-15}$  and  $2.83 \times 10^{-14} \text{ m}^2/\text{s}$ , respectively, which had similar change rule in comparison to the dependence of the grain radius on soldering temperature.

According to the classical kinetics theory, growth rate constant is a function of the absolute temperature, and can be represented by the Arrhenius equation:

$$K = K_0 \exp\left(-\frac{Q}{RT}\right) \quad (6)$$

where  $K$  is the growth rate constant,  $K_0$  is the intrinsic



diffusion rate,  $Q$  is the activation energy of the reaction. The logarithmic form of Eq. (6) was adopted, and Arrhenius plot of  $\text{Ag}_3\text{Sn}$  growth was obtained, as shown in Fig. 8(b), the activation energy for  $\text{Ag}_3\text{Sn}$  growth during Ag/Sn TLP soldering was estimated to be 58.89 kJ/mol. This value was much larger than the reports in Ref. [19] of 37.17 kJ/mol and Ref. [20] of 30.6 kJ/mol. It was supposed that this phenomenon was related to the substrate with different grain sizes, and preparations for the interlayer. In Ref. [19], pure Ag foil was utilized as substrate, and its grain size (micro scale) was much bigger than that of the plated Ag (submicron scale) [30], which would influence the Ag fluxes along grain boundaries during reaction; in Ref. [20], electroplated Sn layer on Ag was used as interlayer, interdiffusion process would take place in the Ag/Sn diffusion couple though solid state, while that could not occur under the condition based on Sn foil.

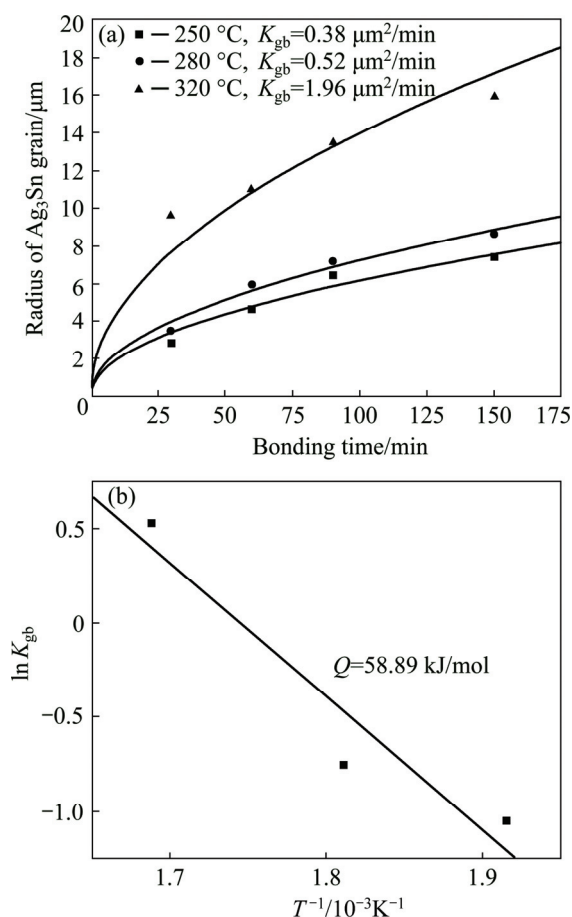


Fig. 8 Growth kinetic plots (a) and Arrhenius plot (b) for Ag/Sn system TLP soldering

## 4 Discussion

### 4.1 Mechanism of $\text{Ag}_3\text{Sn}$ growth

As can be seen, the scalloped  $\text{Ag}_3\text{Sn}$  grains generally turn into more planar with dwell time, and the Sn/ $\text{Ag}_3\text{Sn}$  interface becomes more flat, accordingly. This

reveals evolution process in thickness direction of the IMCs during Ag–Sn TLP soldering. It was suggested that two factors influenced the growth rule, including grain coarsening and solid–liquid interfacial reaction. In detail, those  $\text{Ag}_3\text{Sn}$  grains with same orientation would merge into a new larger one with the increase of soldering time, which reduced the number of the grain boundaries and changed dominant diffusion path of the Ag atoms from substrate, namely, from grain boundary diffusion to diffusion through the IMCs. Furthermore, different Ag fluxes for different positions on the surface of one  $\text{Ag}_3\text{Sn}$  grain induced its anisotropic growth and decreased the curvature of the grain. In order to explain this phenomenon, a model of Ag flow for  $\text{Ag}_3\text{Sn}$  grain growth was established (Fig. 9). In this theory, two grains with different sizes were selected, and it was assumed that  $\text{Ag}_3\text{Sn}$  grain had a structure of hexagonal base and spherical cap in three dimensions referred to Ref. [31]. On one hand, grain coarsening could be explained by the Gibbs–Thomson effect [23], and the concentration of Ag atoms on the surface of the  $\text{Ag}_3\text{Sn}$  grain was

$$C_r = C_0 \exp\left(\frac{2\gamma V_m}{rRT}\right) \quad (7)$$

$$C_r = C_0 \exp\left(1 + \frac{2\gamma V_m}{rRT}\right) \quad \left(\frac{2\gamma V_m}{rRT} \ll 1\right) \quad (8)$$

where  $C_r$  is the concentration of Ag atoms at the  $\text{Ag}_3\text{Sn}/\text{Sn}$  interface,  $C_0$  is the equilibrium concentration of Ag atoms in the molten Sn,  $\gamma$  is the interfacial energy per unit area between  $\text{Ag}_3\text{Sn}$  and Sn,  $V_m$  is the molar volume of  $\text{Ag}_3\text{Sn}$  compound, and  $r$  is the radius of  $\text{Ag}_3\text{Sn}$  spherical cap. Due to the curvature difference, the concentration gradient of Ag was set up in the molten Sn between grains with different radii. That caused a flux of Ag atoms from the small-sized grain to the big-sized one, which could be expressed by [23]

$$J_r = \frac{2\gamma V_m D_A C_0}{3\delta_{gb} RT} \frac{1}{r} \quad (9)$$

where  $J_r$  is the Ag flux for grain coarsening,  $D_A$  is the diffusion coefficient of Ag atoms into molten Sn,  $\delta_{gb}$  is

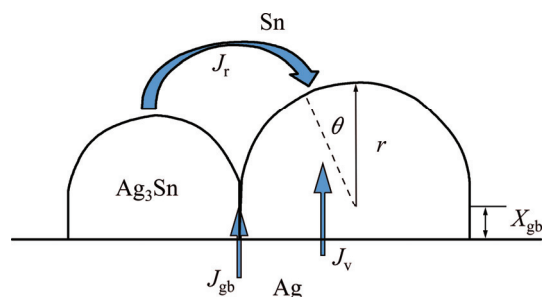


Fig. 9 Schematic diagram of Ag flux for  $\text{Ag}_3\text{Sn}$  grain growth

the space between two  $\text{Ag}_3\text{Sn}$  grains,  $\bar{r}$  is the average radius of the small-sized grains neighboring the big-sized one. This ripening flux of Ag atoms made the small grain merge into the larger one until the grain boundary disappeared. It was worth mentioning that grain coarsening process was observed with a top-view SEM image of the IMCs in our work. As shown in Fig. 10, several original  $\text{Ag}_3\text{Sn}$  scallops had been swallowed by the larger grain and formed a new bigger irregular-shaped grain; however, their original grain boundaries still remained on the surface of the new one that seemed necking structures. If continued to grow, these structures would gradually vanish and the surface of the new grain turned into smooth.

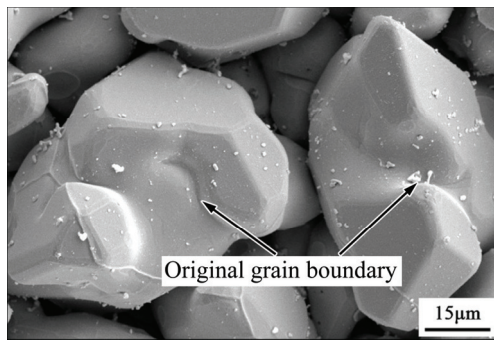


Fig. 10 Grain coarsening by means of merging into bigger grains

On the other hand, Ag flux from the substrate would prompt interfacial reaction and made the layered  $\text{Ag}_3\text{Sn}$  turn into more planar. The Ag atoms could pass through the thick laminar IMCs layer with two diffusion channels as mentioned above. When many grains existed in the IMCs layer, grain boundary diffusion was the dominant control mechanism; however, this process declined with soldering time, especially for the thick IMCs layer. The Ag flux diffused along grain boundary could be described as [32]

$$J_{\text{gb}} \equiv \frac{dx_{\text{gb}}}{dt} \propto \frac{\kappa \delta_{\text{gb}} D_{\text{gb}}}{\beta \bar{d}} \frac{\Delta C}{x_{\text{gb}}} \quad (10)$$

where  $J_{\text{gb}}$  is the Ag flux along grain boundary,  $x_{\text{gb}}$  is the average diffusion distance along grain boundary,  $\kappa$  is a geometric parameter,  $\delta_{\text{gb}}$  is the average thickness of the grain boundary,  $D_{\text{gb}}$  is the diffusion rate of Ag atoms at the grain boundary,  $\Delta C$  is the homogeneity range of  $\text{Ag}_3\text{Sn}$ ,  $\bar{d}$  is the average height of the  $\text{Ag}_3\text{Sn}$  grain, and  $\beta$  is a geometrical correction factor correlating the  $\bar{d}$  and  $x_{\text{gb}}$ . From this equation, Ag flux controlled by grain boundary diffusion reduced with soldering time due to increase of the thickness of the IMCs layer, and decrease of the number of the grain boundaries caused by grain coarsening also had negative effect on Ag diffusivity along this channel. And then, diffusion through IMCs

(volume diffusion) became the dominant mechanism that controlled the  $\text{Ag}_3\text{Sn}$  growth. According to the Fick's first law, Ag flux diffusion through the  $\text{Ag}_3\text{Sn}$  grain was estimated as follows:

$$J_v = D_\varepsilon \frac{dC}{dx} \approx D_\varepsilon \frac{\Delta C}{\Delta x} = \frac{D_\varepsilon (C_s - C_r)}{r \cos \theta + x_{\text{gb}}} \quad (11)$$

where  $J_v$  is the Ag flux through  $\text{Ag}_3\text{Sn}$  grain,  $D_\varepsilon$  is the diffusion coefficient of Ag atoms in  $\text{Ag}_3\text{Sn}$  crystal,  $\theta$  is the angle between radial direction of one position on the spherical cap and vertical direction of the substrate,  $C_s$  is the equilibrium concentration of Ag atoms at the Ag/ $\text{Ag}_3\text{Sn}$  interface,  $C_r$  is the concentration of Ag atoms at the  $\text{Ag}_3\text{Sn}/\text{Sn}$  interface, and  $r$  is the radius of the spherical cap. This equation indicated that Ag flux increased with the increasing  $\theta$  value. Therefore, growth velocity at root of the  $\text{Ag}_3\text{Sn}$  spherical cap was faster than that of the top, which caused that  $\text{Ag}_3\text{Sn}$  scallop gradually evolved into more planar.

#### 4.2 Mechanism of nano-particles formation

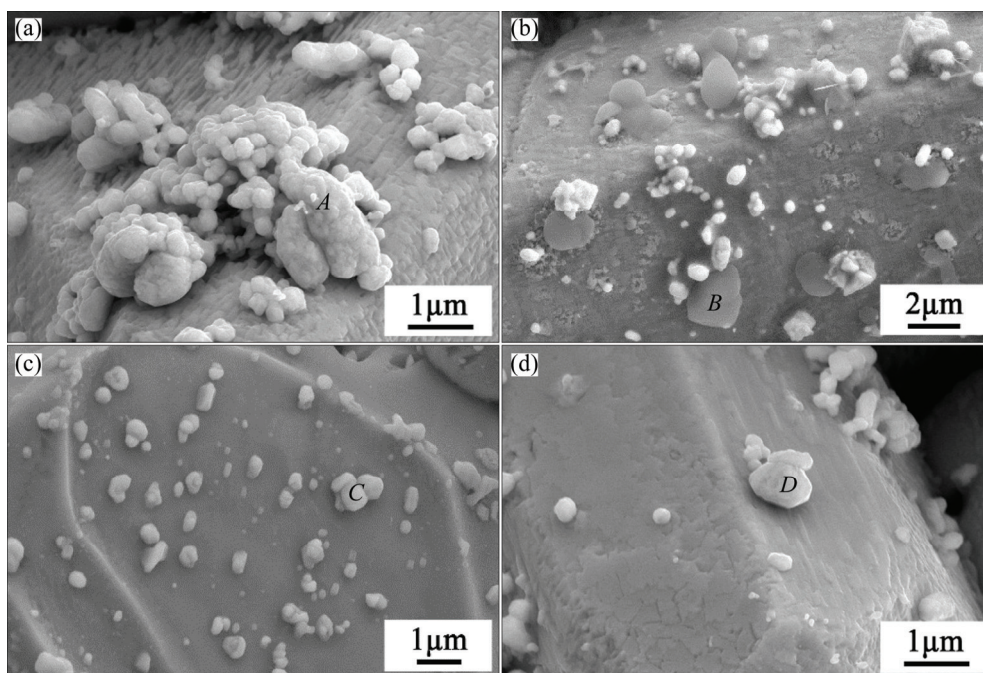
As mentioned above, abundant nano-particles formed on the surface of  $\text{Ag}_3\text{Sn}$  grains. Figure 11 showed the magnified morphology, these nano-particles had slight agglomeration for their quite high surface energy, and were dispersedly distributed on the IMCs surface. According to the EDS results (Table 2), Sn contents of the different particle phases were lower than that of the  $\text{Ag}_3\text{Sn}$  with 25% Sn. However, nano-particles grew in the molten Sn in our study, and the concentration of Sn atoms on the surface of particles must be very high even reached the eutectic composition. It was quite difficult that particle phase transformed into  $\zeta$  phase or (Ag) under this condition according to Ag–Sn phase diagram. Therefore, we considered that nano-particle should be  $\text{Ag}_3\text{Sn}$  phase. Furthermore, it was clearly found that the interfacial angle between nano-particle and  $\text{Ag}_3\text{Sn}$  grain was lower than  $90^\circ$ , which indicated that those particles were not crystal nucleuses based on the IMCs. It was supposed that nano-particles might be formed in molten Sn where Ag atoms had segregation driven by thermodynamics, and process of them attached to  $\text{Ag}_3\text{Sn}$  grains possibly occurred in the solidification.

On one hand, Ag-rich areas caused by the segregation of Ag atoms were benefit to the nucleation of nano- $\text{Ag}_3\text{Sn}$  particles. According to the theory of nucleation dynamics, nucleation rate can be described by [33]

$$I = \nu N_s p N_L \exp \left( -\frac{\Delta G + \Delta G^*}{k_B T} \right) \quad (12)$$

where  $I$  is the nucleation rate,  $\nu$  is the vibration frequency of Ag atoms in the liquid,  $N_s$  is the number of Ag atoms on the surface of the critical nucleus,  $p$  is the





**Fig. 11** Nano-particles formed on surface of  $\text{Ag}_3\text{Sn}$  scallops: (a) 250 °C for 30 min; (b) 250 °C for 150 min; (c) 280 °C for 90 min; (d) 320 °C for 30 min

**Table 2** EDS analysis results of indicated zone in Fig. 11

Zone	Composition/%	
	Ag	Sn
A	87.76	12.24
B	79.74	20.26
C	82.58	17.42
D	86.51	13.49

possibility of Ag atoms accepted by the critical nucleus,  $N_L$  is the number of Ag atoms in per unit volume of the liquid,  $\Delta G$  is the activation energy for the nucleation of a critical number of the clustered atoms,  $\Delta G^*$  is the activation free energy for diffusion through solid/liquid interface,  $k_B$  is Boltzman's constant. From Eq. (12), Ag-rich area meant a great deal of Ag atoms in per unit volume of the liquid, which promoted nucleation of the  $\text{Ag}_3\text{Sn}$  and amount of nano-particles formed in the molten Sn.

On the other hand, the phenomenon that nano- $\text{Ag}_3\text{Sn}$  particles were precipitated on the  $\text{Ag}_3\text{Sn}$  grains could be explained by the minimum energy principle. Since nano- $\text{Ag}_3\text{Sn}$  particles located in the liquid were unstable for their quite high surface free energy, agglomeration would take place and adsorption to  $\text{Ag}_3\text{Sn}$  grains occurred during sollicitation process so as to decrease the surface free energy. If we considered the nano- $\text{Ag}_3\text{Sn}$  particle as active material and the  $\text{Ag}_3\text{Sn}$  grain as big plane, Gibbs adsorption theory could be used

to describe this phenomenon. The adsorption amount of active material on the crystal plane  $K$  can be given by [25–27]

$$\Gamma^K = -\frac{C}{RT} \frac{d\gamma^K}{dC} \quad (13)$$

where  $\Gamma^K$  is the adsorption amount of surface active material at plane  $K$ ,  $C$  is the concentration of surface active material, and  $\gamma^K$  is the surface tension of plane  $K$ . Furthermore, the surface free energy of the system can be expressed as follows:

$$G^K = \gamma^K A^K \quad (14)$$

where  $A^K$  is the area of the plane  $K$ .

According to Eq. (13) and Eq. (14), the change of surface energy with the concentration at a given temperature depends on the adsorption of active material. However, concentration of the interface in a stable system is constant, the minimum the free energy is, the maximum the adsorption amount will be. In other words, adsorption of nano- $\text{Ag}_3\text{Sn}$  particles on the surface of  $\text{Ag}_3\text{Sn}$  grains was prone to occurring in this condition. YU et al [27] supposed that this process would decrease the surface free energy of the system and make it more stable.

## 5 Conclusions

1) Morphologies of  $\text{Ag}_3\text{Sn}$  grains majorly were scallop-type, and some other shapes such as prism,

needle, hollow column, sheet and wire of  $\text{Ag}_3\text{Sn}$  crystals were also observed, which resulted from the anisotropic growth of the IMCs grains. The prism-type  $\text{Ag}_3\text{Sn}$  grain was more easily to be formed under higher soldering temperature, and the non-scalloped  $\text{Ag}_3\text{Sn}$  generally appeared on the surface of the primary IMCs with large plane.

2) The scalloped  $\text{Ag}_3\text{Sn}$  layer usually evolved into more planar with prolonging soldering time, which was attributed to grain coarsening and anisotropic fluxes of Ag atoms from substrate. It was found that grain coarsening took place by a means of boundary migration and disappearance.

3) A great deal of nano- $\text{Ag}_3\text{Sn}$  particles were observed on the surface of the  $\text{Ag}_3\text{Sn}$  grains. It was supposed that these particles were nucleated in the Ag-rich areas and adsorbed by the  $\text{Ag}_3\text{Sn}$  IMCs in solidification process.

4) Growth kinetics of the  $\text{Ag}_3\text{Sn}$  grains in TLP soldering followed a parabolic relationship with soldering time, and the growth rate constants of 250, 280 and 320 °C were  $5.83 \times 10^{-15}$ ,  $7.83 \times 10^{-15}$  and  $2.83 \times 10^{-14} \text{ m}^2/\text{s}$ , respectively. Accordingly, the activation energy of the reaction was estimated to be about 58.89 kJ/mol.

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## Ag–Sn 低温过渡液相连接中 Ag<sub>3</sub>Sn 晶粒的生长机理

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**摘 要:** 低温过渡液相(TLP)连接是一种在宽禁带半导体互连领域极具应用潜力的高温电子封装技术。本文研究了 Ag/Sn 体系在不同温度下进行 TLP 连接时界面金属间化合物(IMCs)的生长机理。结果表明: Ag<sub>3</sub>Sn 晶粒主要呈扇贝状形态, 而棱柱状、针状、中空柱状、板状和线状等形态也会产生; 然而, 随着保温时间的延长波浪状 Sn/Ag<sub>3</sub>Sn 界面将变得更加平坦, 分析表明这与晶粒粗化及基板 Ag 原子各向异性的扩散流有关; 同时, 在 Ag<sub>3</sub>Sn 晶粒表面观察到大量纳米 Ag<sub>3</sub>Sn 颗粒形成, 它们形核长大于液相富 Ag 区, 并在凝固过程中被 Ag<sub>3</sub>Sn 晶粒吸附沉淀所致。在动力学方面, Ag<sub>3</sub>Sn 晶粒生长遵循抛物线规律, 主要受到体积扩散控制, 且 250、280 和 320 °C 下生长速率常数分别为  $5.83 \times 10^{-15}$ 、 $7.83 \times 10^{-15}$  和  $2.83 \times 10^{-14} \text{ m}^2/\text{s}$ , 反应活化能为 58.89 kJ/mol。

**关键词:** 过渡液相连接; Ag<sub>3</sub>Sn; 晶粒形态; 生长动力学; 活化能

(Edited by Yun-bin HE)