

فرایندهای انجماد پیشرفته  
(Advanced Solidification Processing)

مدرس: دکتر بهزاد نیرومند

امتحان: روز امتحانات

ارزشیابی: تکالیف (۷-۸ نمره)، امتحان کتبی (۱۳-۱۲ نمره)

مراجع:

- 1- Fundamentals of Solidification, W. Kurz, D.J. Fisher, 4<sup>th</sup> ed. 1998 (مرجع اصلی درس)
- 2- Solidification Processing, M.C. Flemings, 1974
- 3- Eutectic Solidification Processing: Crystalline and Glassy Alloys, R. Elliott, 1983
- 4- Solidification and Casting, Davies, 1973
- 5- Phase Transformations in Metals and Alloys, D.A. Porter, K.E. Easterling, 1993 (Chapter 4)
- 6- Principles of Solidification, B. Chalmers, 1964
- 7- Materials Science and Technology, Ed. R.W. Cahn, P. Haasen, E.J. Kramer, 1991 (Chapter 1, 2, and 12)
- 8- ASM Handbook (Metals Handbook), ASM, Vol.: 15 (Casting)
- 9- Physical Metallurgy, Ed. R.W. Cahn, P. Haasen, 1983 (Chapter 9 and 28)
- 10- Phase Transformations in Materials, A.K. Jena, M.C. Chaturvedi, 1992 (Chapter 6)
- 11- The Solidification of Metals, A. Ohno, 1976
- 12- An Introduction to the Solidification of Metals, W.C. Winegard
- 13- *Materials Processing during casting*, H. Fredriksson, U. Akerlind, 2006.  
+ بنیه کتب و مجموعه مقالات با موضوع Solidification و بسیاری از کتابها و مجموعه مقالات با موضوعات Phase Transformation, Physical Metallurgy, Crystal Growth, Casting, Foundry
- 14- *Pergamon Materials series, Vol. 15, Chapter 13 (solidification), 2010.*

مرفصل های درس:

- مروری بر مطالب مقطع کارشناسی و بحث های تکمیلی
- Stability of the solid/liquid interface
- Faceted/non-faceted interfaces
- Growth and coarsening of solid particles
- Effects of fluid flow on solidification structure
- Solute redistribution
- Directional solidification
- Eutectic solidification
- Grain refinement
- Macro- and micro-segregation
- Semi-solid processing of alloys
- Effect of pressure on solidification structure
- Rapid solidification
- Solidification processing in microgravity
- Solidification of composites
- Heat transfer in solidification
- Inclusion and porosity formation during solidification
- ...

TABLE 1.1  
THE CHANGE IN VOLUME ON MELTING OF SOME COMMON METALS<sup>a</sup>

Metal	Crystal structure	Melting point (°C)	Change in volume on melting (%)
Aluminium	f.c.c.	660	+6.0
Gold	f.c.c.	1 063	+5.1
Zinc	h.c.p.	420	+4.2
Copper	f.c.c.	1 083	+4.15
Magnesium	h.c.p.	650	+4.1
Cadmium	h.c.p.	321	+4.0
Iron	b.c.c./f.c.c.	1 537	+3.0
Tin	tetr.	232	+2.3
Antimony	rhombohedral	631	-0.95
Gallium	f.c. orthorhombic	30	-3.2
Bismuth	rhombohedral	271	-3.35
Germanium	dia. cubic	937	-5.0

<sup>a</sup> Data from Schneider and Heymer.<sup>1</sup>

TABLE 1.2  
LATENT HEATS OF MELTING AND VAPORISATION OF SOME  
COMMON METALS<sup>a</sup>

Metal	Crystal structure	Melting point (°C)	Latent heat <sup>b</sup> of melting ( $L_m$ )	Boiling point (°C)	Latent heat <sup>b</sup> of vaporisation ( $L_b$ )	$\frac{L_b}{L_m}$
Aluminium	f.c.c.	660	2.5	2 480	69.6	27.8
Gold	f.c.c.	1 063	3.06	2 950	81.8	26.7
Copper	f.c.c.	1 083	3.11	2 575	72.8	23.4
Iron	f.c.c./b.c.c.	1 536	3.63	3 070	81.3	22.4
Zinc	h.c.p.	420	1.72	907	27.5	16.0
Cadmium	h.c.p.	321	1.53	765	23.8	15.6
Magnesium	h.c.p.	650	2.08	1 103	32.0	15.4

<sup>a</sup> Data from Smithells.<sup>2</sup>

<sup>b</sup> Latent heats in kcal mol<sup>-1</sup>.

TABLE 1.4  
COMPARISON OF STRUCTURAL DATA FOR LIQUID AND SOLID METALS  
OBTAINED BY DIFFRACTION<sup>a</sup>

Metal	Liquid		Solid	
	atomic separation	co-ordination number	atomic separation	co-ordination number
Aluminium	2.96	10-11	2.86	12
Zinc	2.94	11	2.65	6
			2.94	6
Cadmium	3.06	8	2.97	6
			3.30	6
Gold	2.86	11	2.88	12

<sup>a</sup> Data abstracted from Vineyard.<sup>5</sup>

TABLE 1.3  
ENTROPY CHANGES DURING THE HEATING OF SOME COMMON METALS<sup>a</sup>

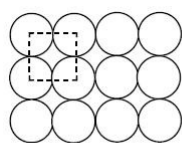
Metal	Change in entropy <sup>b</sup> 298°K to melting point $\Delta S$	Entropy of melting $\Delta S_m$	$\frac{\Delta S_m}{\Delta S}$
Cadmium	4.53	2.46	0.54
Zinc	5.45	2.55	0.47
Aluminium	7.51	2.75	0.37
Magnesium	7.54	2.32	0.31
Copper	9.79	2.30	0.24
Gold	9.78	2.21	0.23
Iron	15.50	2.00	0.13

<sup>a</sup> Data from Hultgren *et al.*<sup>3</sup>

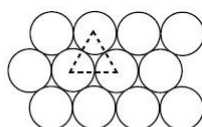
<sup>b</sup> Entropies in cal mole<sup>-1</sup> °K<sup>-1</sup>.

جدول ۱-۲: مقایسه خواص من جامد و مایع

مایع	جامد	خواص
۷/۹۳	۸/۳۲	وزن مخصوص در دمای ذوب ( $\text{gr/cm}^3$ )
۲/۸۸	۲/۷۴	فاصله بین اتمی یا کمترین فاصله بین اتمها در دمای ذوب ( $\text{Å}$ )
۲۲	۱۱	ضریب مقاومت الکتریکی در دمای ذوب ( $\mu\Omega\text{-cm}$ )
$10^{-5}$	$10^{-1}$	ضریب نفوذ در دمای ذوب ( $\text{cm}^2/\text{sec}$ )
گرانروی	مدول برشی	شاخص سیالیت

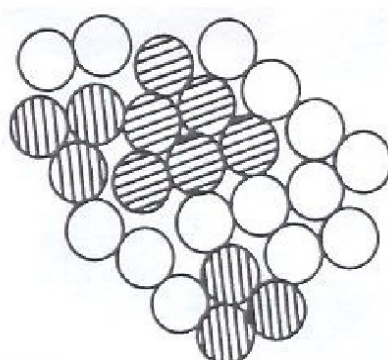


square array



close-packed array

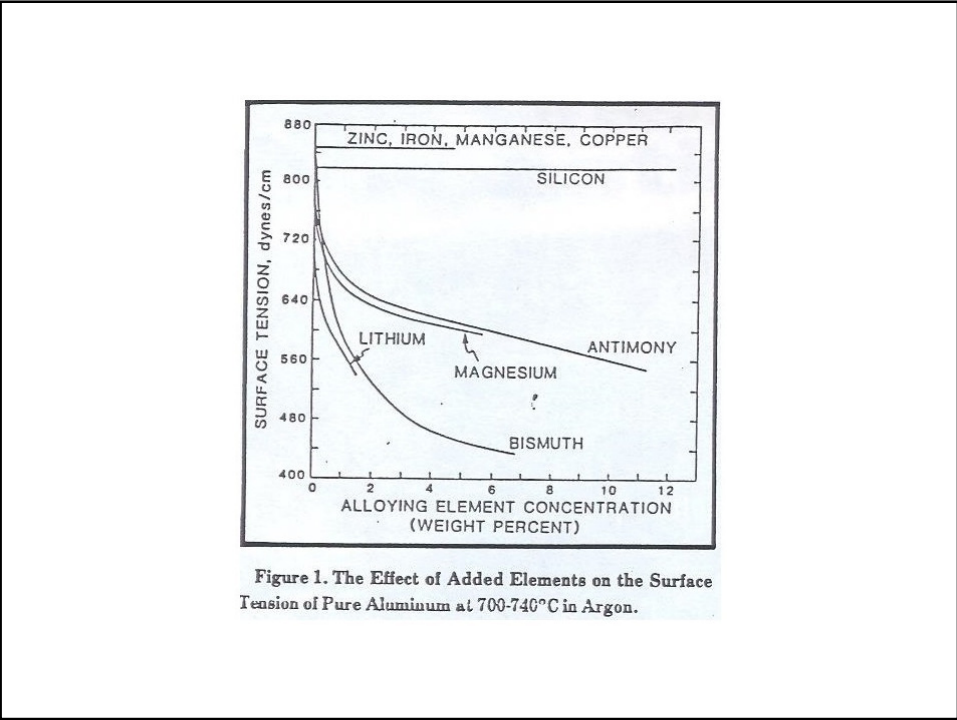
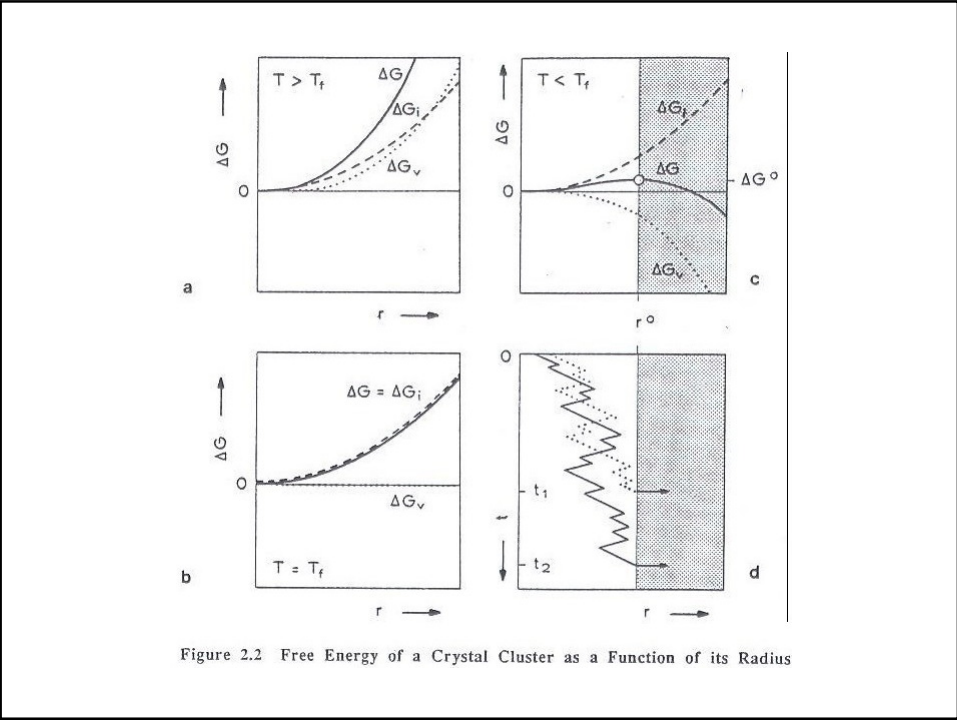
جامد کریستالی



مذاب

Fig. 4.4 A two-dimensional representation of an instantaneous picture of the liquid structure. Many close-packed crystal-like clusters (shaded) are present.

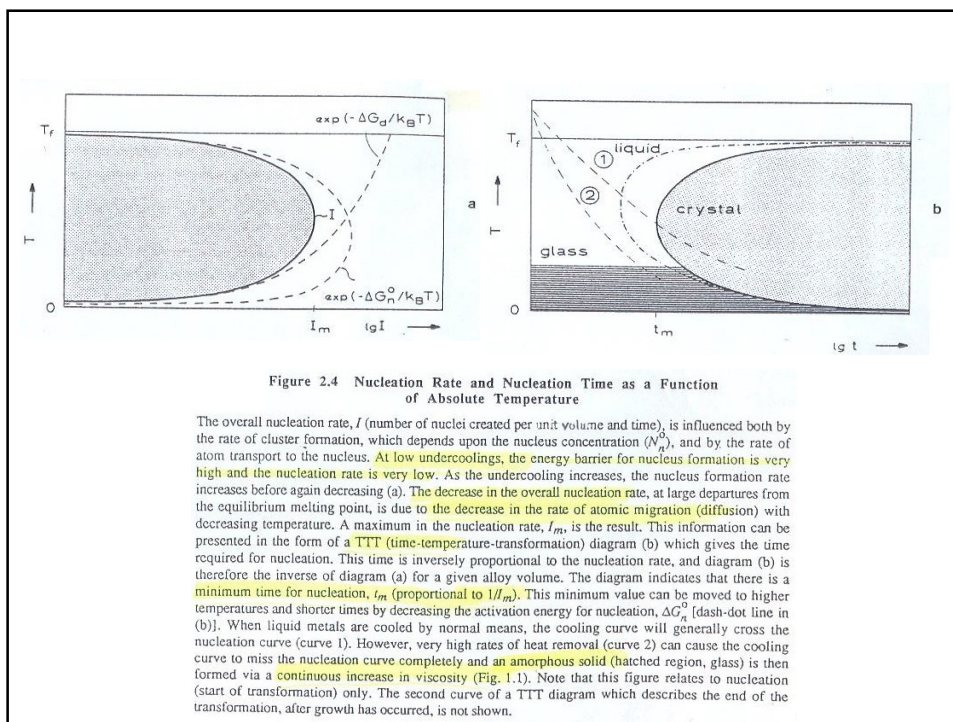
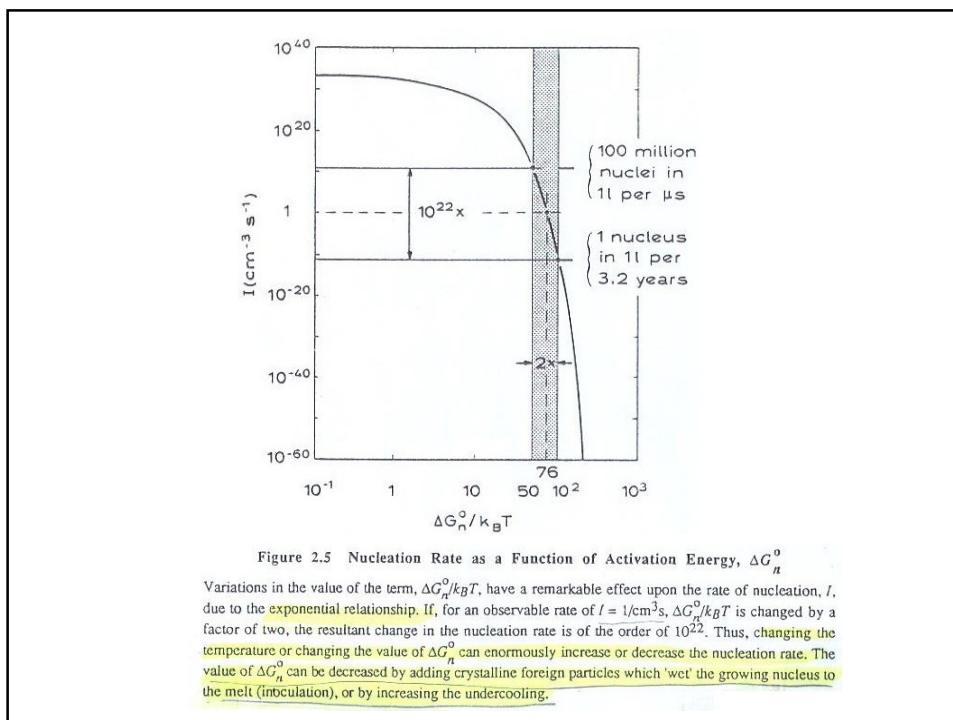


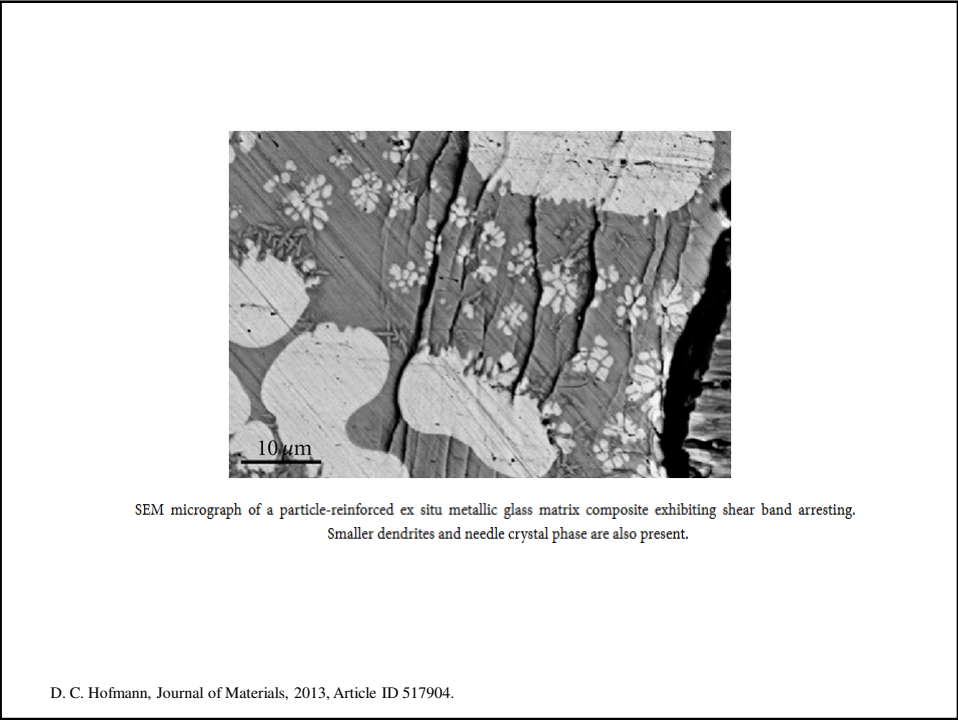
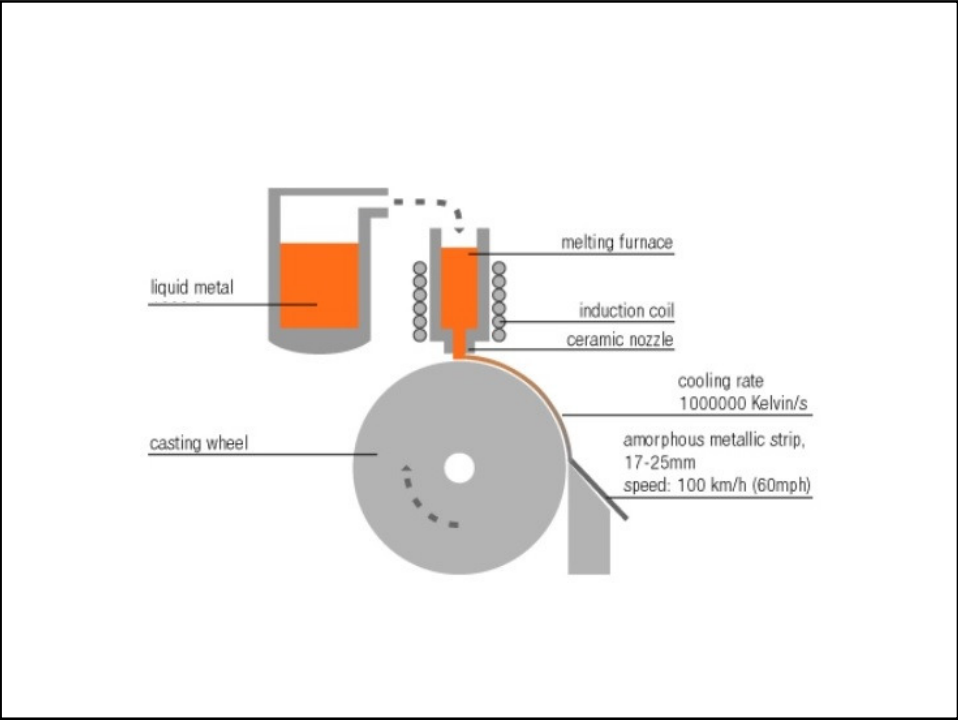


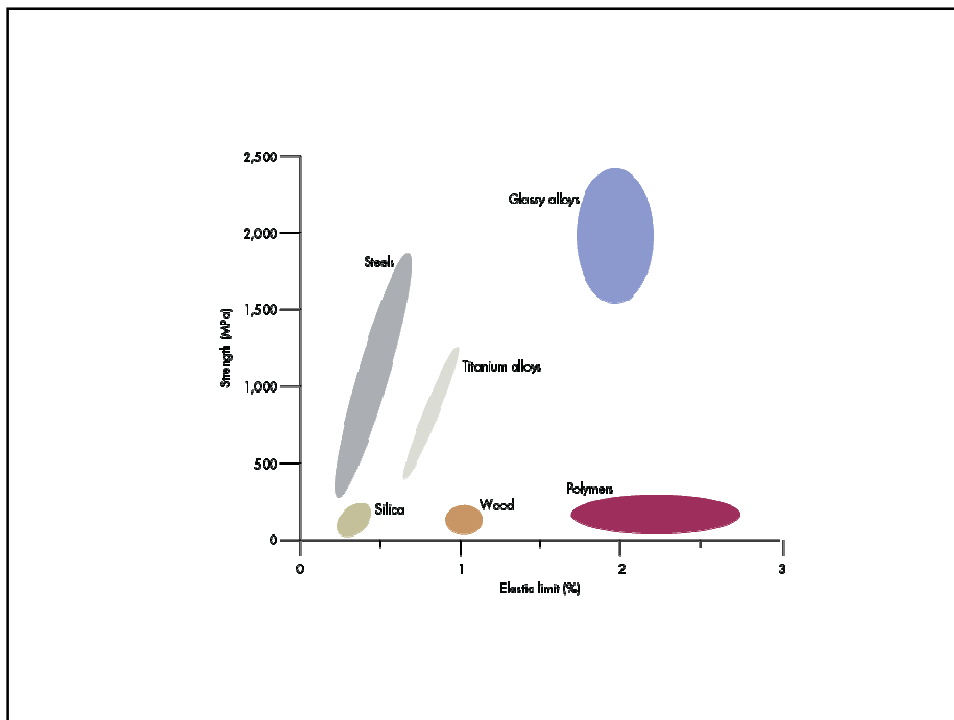
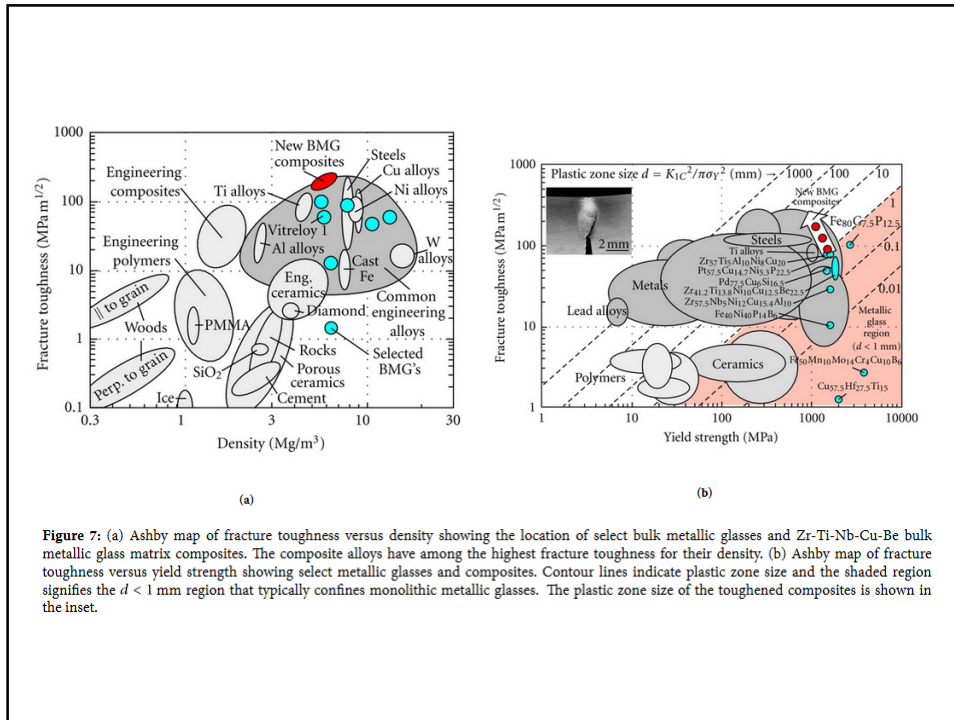
Maximum undercoolings of melts						
Material	Melting point (K)	Maximum † undercooling $\Delta T$ (C)		Material	Melting point (K)	Maximum undercooling $\Delta T$ (C)
		Large samples	Small †† samples			
Ag	1234		227	H <sub>2</sub> O	273	39
Al	932		130	NH <sub>3</sub>	195.5	40
Au	1336		221	BF <sub>3</sub>	144.5	17.8
Bi	544	30	90	SO <sub>2</sub>	197.6	33
Co	1763		330	CH <sub>2</sub> Cl	175.6	55.6
Cu	1356		236	CCl <sub>4</sub>	250	50.4
Ga	302.8	55	76	CBr <sub>4</sub>	363	82
Ge	1231	30	193	C <sub>6</sub> H <sub>6</sub>	278	70
Fe	1803		295	(CH <sub>2</sub> Br) <sub>2</sub>	282	66.5
Hg	234.1	14	46	C <sub>6</sub> H <sub>5</sub> COOH	395	120
Mn	1493		308	CH <sub>2</sub> Br	179.4	24.4
Ni	1725		319	CH <sub>3</sub> NH <sub>2</sub>	179.7	33.7
P	317	115	80	CHCl <sub>3</sub>	209.7	52.5
Pb	600.7		80	Diphenyl	344	86
Pd	1828		332	Naphthalene	353	94.4
Pt	2046		370	Cyclopropane	145.8	17.8
S	393	70	165	Thiophene	234.9	50.7
Sb	903		135	InSb	698	110
Se	493		25	InSb+1% Te	690	55
Sn	505	31	115			

† Note that Burns and Turnbull (1966) have shown that the undercooling achieved depends on the rate of cooling.  
 †† The idea of using small samples is to reduce the chance of nucleation by a foreign solid particle. However, results on very small samples should be treated with care. Petrov (1965) has shown that samples of lead, bismuth and antimony with diameters of about  $2.5 \times 10^{-3}$  mm had melting points which differed from those found in the bulk by +53, -39 and -15 C respectively.

Type of melt	Range		Median	
	$\Delta T/T$	$\gamma_{LS}$ (erg cm <sup>-2</sup> )	$\Delta T/T$	$\gamma_{LS}$ (erg cm <sup>-2</sup> )
<b>Metals</b>	0.13-0.32	32-260	0.19	130
<b>Alkali halides</b>	0.14-0.24	40-180	0.18	65
<b>Molecular</b>	0.12-0.32	7-35	0.21	24
<b>Long chain hydrocarbons</b>	0.037-0.043	7-10	0.041	9
<b>Polymers</b>	0.13-0.22		0.18	~20







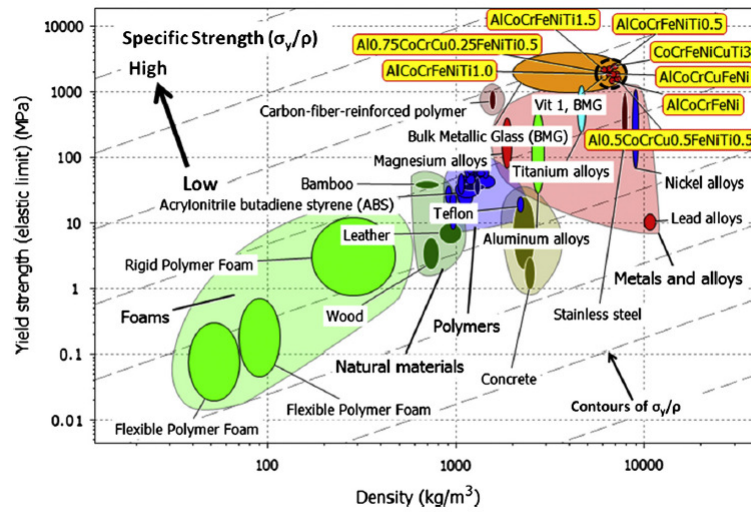


Fig. 1.7. Yield strength,  $\sigma_y$ , vs. density,  $\rho$ . HEAs (dark dashed circle) compared with other materials, particularly structural alloys. Grey dashed contours (arrow indication) label the specific strength,  $\sigma_y/\rho$ , from low (right bottom) to high (left top). HEAs are among the materials with highest strength and specific strength [5].

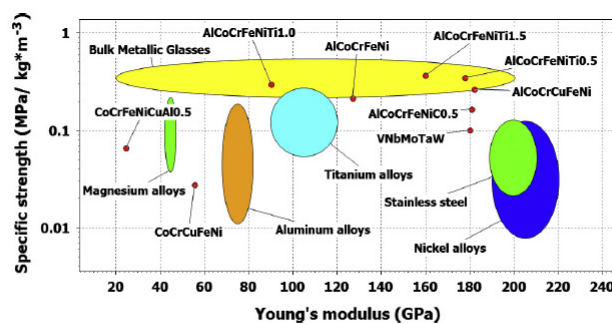
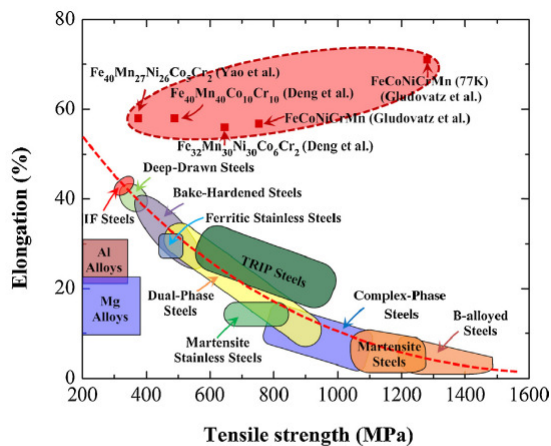


Fig. 1.8. Specific-yield strength vs. Young's modulus: HEAs compared with other materials, particularly structural alloys. HEAs are among the materials with highest specific strength and with a wide range of Young's modulus [5].





**FIGURE 9**  
 Strength versus ductility properties for low-SFE HEAs such as  $Fe_{40}Mn_{27}Ni_{26}Co_5Cr_2$  [74],  $Fe_{40}Mn_{40}Co_{10}Cr_{10}$  [65],  $Fe_{32}Mn_{30}Ni_{30}Co_6Cr_2$  [65], and  $FeCoNiCrMn$  [6] at room temperature and  $FeCoNiCrMn$  [6] at 77 K, compared with other conventional alloys [75].

Y.F. Ye, Q. Wang, J. Lu, C.T. Liu and Y. Yang, "High-entropy alloy: challenges and Prospects", *Materials Today*, Vol.19, 2016, 349-362.

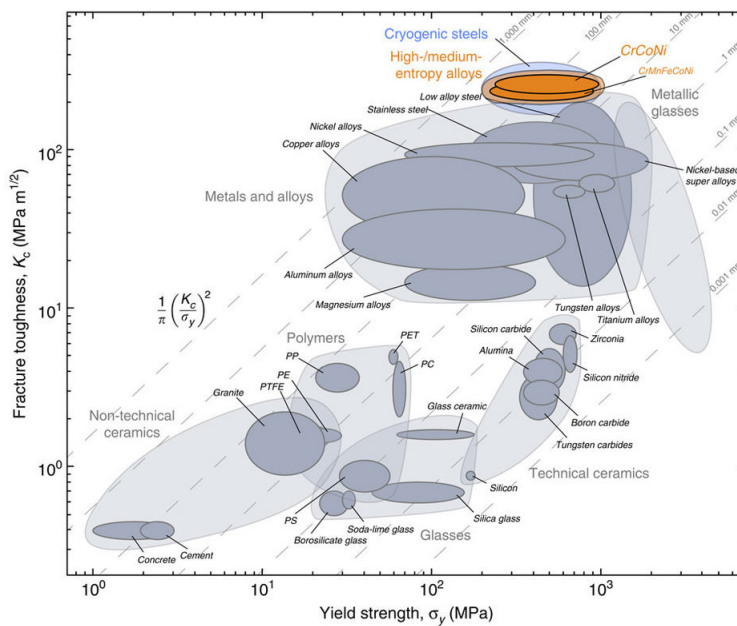




Table 2.1 Critical Dimensions and Activation Energy for the Nucleation of a Spherical Nucleus in a Pure Melt ( $\Delta g = \Delta s \Delta T$ )

	Homogeneous Nucleation	Heterogeneous Nucleation
$r^0$	$-\frac{2\sigma}{\Delta g}$	$-\frac{2\sigma}{\Delta g}$
$n^0$	$-\left(\frac{32\pi}{3v}\right)\left(\frac{\sigma}{\Delta g}\right)^3$	$-\left(\frac{32\pi}{3v}\right)\left(\frac{\sigma}{\Delta g}\right)^3 f(\theta)$
$\Delta G_n^0$	$\left(\frac{16\pi}{3}\right)\left(\frac{\sigma^3}{\Delta g^2}\right)$	$\left(\frac{16\pi}{3}\right)\left(\frac{\sigma^3}{\Delta g^2}\right) f(\theta)$

Table 2.2 Values of the Expression:  $f(\theta) = (1/4)(2 + \cos\theta)(1 - \cos\theta)^2$

$\theta$ (°)	Type of Nucleation	$f(\theta)$
0 complete wetting	no nucleation barrier #)	0
10	heterogeneous	0.00017
20		0.0027
30		0.013
40		0.038
50		0.084
70		0.25
90		0.5
110		0.75
130		0.92
150		0.99
170	0.9998	
180 no wetting	homogeneous	1

#) immediate growth can occur

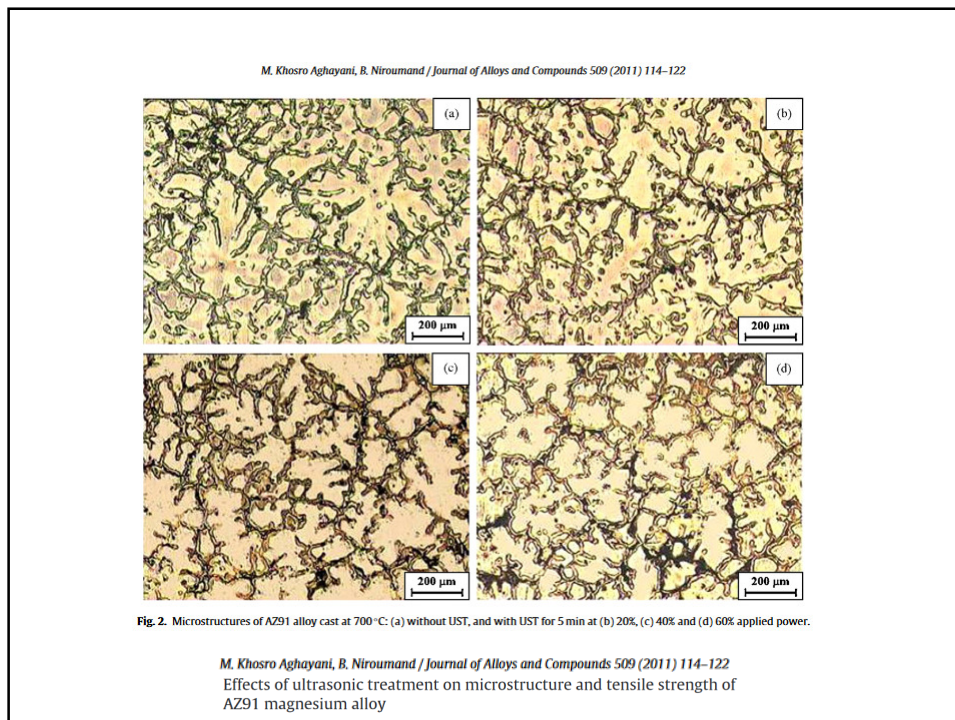
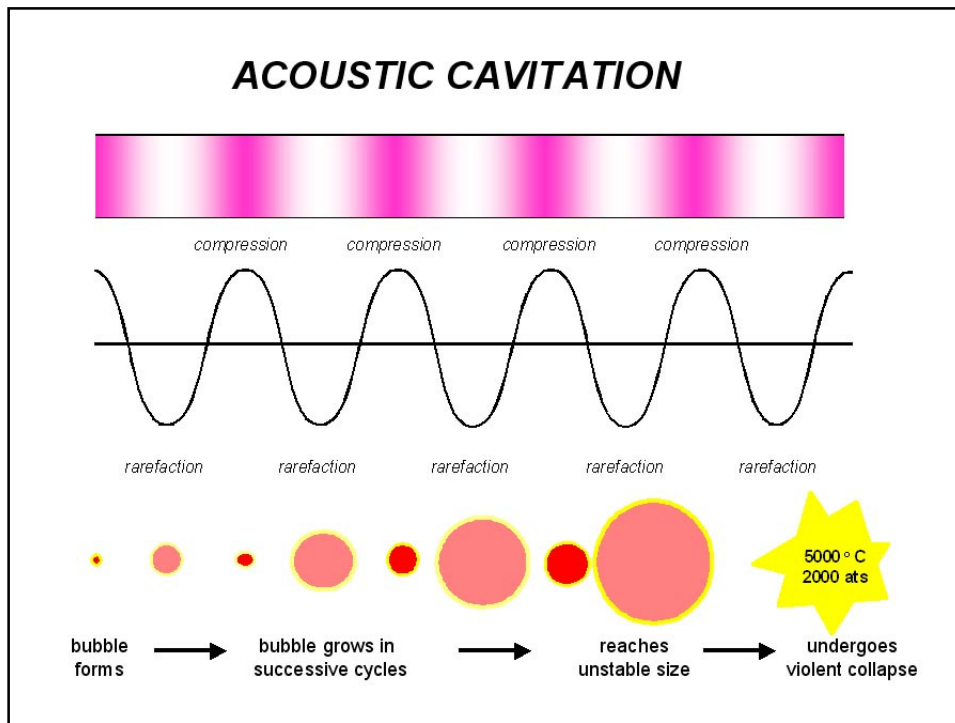
TABLE 2.2  
COMPOUNDS USED TO STUDY THE HETEROGENEOUS NUCLEATION  
OF ALUMINIUM FROM ITS MELT<sup>a</sup>

Compound	Crystal structure	$\delta$ for close-packed planes	Nucleating effect
V <sub>2</sub> C	Cubic	0.014	Strong
TiC	Cubic	0.060	Strong
TiB <sub>2</sub>	Hexagonal	0.048	Strong
AlB <sub>2</sub>	Hexagonal	0.038	Strong
ZrC	Cubic	0.145	Strong
NbC	Cubic	0.086	Strong
W <sub>2</sub> C	Hexagonal	0.035	Strong
Cr <sub>3</sub> C <sub>2</sub>	Complex	---	Weak or nil
Mn <sub>3</sub> C	Complex	---	Weak or nil
Fe <sub>3</sub> C	Complex	---	Weak or nil

TABLE 6.1  
SOME GRAIN REFINING NUCLEANTS<sup>a</sup>

<i>Metal or alloy</i>	<i>Grain refiner</i>	<i>Comments</i>
Magnesium and Mg-Zr alloys	Zirconium added as alloy or salts	Zr or Zr-enriched Mg peritectic nuclei
Magnesium-aluminium	Carbon, for example as hexachlorethane	Al <sub>4</sub> C <sub>3</sub> or AlNAl <sub>4</sub> C <sub>3</sub> nuclei
Magnesium-aluminium	Superheating	In presence of C, Al <sub>4</sub> C <sub>3</sub> and/or Al-Mn nuclei
Magnesium-aluminium-manganese	FeCl <sub>3</sub>	Fe-Al-Mn or Al <sub>4</sub> C <sub>3</sub> nuclei
Mg-Zn	FeCl <sub>3</sub> or Zn-Fe	Fe compound nuclei
Mg-Zn	NH <sub>3</sub>	Nucleated by H <sub>2</sub> (?)
Al alloys	Ti as reducible halide salts or as Al-Ti hardener	TiC nucleus or peritectic TiAl <sub>3</sub>
Al alloys	Ti + B as reducible halide salts or as Al-Ti-B hardener	TiB <sub>2</sub> nuclei, more resistant to melt history
Al alloys	Boron as reducible halide salts or Al-B	AlB <sub>2</sub> nuclei
Al alloys	Niobium	

Cu alloys	Fe metal or alloy	Fe-rich peritectic nuclei
Bronzes	Transition nitrides and borides or FeB	
Cu-Al <sub>2</sub> Cu eutectic	Titanium	Nucleates primary Al
Cu-7%Al	Mo, Nb, W, V	
Cu-9%Al	Bi	
Low alloy steel	Titanium	
Low alloy steel	Transition elements and carbides	
Silicon steel	TiB <sub>2</sub>	Dissolves and precipitates TiN or TiC
Low alloy steel	Fe powder	Introduction of microchilling particles
Austenitic steel	CaCN <sub>2</sub> , nitrated Cr and other metallic powders	In presence of increased nitrogen
Tin alloys	Germanium or indium	
Lead alloys	S	
Lead alloys	Se, Te	
Type metal	As, Te	
Monel	Lithium	
Al-Si hypereutectic	Phosphorus as Cu-P, PNCl <sub>2</sub> or proprietary inoculant	Refines primary Si
Fe-C (graphite)	Carbon	Refines eutectic, probably through nucleating graphite
Fe-C-Si (graphite)		
Grey cast iron		
Grey cast iron	Si alloys containing aluminium, alkaline earths and/or rare earths	Refines eutectic, may nucleate through precipitation of carbides or graphite



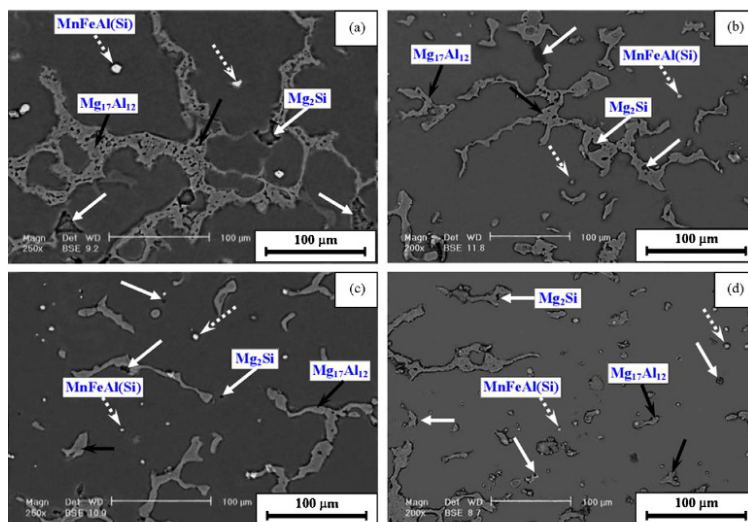


Fig. 5. SEM micrographs of different intermetallic phases observed in different samples: (a) without UST, and with UST for 5 min at (b) 20%, (c) 40% and (d) 60% applied power.

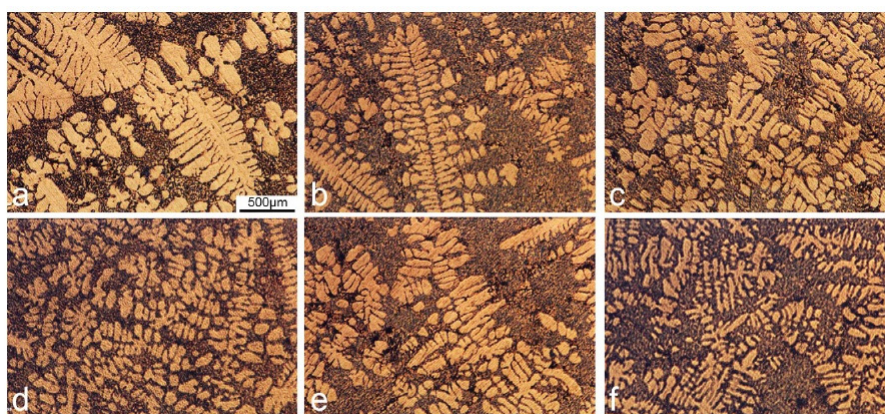
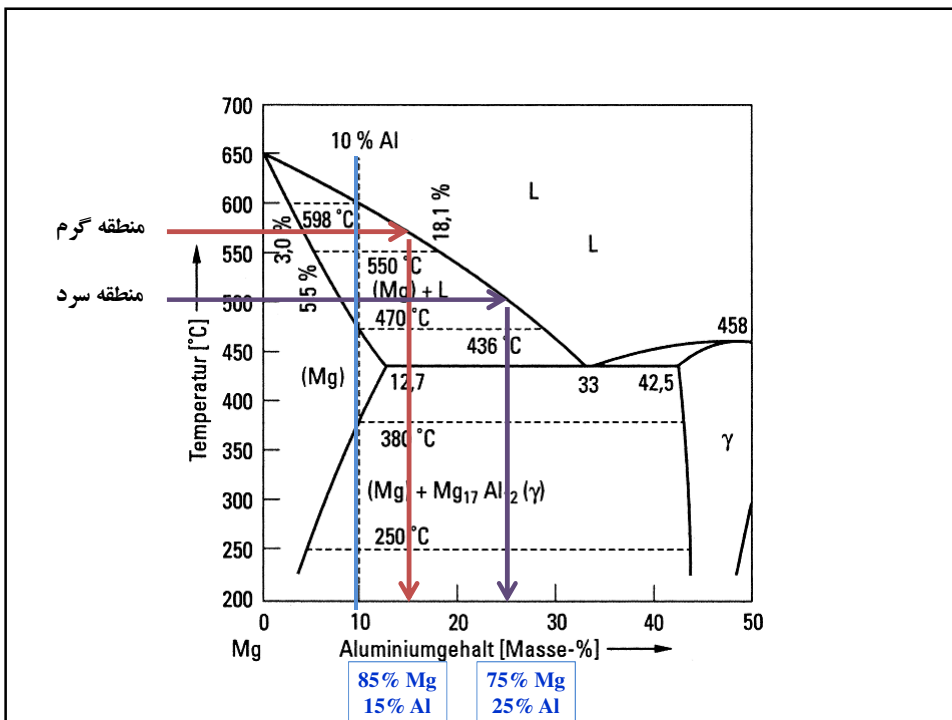
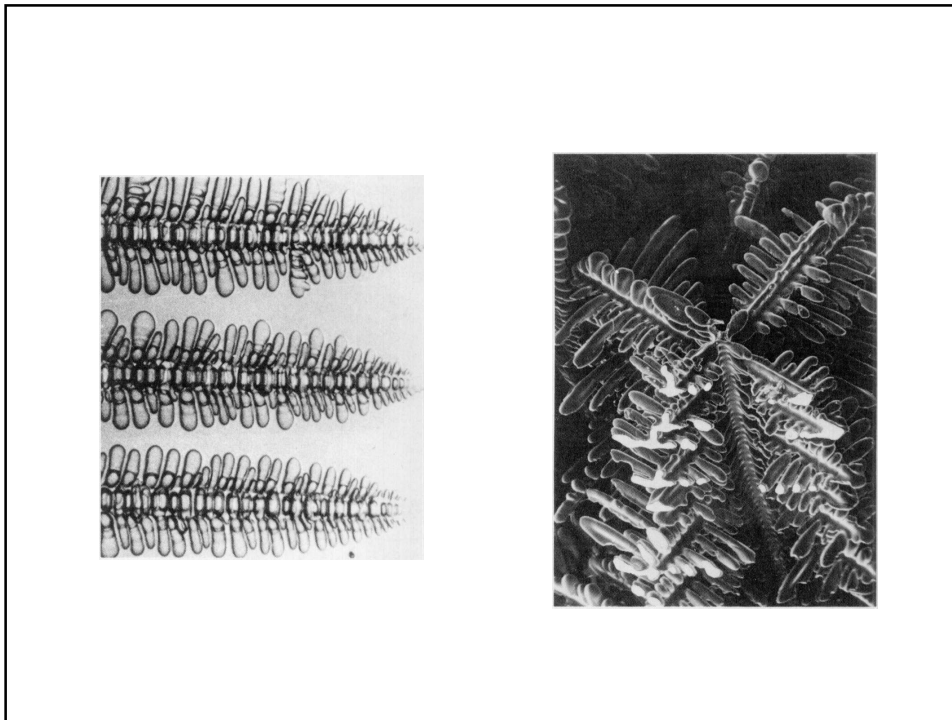
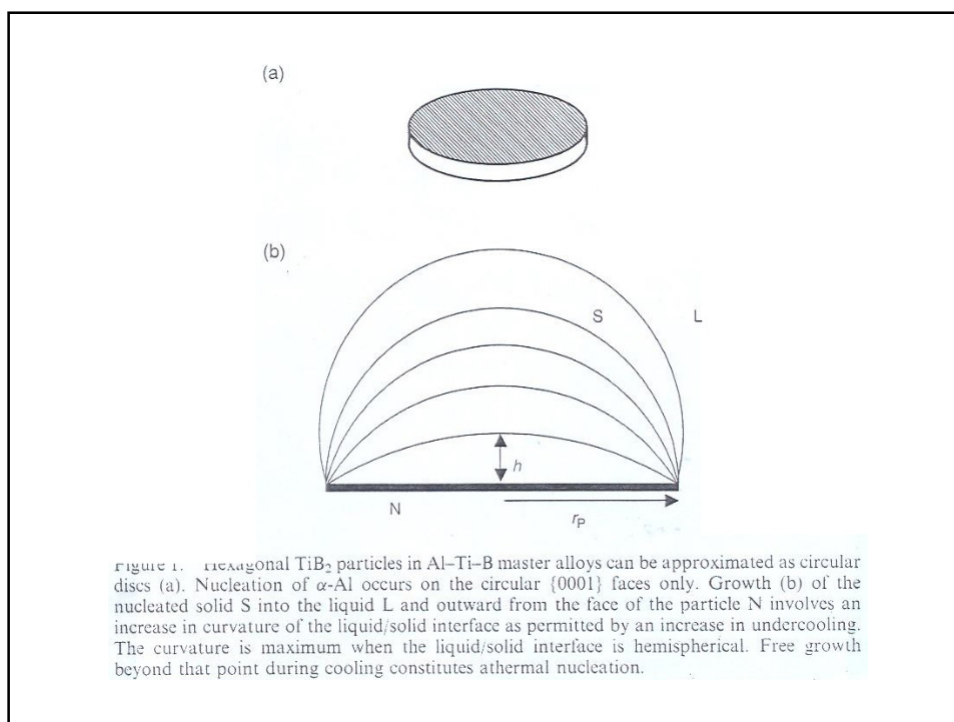
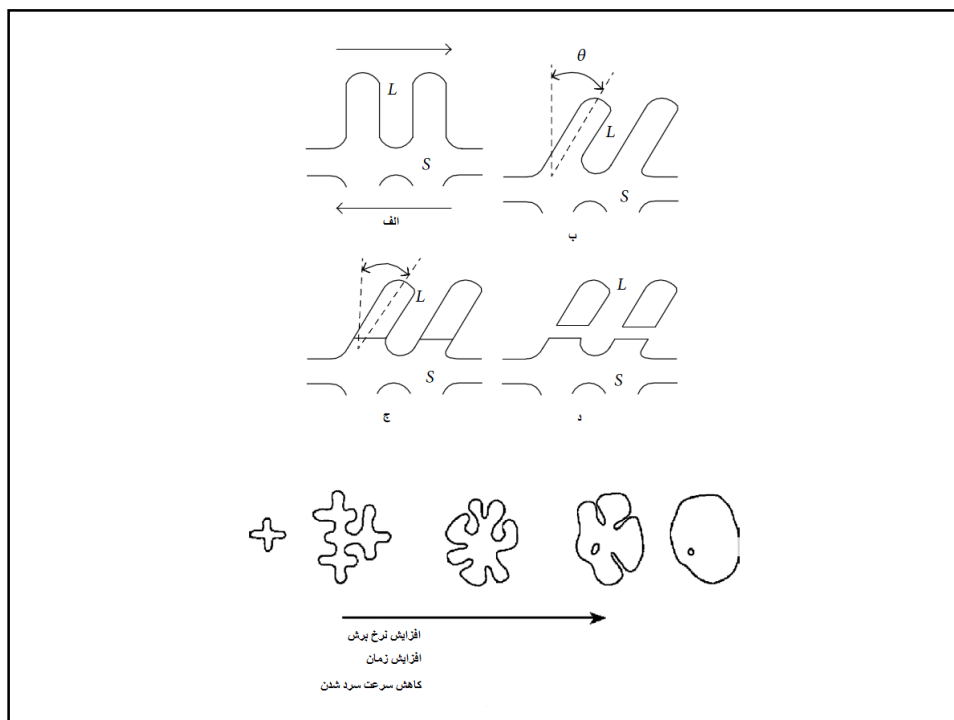


Fig. 1 - Effect of external pressure on the microstructure of squeeze cast LM13 alloy: (a) 0 (atmospheric pressure), (b) 20 MPa, (c) 53 MPa, (d) 106 MPa, (e) 171 MPa, (f) 211 MPa ( $T_m = 730^\circ\text{C}$  and  $T_d = 200^\circ\text{C}$ ).







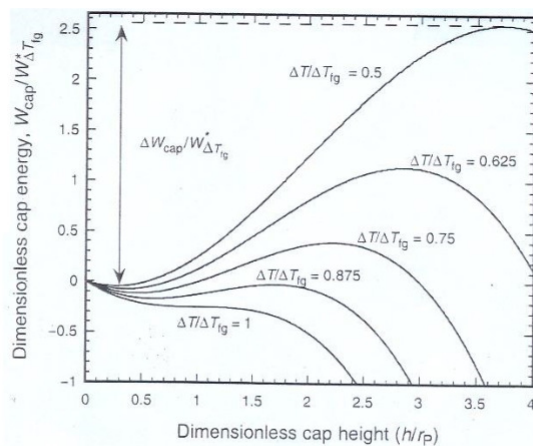


Figure 2. Dimensionless work of formation ( $W_{cap}/W_{\Delta T_{fg}}^*$ ) of solid cap on a circular nucleant area as a function of dimensionless cap height ( $h/r_p$ ) for selected values of dimensionless undercooling ( $\Delta T/\Delta T_{fg}$ ) (equation (5)). The minima (maxima) in these energy curves represent metastable (unstable) equilibrium configurations.

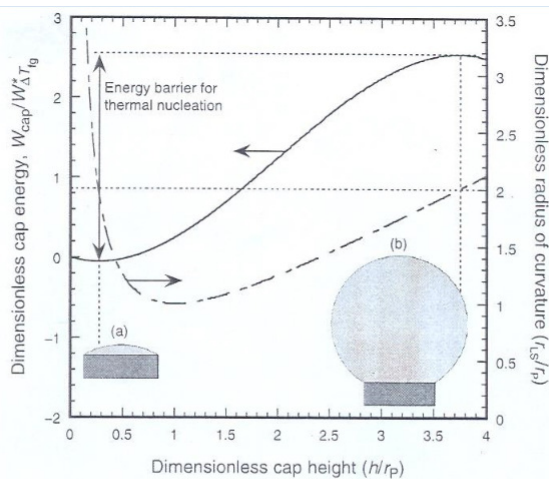
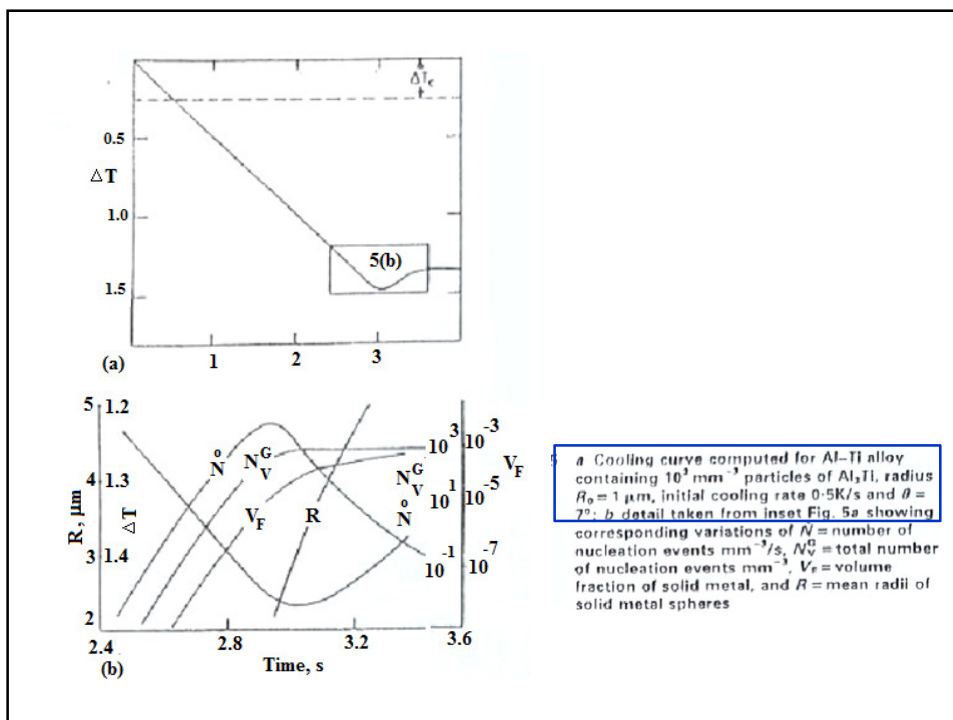
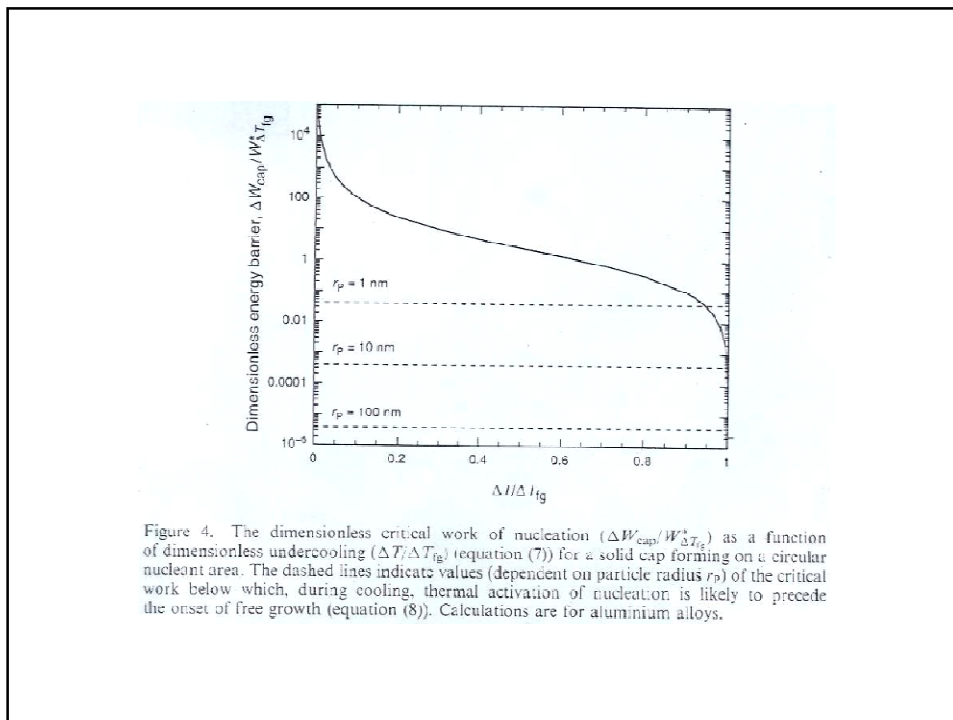
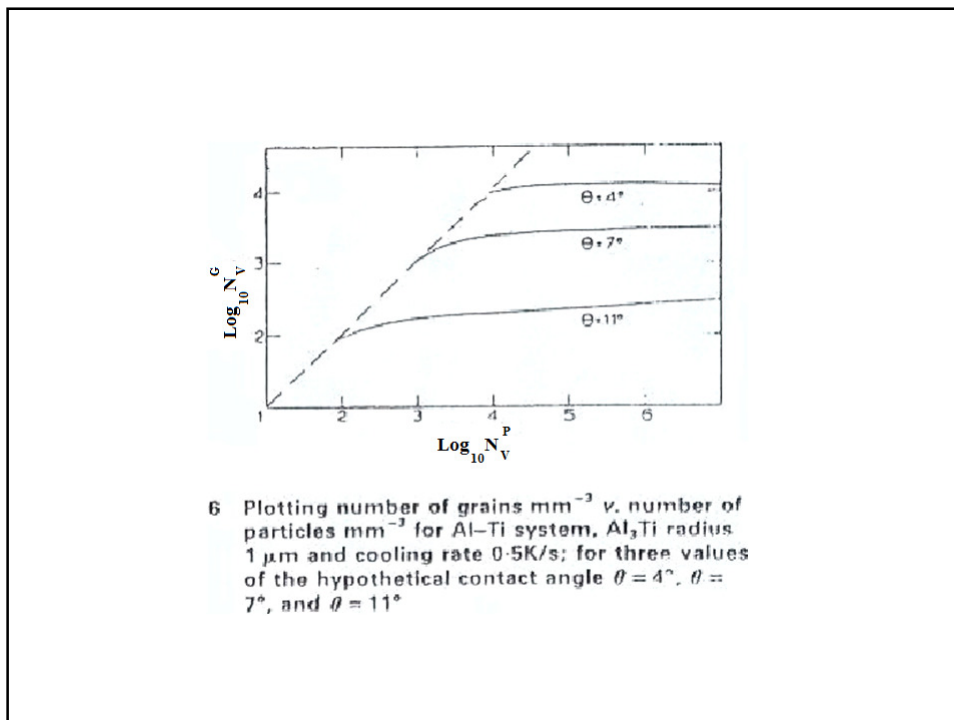
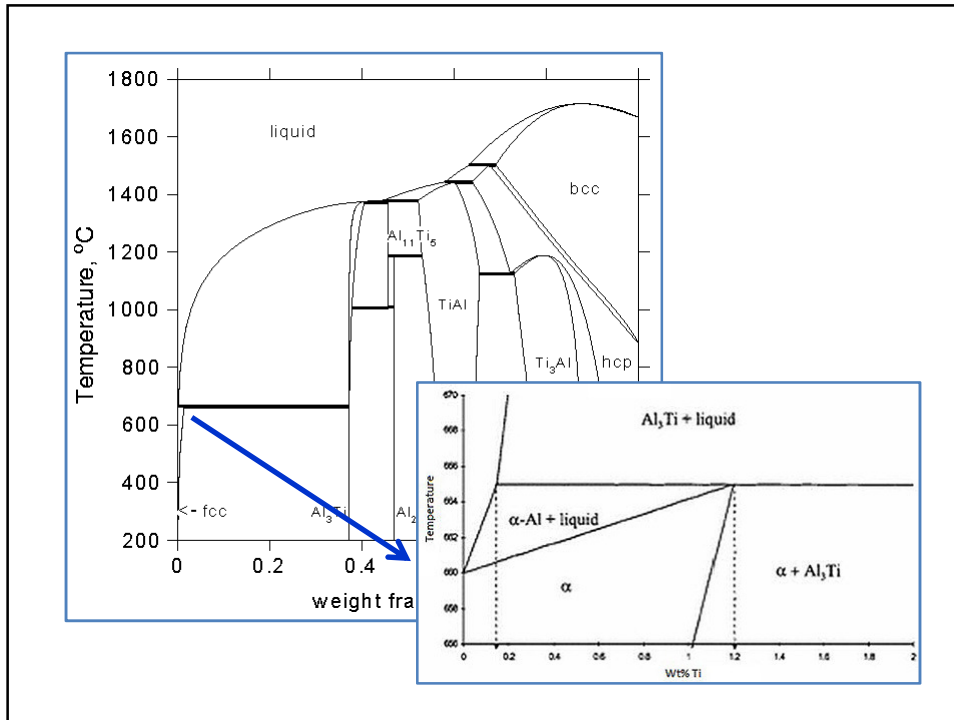
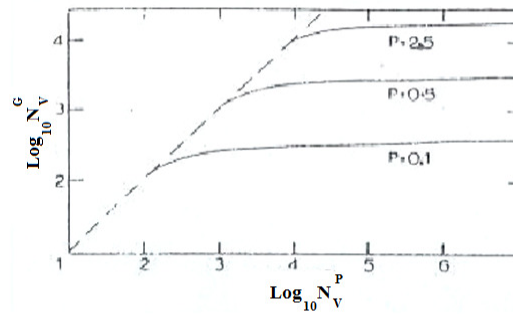


Figure 3. The dimensionless work of formation ( $W_{cap}/W_{\Delta T_{fg}}^*$ ) of the solid cap and the radius of curvature of the liquid/solid interface ( $r_{LS}/r_p$ ) as a function of the dimensionless cap height ( $h/r_p$ ) at a dimensionless undercooling of 0.5. The barrier for nucleation is the energy difference between the extrema on the work curve. The inset diagrams illustrate (a) the metastable equilibrium and (b) the unstable equilibrium configurations of solid (light-grey shading) for a dimensionless undercooling of 0.5.

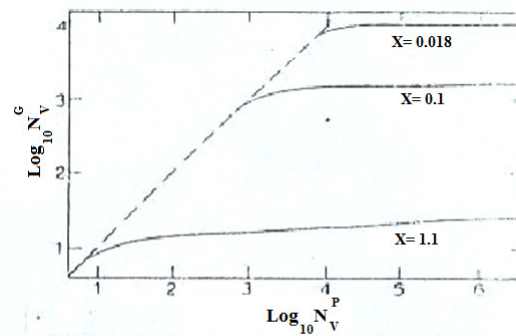




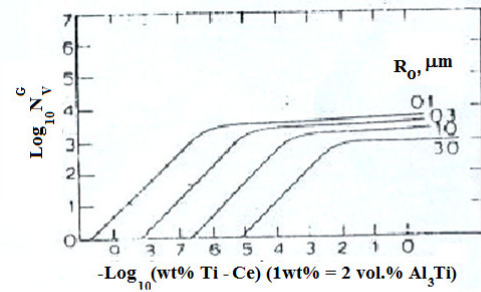




7 As Fig. 6 with  $\theta = 7^\circ$  and for cooling rates  $P = 0.1\text{K/s}$ ,  $P = 0.5\text{K/s}$  and  $P = 2.5\text{K/s}$



8 As Figs 6-7, with  $\theta = 4^\circ$ ,  $P = 0.5\text{K/s}$ , and for phase diagram data,  $X$ , from AlTi ( $X = 0.018$ ), Al-Zr ( $X = 0.1$ ) and Al-Cr ( $X = 1.1$ ), where  $X = \frac{1}{3}/C_0 m(k-1)$



11 Data from Fig. 9 replotted for different particle sizes as functions of excess Ti concentration beyond the effective liquidus concentration C.

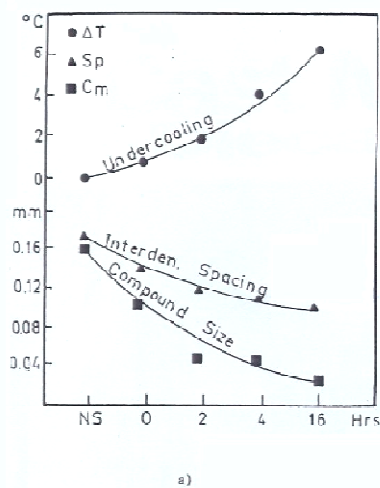


Fig. 23: b) Effect of superheating and holding at high temperature on undercooling, interdendritic spacing and size of intermetallic compound. NS = not superheated, 0 = Superheated 450°C and cooled immediately to pouring temperature; 2, 4, 16 = held at superheating temperature for 2, 4, 16 hours respectively before cooling to pouring temperature.

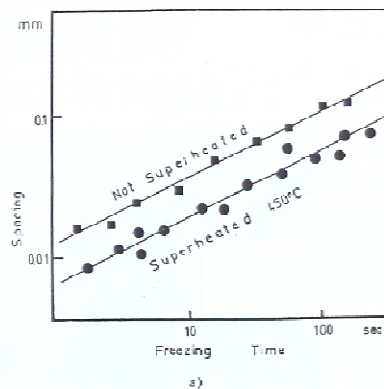
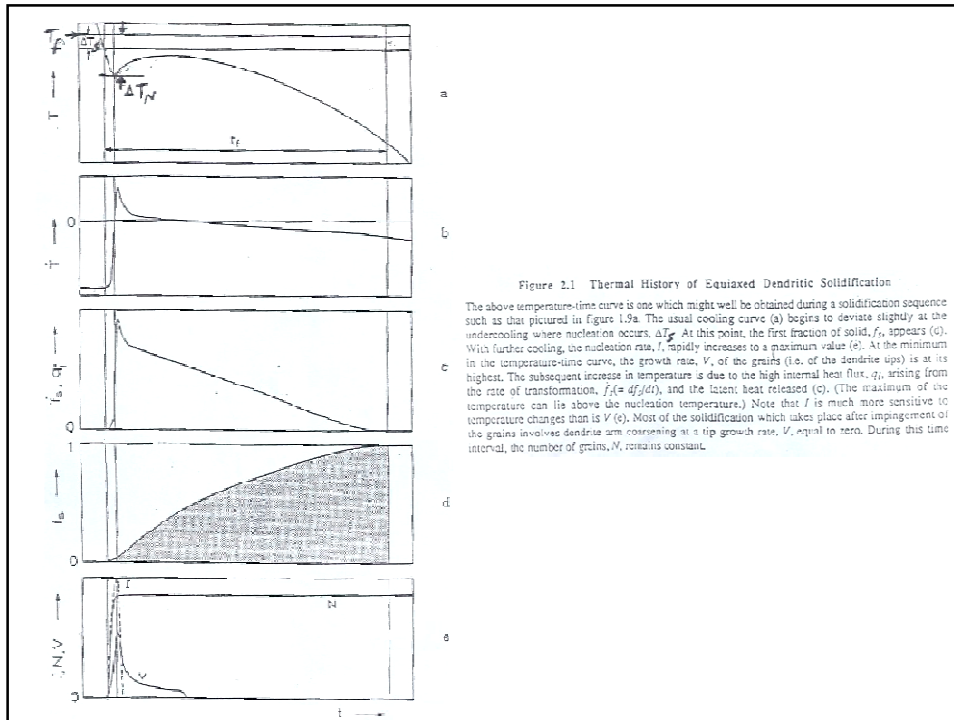


Fig. 25: Effect of superheat on interdendritic spacing and structure.

a) Effect of superheating on growth of spacing during solidification.



## Solid-liquid interface

### Atomic scale!

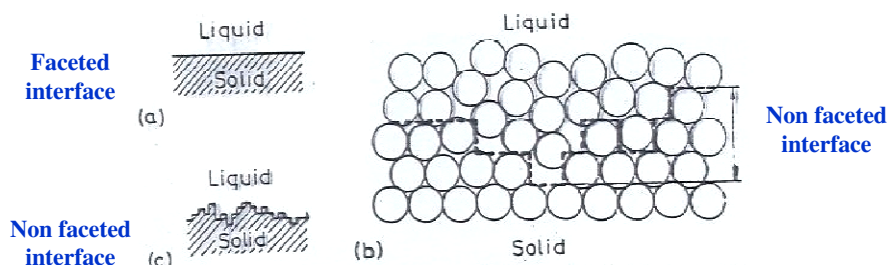
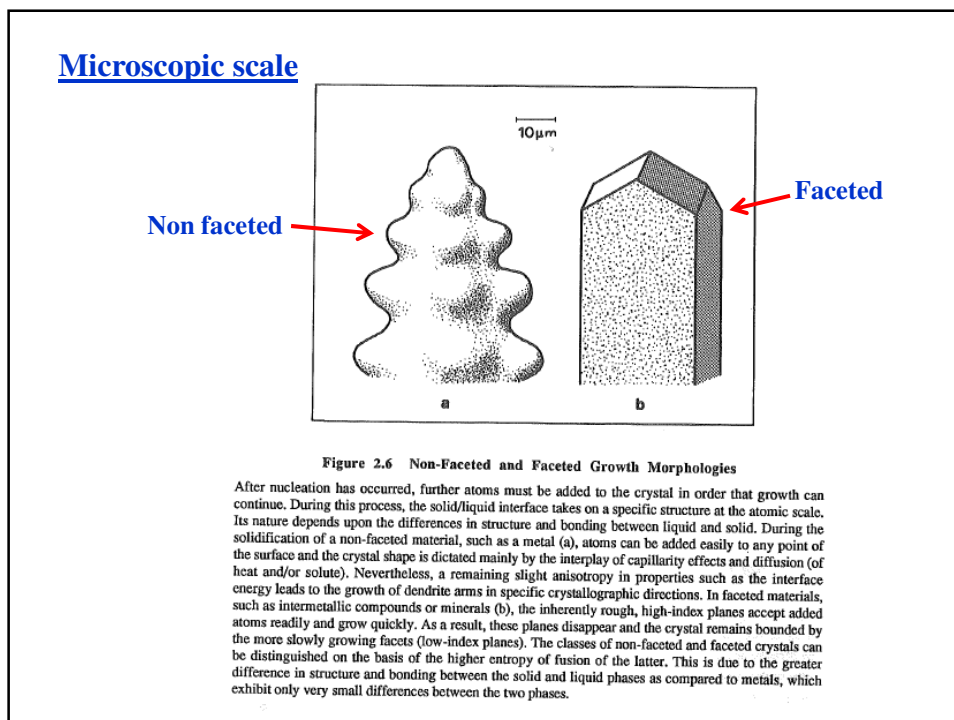
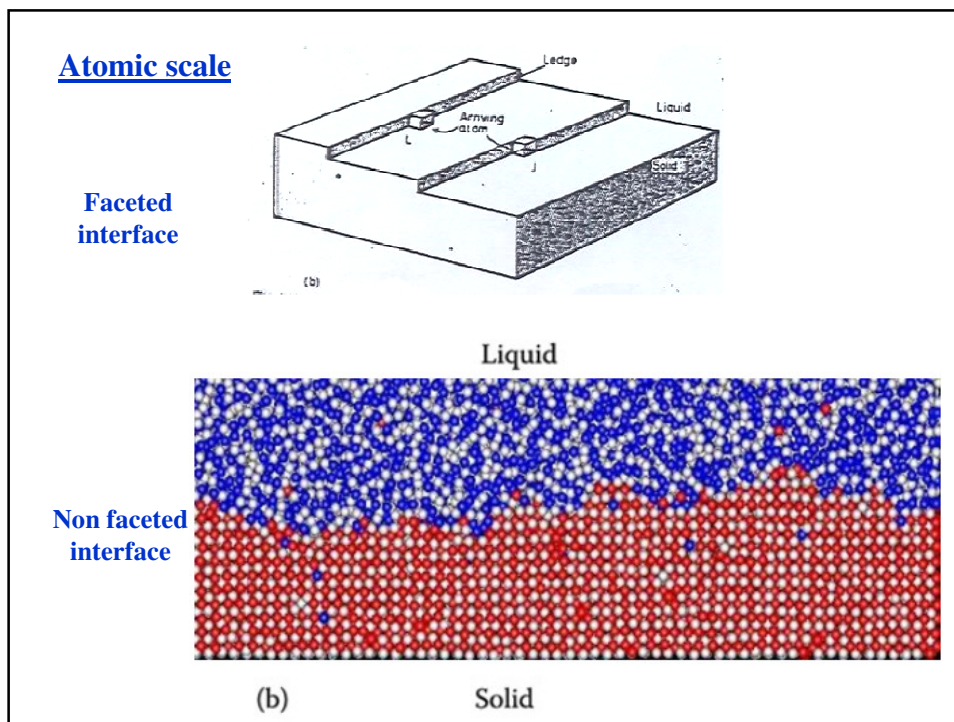


Fig. 3.63 Solid/liquid interfaces: (a) atomically smooth. (b) and (c) atomically rough, or diffuse interfaces. (After M.C. Flemings, *Solidification Processing* McGraw-Hill, New York, 1974.)

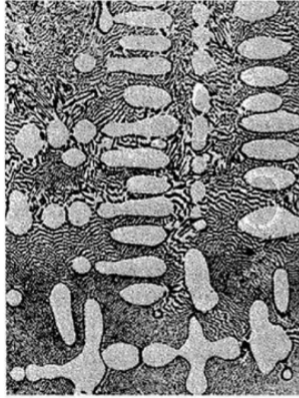




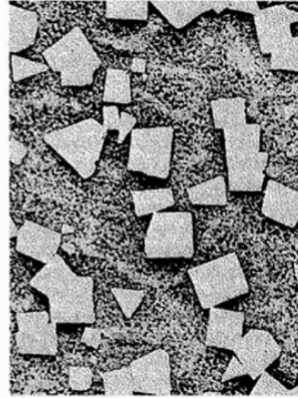
### Microscopic scale

Cu-Ag 공정 기지내 Ag 수지상

Sn(Sb) 고용체 내에서 생성된  $\beta'$ -SnSb 화합물

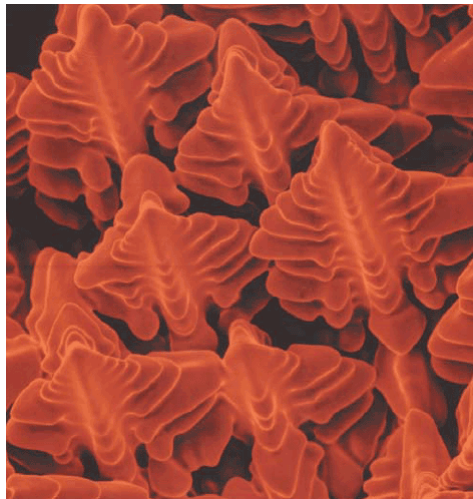


(a) Non-faceted



(b) Faceted

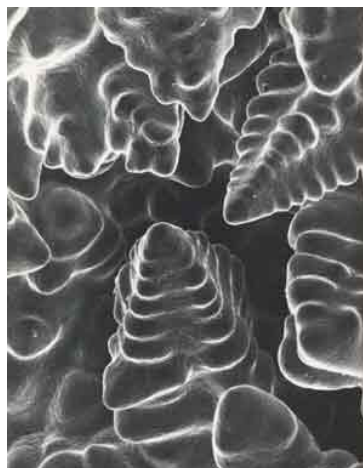
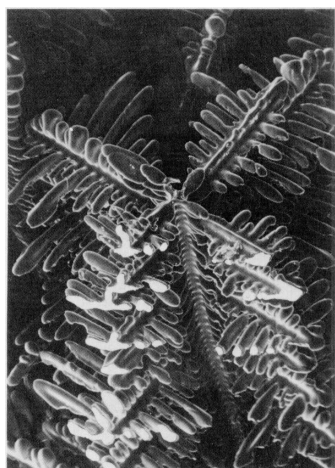
### Examples of non-faceted growth morphologies



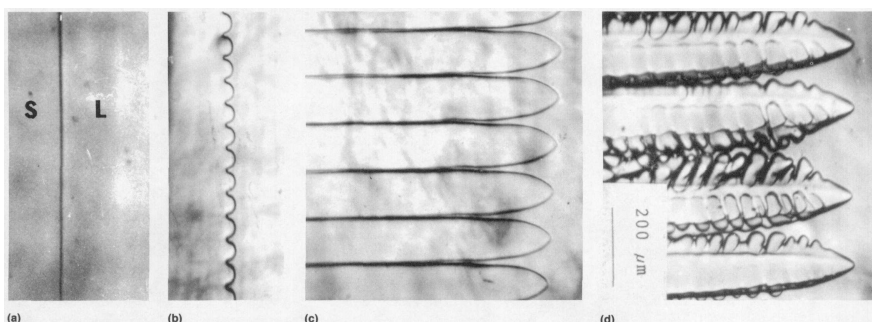
Non-faceted dendrites in a nickel-based super alloy single-crystal weld



Examples of non-faceted growth morphologies

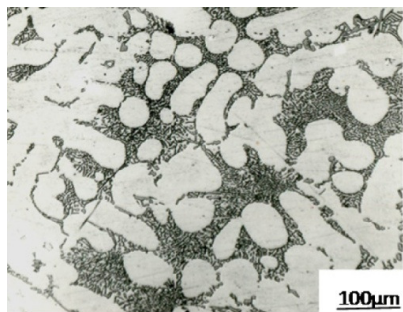


Examples of non-faceted growth morphologies

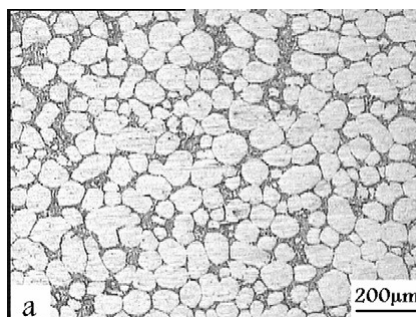


Non-faceted-interface morphology for SCN crystals

### Examples of non-faceted growth morphologies

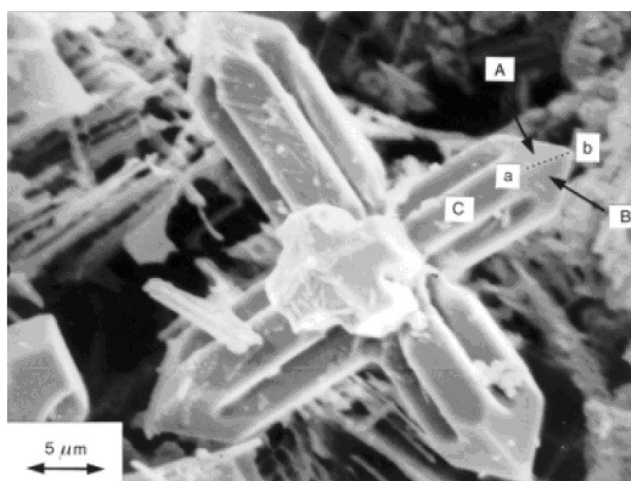


Non-faceted dendritic growth of a hypoeutectic Al-Si alloy



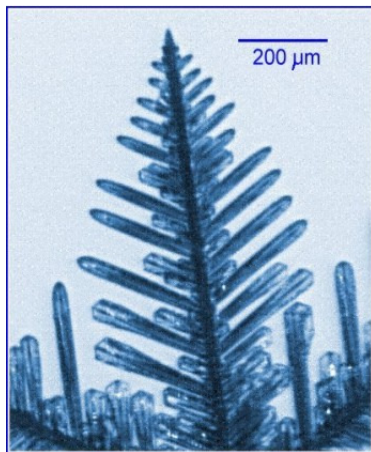
Non-faceted non-dendritic growth of a hypoeutectic Al-Si alloy

### Examples of faceted growth morphologies



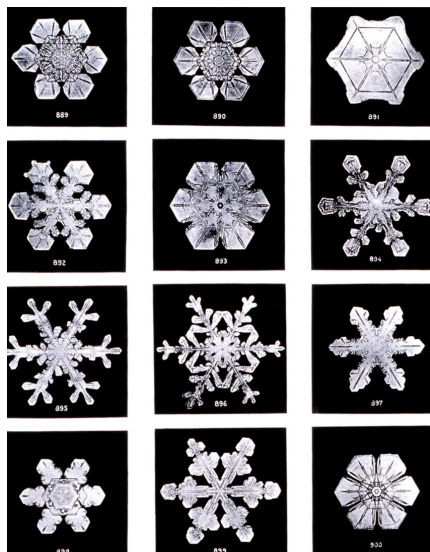
Faceted NbC dendrite in a Fe-34wt%Cr-5wt%Nb-4.5wt%C alloy

Examples of faceted growth morphologies



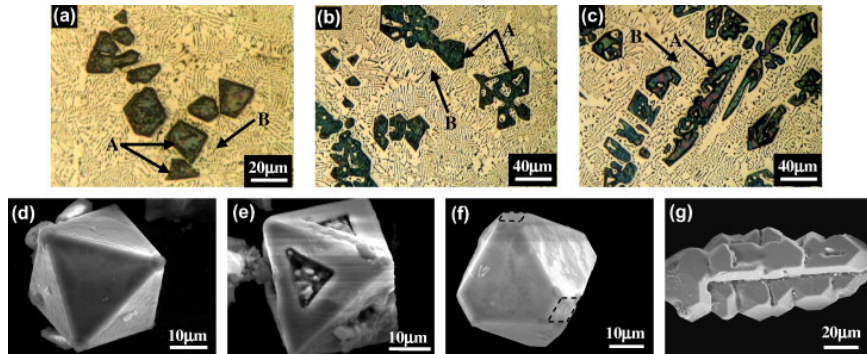
Faceted ice dendrite formation

Examples of faceted growth morphologies



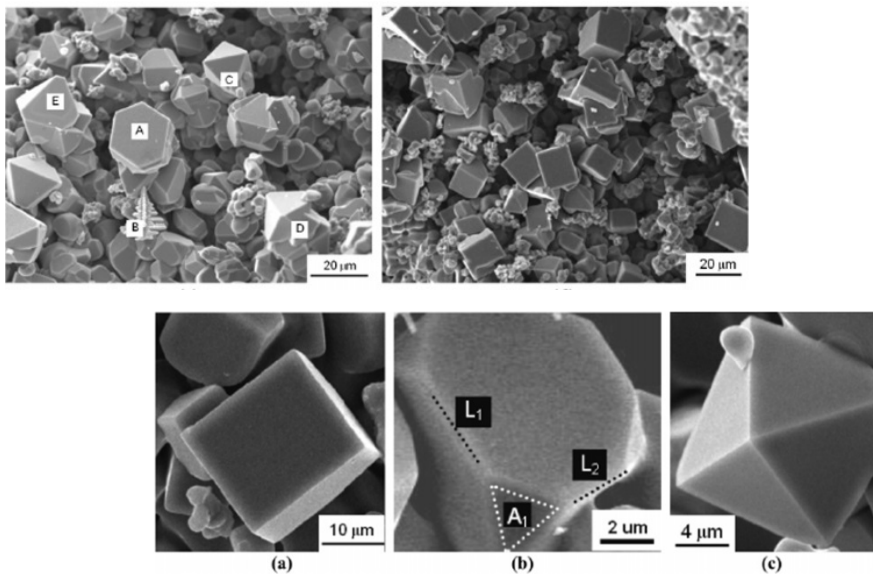
Faceted snowflake

Examples of faceted growth morphologies



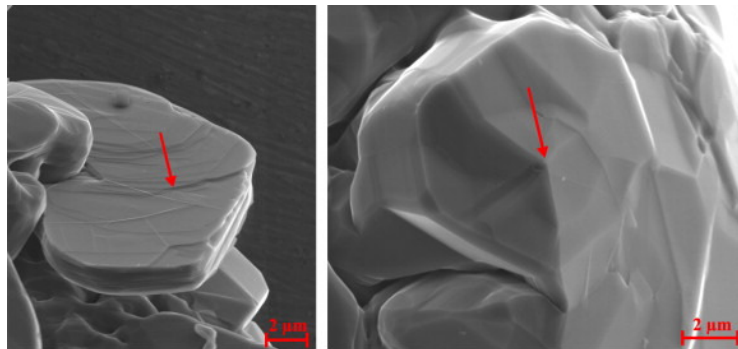
Faceted primary  $Mg_2Si$  particles in an Al-Si-Mg alloy

Examples of faceted growth morphologies



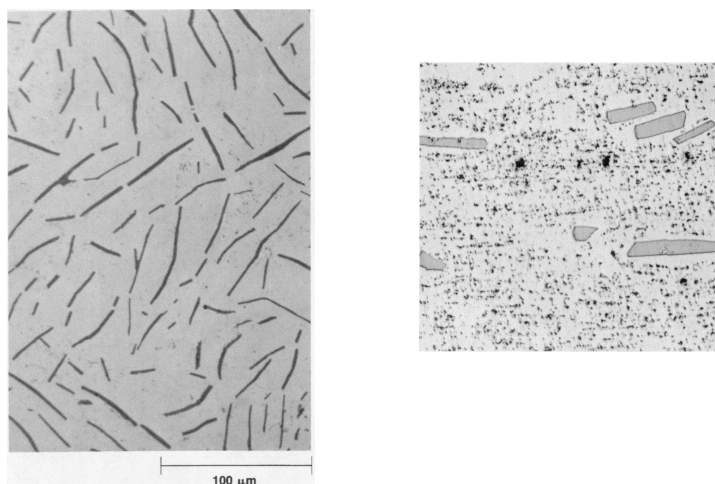
TiN crystals

Examples of faceted growth morphologies



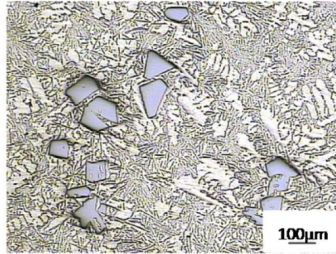
(a) (b)  
Faceted growth of graphite basal plane by  
(a) 2-D nucleation and migration of ledges and  
(b) spiral growth

Examples of faceted growth morphologies

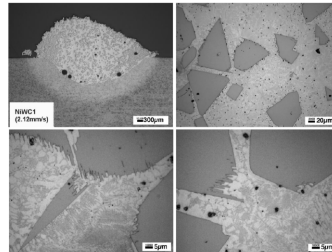




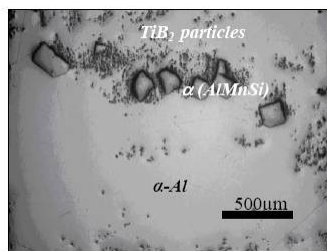
**Examples of faceted growth morphologies**



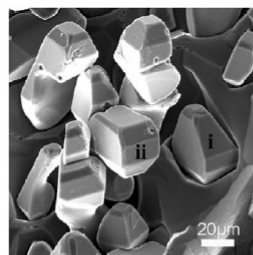
**Faceted primary Si in an Al-Si alloy**



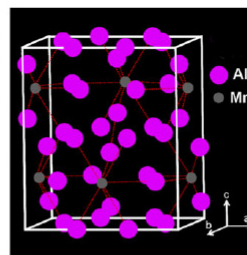
**NiWC in a laser surface alloyed sample**



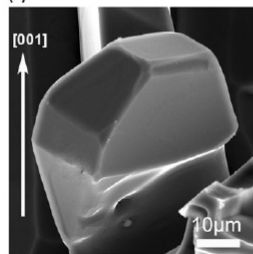
**Faceted TiB<sub>2</sub> crystals in an Al alloy**



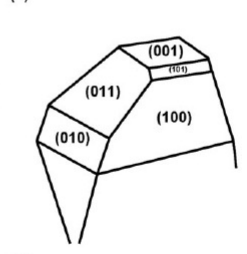
(a)



(b)



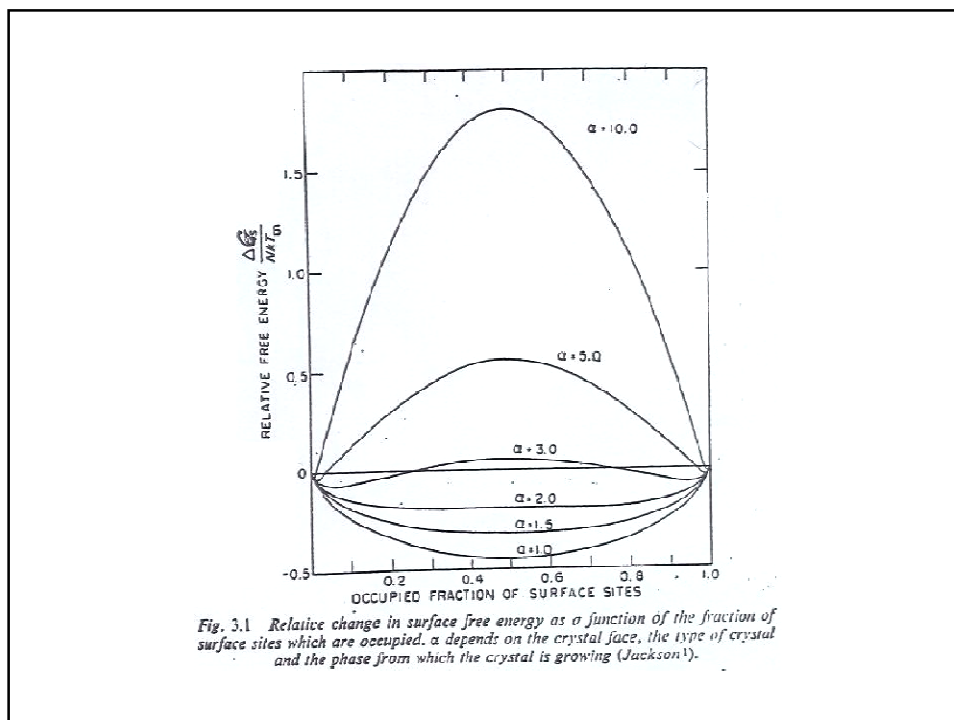
(c)



(d)

FIG. 2. 3D morphologies of primary Al<sub>6</sub>Mn IMCs at 1 µm/s (a) and (c), unit cell of Al<sub>6</sub>Mn IMCs (b), and indices of crystal planes corresponding to Fig. 2c (d).

H. Kang and T. Wang, "Faceted–nonfaceted growth transition and 3-D morphological evolution of primary Al<sub>6</sub>Mn microcrystals in directionally solidified Al-3at.% Mn alloy", *J. Mater. Res.*, Vol. 29, 1256-1263, 2014.



## Faceted and Non-Faceted growth morphologies

Table 2.4 Growth Morphologies and Crystallisation Entropies

Dimensionless Entropy ( $\Delta S_f/R$ )	Supersaturated Substance	Phase	Morphology
~1	metals	melt	non-faceted
~1	'plastic' crystals	melt	non-faceted
2-3	semiconductors	solution	nf/faceted
2-3	semimetals	solution	nf/faceted
~6	molecular crystals	solution	faceted
~10	metals	vapour	faceted
~20	complex molecules	melt	faceted
~100	polymers	melt	faceted



## Faceted and Non-Faceted growth morphologies

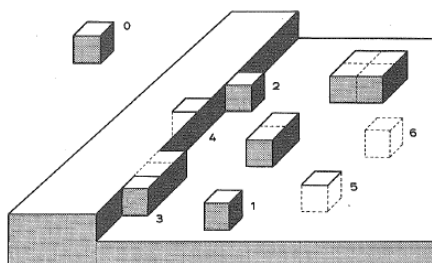


Figure 2.7 Variation in Bond Number at the Solid/Liquid Interface of a Simple-Cubic Crystal

In order to understand the two types of growth shown in figure 2.6, the various ways in which an atom can be adsorbed at the solid/liquid interface have to be considered. Growth is determined by the probability that an atom will reach the interface and remain adsorbed there until it has been fully incorporated into the crystal. This probability increases with an increasing number of nearest neighbours in the crystal. The possible arrangements of atoms on the crystal interface are indicated here, where the numbers specify the number of neighbouring atoms in the crystal (when the crystal coordination number is 6 as in a simple cubic crystal). The atoms of the liquid phase are not shown here. A special role is played by type 3 atoms in the growth of faceted crystals because, having three bonds, they can be considered to be situated half in the solid and half in the liquid. A likely growth sequence would be: addition of type 3 atoms until a row is complete; addition of a type 2 atom to start a new row; and so on until a layer is complete. Thereupon, nucleation of a new layer by the addition of a type 1 atom would be necessary. This is an unfavourable process and requires a very high undercooling. Therefore, other processes will play a role (Fig. 2.10).

## Faceted and Non-Faceted growth morphologies

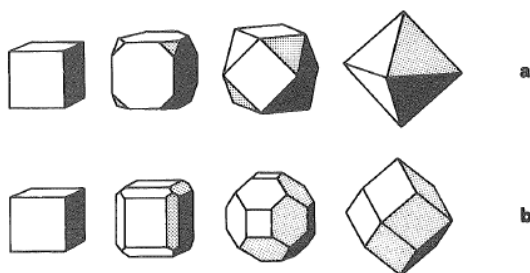
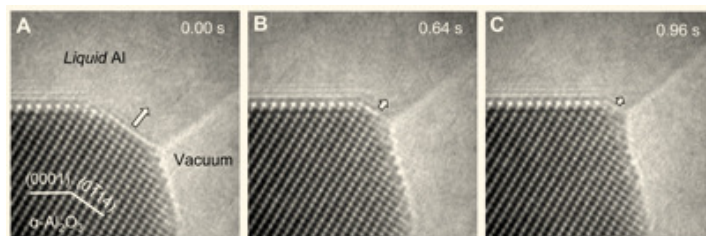


Figure 2.9 Development of Faceted Crystal Growth Morphologies

A growing cubic crystal which is originally bounded only by (100) planes (left hand side) will change its shape to an octahedral form (bounded by (111)) when the (100) planes grow more quickly than the (111) planes (a). Often, impurities change the growth behaviour of specific planes and this results in the appearance of different growth forms for the same crystal structure. If the (110) planes are the slowest-growing, this will lead to a rhombohedral dodecahedron (b). The slowest-growing planes (usually of low-index type) always dictate the growth habit of the crystal. The resultant minimum growth rate form is not the same as the equilibrium (non-growing) form, which is governed by minimisation of the total surface energy.

## Examples of Faceted growth morphologies



### A sapphire nano-wire growth

Sapphire nano-wires produced using a vapour-liquid-solid (VLS) nano-wire growth technique directly on a TEM microscope 'hot stage'.

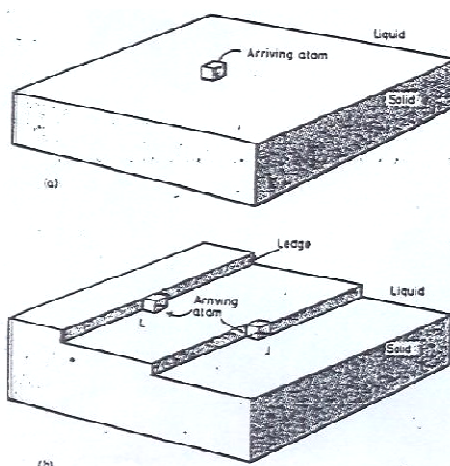


Fig. 4.11. Atomically smooth solid/liquid interfaces with atoms represented by cubes. (a) Addition of a single atom onto a flat interface increases the number of 'broken bonds' by four. (b) Addition to a ledge (L) only increases the number of broken bonds by two, whereas at a jog in a ledge (J) there is no increase.

## 2D Nucleation

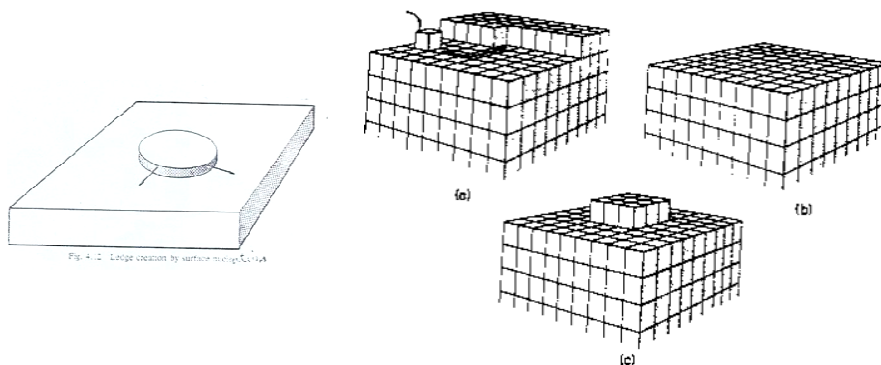


Figure 6.2. A mode of crystal growth without dislocations: (a) migration towards desired location; (b) completed layer; (c) surface nucleation

## Spiral Growth

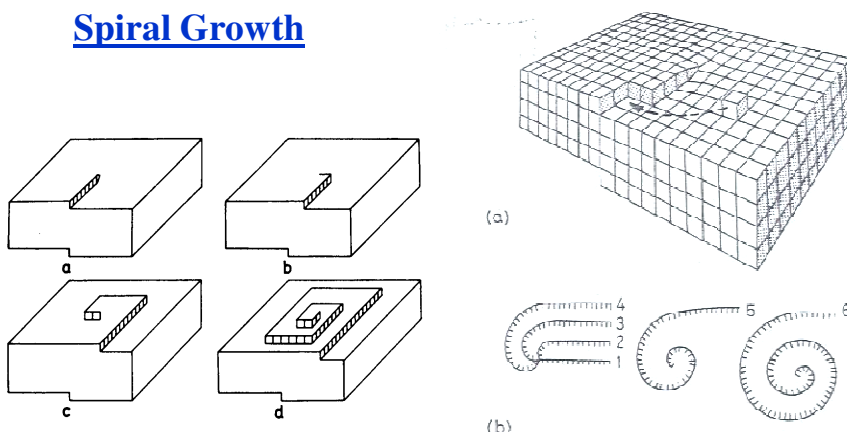


Fig. 4.13 Spiral growth. (a) A screw dislocation terminating in the solid/liquid interface showing the associated ledge. (After W. T. Read Jr., *Dislocations in Crystals*, © 1953 McGraw-Hill. Used with the permission of McGraw-Hill Book Company.) Addition of atoms at the ledge causes it to rotate with an angular velocity decreasing away from the dislocation core so that a growth spiral develops as shown in (L). (After J. W. Christian, *The Theory of Phase Transformations in Metals and Alloys*, Pergamon Press, Oxford, 1965.)

## Spiral Growth

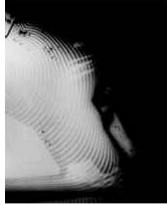


Figure 6.5. A circular spiral on a silicon carbide crystal. (Courtesy Westinghouse Corporation)

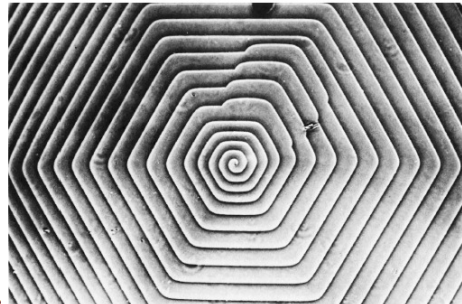
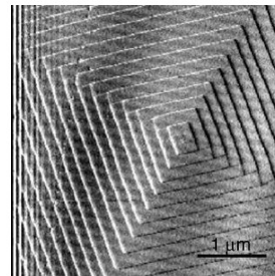


Figure 21 Phase contrast micrograph of a hexagonal spiral growth pattern on a SiC crystal. The step height is 165 Å. (A. R. Verma.)



Figure 6.6. An elliptical spiral on the (100) face of an ammonium dihydrogen phosphate crystal growing in aqueous solution (Davey and Mullin, 1974)



BaSO<sub>4</sub> growth spiral imaged, during precipitation, with AFM. Bright and dark lines are elementary step edges, each only 0.75 nm high.

## Growth on Twin Boundries

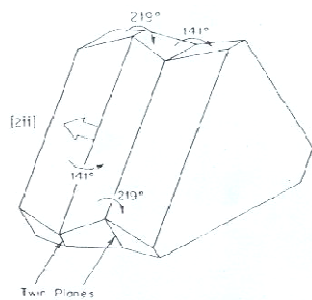


FIGURE 9-24 Germanium crystal with two twin planes has six favored reentrant sites 60° apart. (From Hamilton and Seidensticker.<sup>47</sup>)

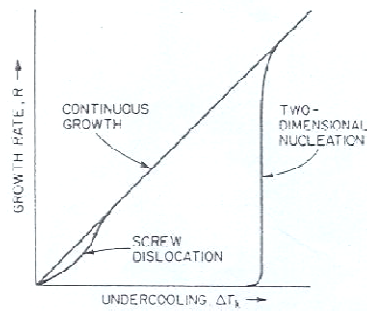
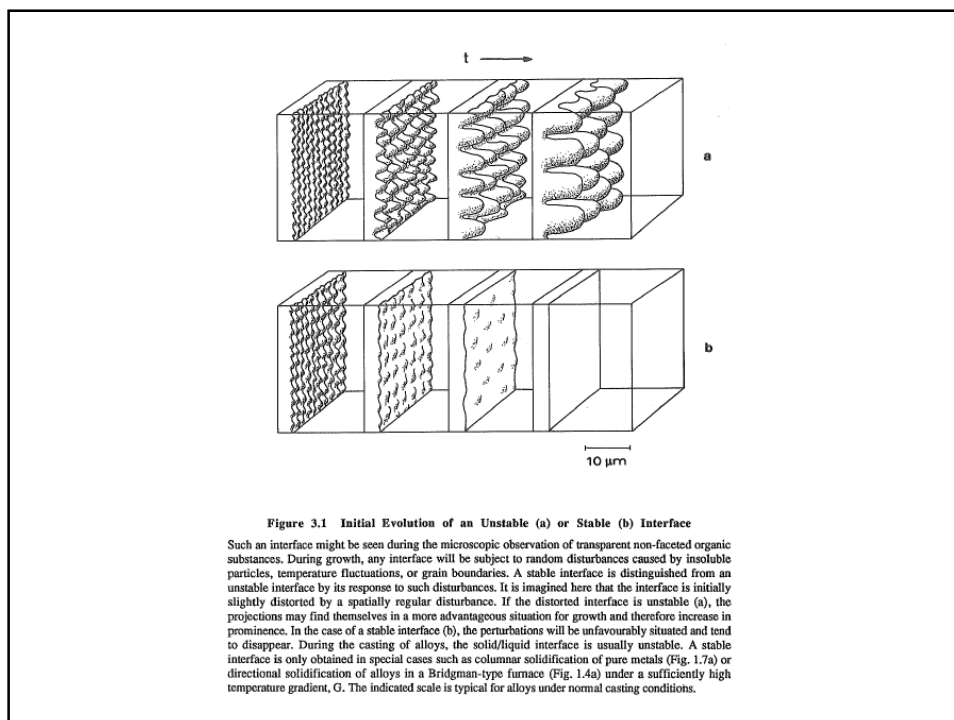
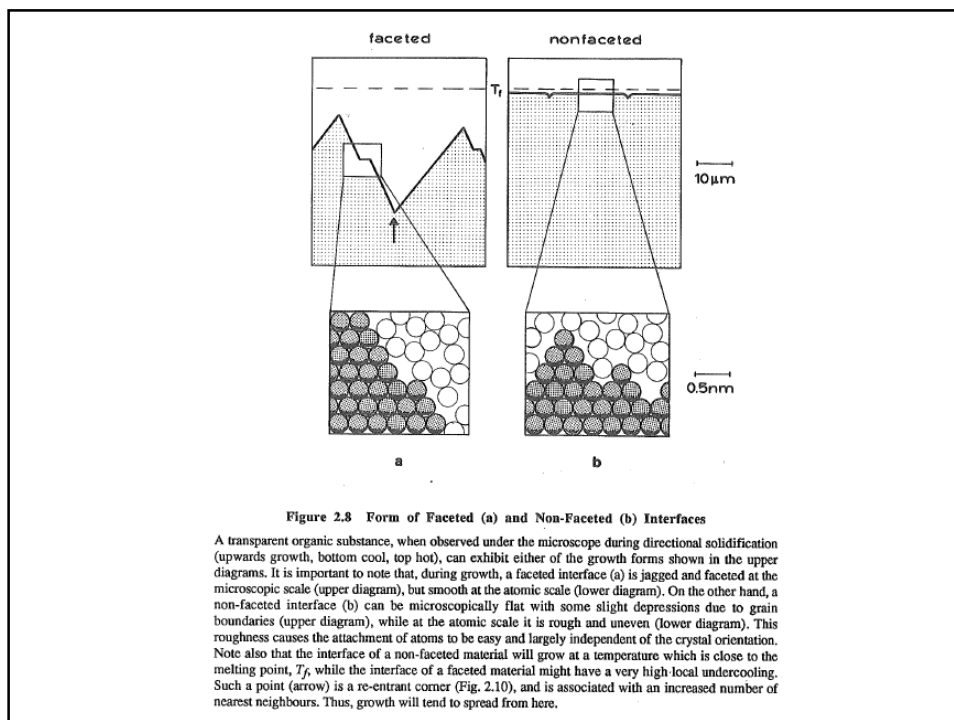
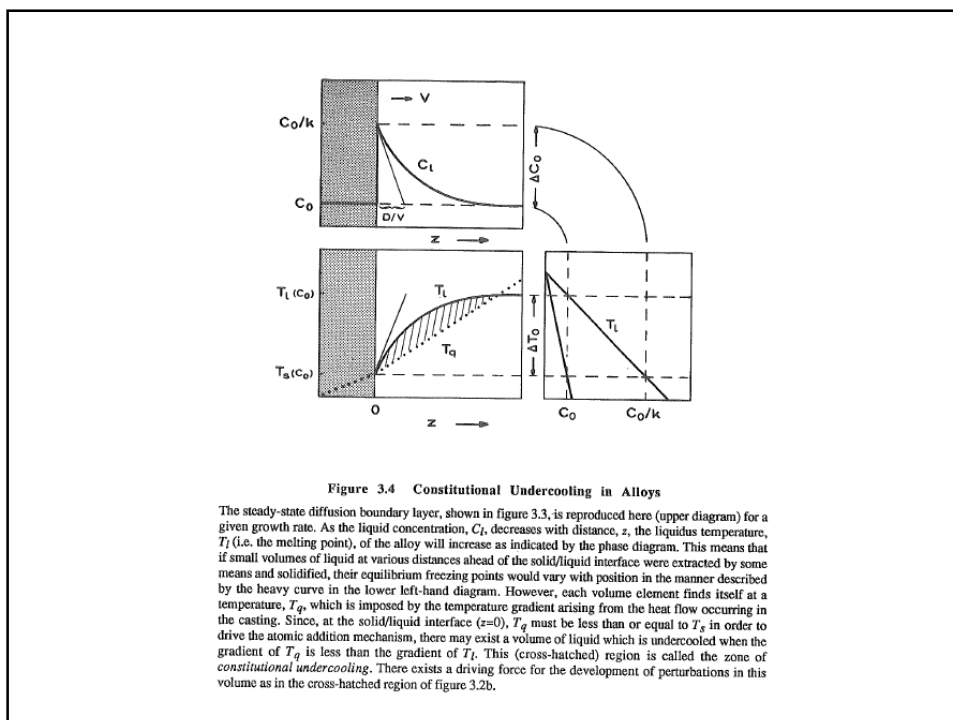
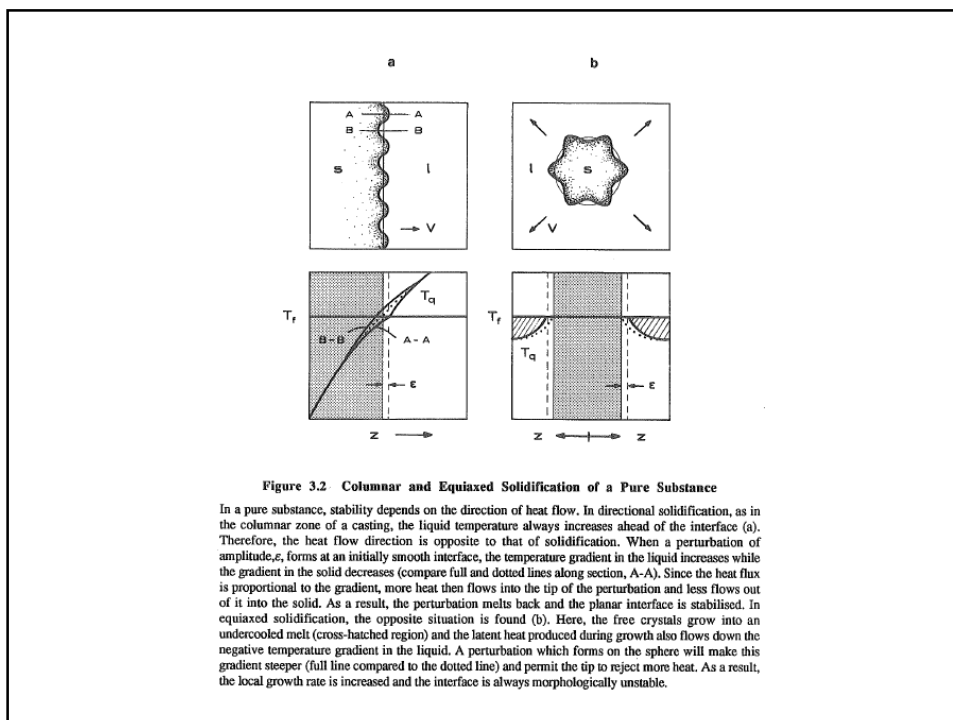
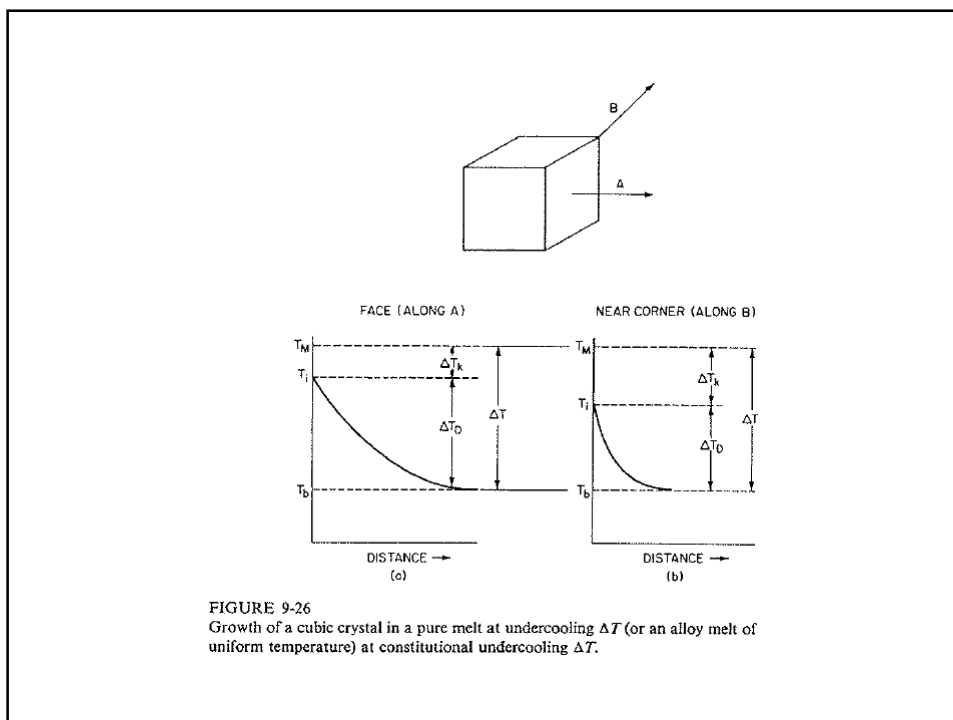
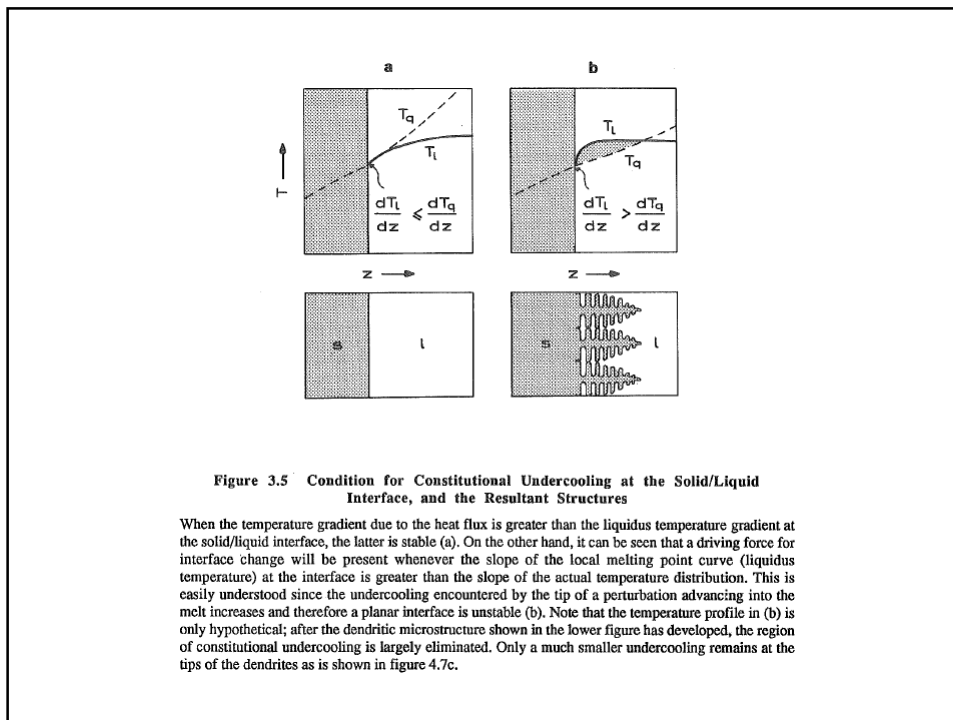


FIGURE 9-14 Growth rate versus interface undercooling according to the three classical laws.









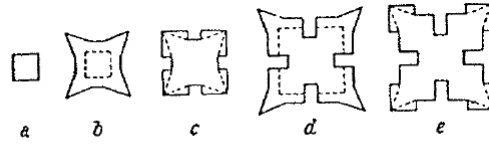


FIGURE 9-28  
Development of a KCl dendrite. (From Papapetrou.<sup>54</sup>)

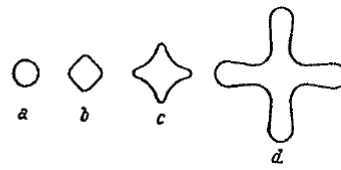


FIGURE 9-29  
Development of a  $\text{NH}_4\text{Cl}$  dendrite.  
(From Papapetrou.<sup>54</sup>)

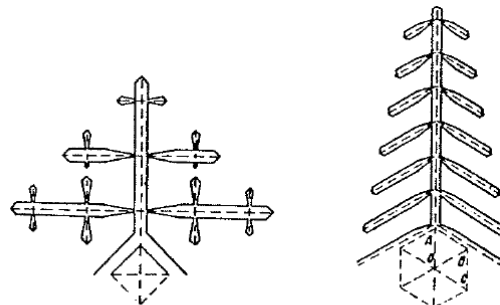


FIGURE 9-30  
Faceted dendrites of materials of two different crystal structures. (From Saratoukin.<sup>55</sup>)

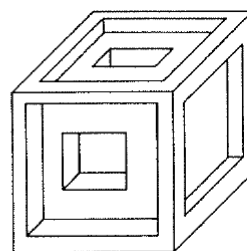
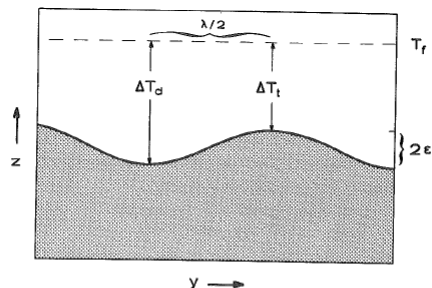
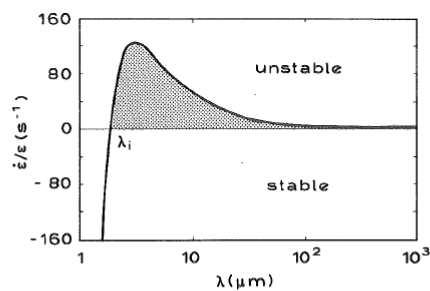


FIGURE 9-32  
Idealized representation of development  
of hopper crystals.



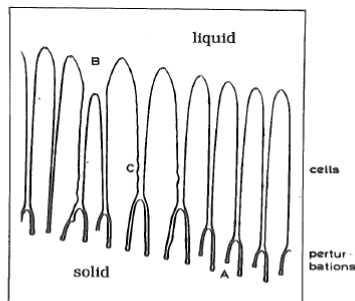
**Figure 3.6 Interface Perturbations at a Solid/Liquid Interface**

The existence of a zone of constitutional undercooling implies that a driving force for a change in the morphology is available, but gives no indication of the scale of the morphology which will appear. Experimental observations show that the initial form of the new morphology is periodic and may be approximated by a sinusoidal curve. Perturbation analysis permits the calculation of the wavelength of the instabilities which develop. The result is of great importance in the theory of dendrite growth.



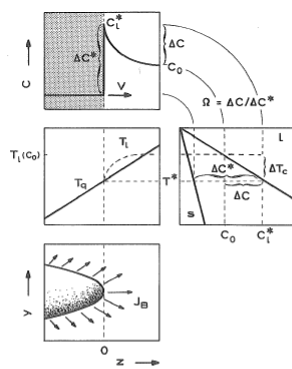
**Figure 3.7 Rate of Development of a Perturbation at a Constitutionally Undercooled Interface**

Here the parameter,  $\dot{\epsilon}/\epsilon$ , describes the relative rate of development of the amplitude of a small sinusoidal perturbation in the case of a specific alloy (Al-2wt%Cu) under given growth conditions ( $V = 0.1\text{mm/s}$ ,  $G = 10\text{K/mm}$ ). At very short wavelengths, the value of this parameter is negative due to curvature damping and the perturbation will tend to disappear (Fig. 3.1b). At wavelengths greater than  $\lambda_i$  and above, the sinusoidal shape will become more accentuated (instability - figure 3.1a). The wavelength having the highest rate of development is likely to become dominant. The reason for the tendency to stability at high  $\lambda$ -values is the difficulty of diffusional mass transfer over large distances. When the interface is completely stable, the curve will remain below the  $\dot{\epsilon}/\epsilon = 0$  line for all wavelengths. This implies the disappearance of perturbations having any of these wavelengths (appendix 7).



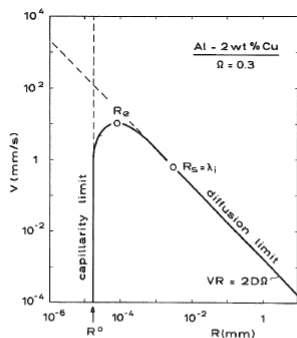
**Figure 4.3 Breakdown of a Plane Solid/Liquid Interface to Give Cells**

The development of perturbations at the constitutionally undercooled solid/liquid interface (lower part of figure) is only a transient phenomenon. The tips of the perturbations can readily reject solute while the depressed parts of the interface accumulate solute and advance much more slowly. The initial wavelength is too small for further rapid growth to occur, and the final result is the formation of a cellular structure. Note that the wavelength has approximately doubled between the initial perturbation and the final cells. Also, the spacing between the cells is not constant. The initial cellular morphology can adjust itself to give a more optimum growth form via the cessation of growth of some cells (B) in order to decrease their number, or by the division of cells in order to increase the number present. The division of cells is not shown here, but it resembles the change at point A, with two branches continuing to grow. Furthermore, the larger centre cells (C) have slightly perturbed surfaces and this suggests that, in the intercellular liquid, some driving force remains for further morphological change which might possibly lead to dendrite formation.



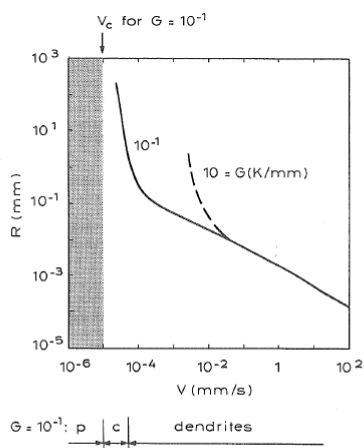
**Figure 4.8 Solute Rejection at the Tip of an Isolated Dendrite**

During directional solidification, where the isotherms move due to the imposed heat flux, a needle-like crystal can grow more quickly than a flat interface due to the more efficient solute redistribution: B-atoms rejected at the interface of a thin needle can diffuse outwards into a large volume of liquid. Thus, the solute diffusion boundary layer,  $\delta_c$ , of the needle is smaller than that of a planar interface. Also, because the interface is not planar, the solid formed does not have the same composition as the original liquid (as it does in the case of steady-state plane-front growth - Fig. 3.4). When a positive gradient is imposed, as in directional solidification, heat is extracted through the solid. If, furthermore, thermal diffusion is rapid (as in metals) the form of the isotherms will be affected only slightly by the interface morphology. Thus, in the case of directionally solidifying dendrites, *solute diffusion* alone will be the limiting factor. The growth temperature,  $T^*$ , of the tip will define a solute undercooling,  $\Delta T_c$ , or, via the phase diagram, the degree of supersaturation,  $\Omega = \Delta C/\Delta C^*$ . The determination of  $\Omega$  as a function of the other parameters requires the solution of the differential equation which describes the solute distribution. The simplest solution is obtained when the tip morphology is supposed to be hemispherical. Instead, the real form of the dendrite tip is closely represented by a paraboloid of revolution.



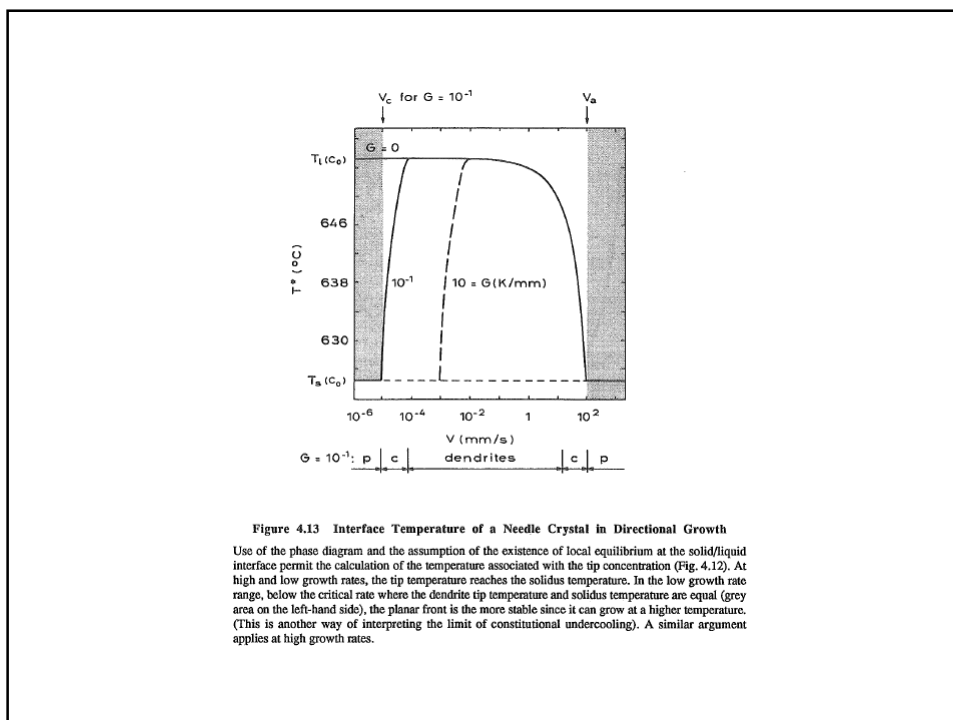
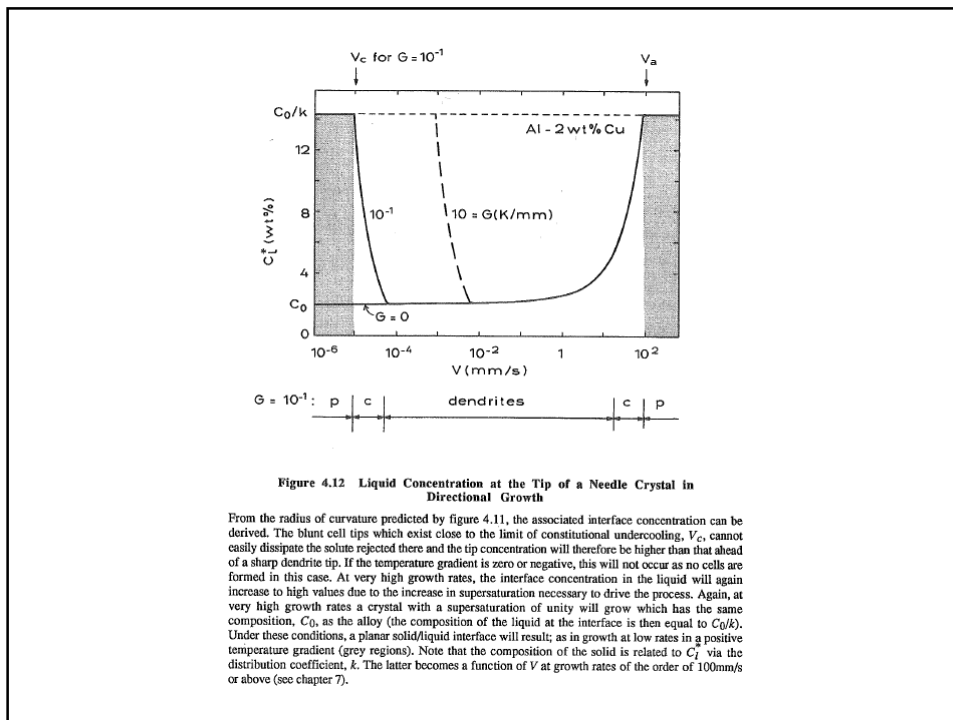
**Figure 4.9 Unoptimised Growth Rate of a Hemispherical Needle for  $\Omega = \text{Constant}$**

For a hemispherical needle crystal, the solution of the diffusion equation shows that the supersaturation,  $\Omega$ , is equal to the ratio of the tip radius to the characteristic diffusion length. This dimensionless ratio is known as the Péclet number,  $P_c (= RV/2D)$ . For a given supersaturation, the product,  $RV$ , is therefore constant and means that either a dendrite with a small radius will grow rapidly or one with a large radius will grow slowly (diagonal line). At small  $R$ -values, the diffusion limit is cut by the capillarity limit. The minimum radius,  $R^0$ , is given by the critical radius of nucleation,  $r^*$  (table 2.1). A maximum value of  $V$  therefore exists. Because it was reasoned that the fastest-growing dendrites would dominate steady-state growth, it was previously assumed that the radius chosen by the system would be the one which gave the highest growth rate (extremum value,  $R = R_e$ ). However, experiment indicates that the radius of curvature of the dendrite is approximately equal to the lowest wavelength perturbation of the tip, which is close to  $\lambda_i$  (Fig. 3.7). This is referred to as growth at the limit of stability ( $R = R_s$ ).

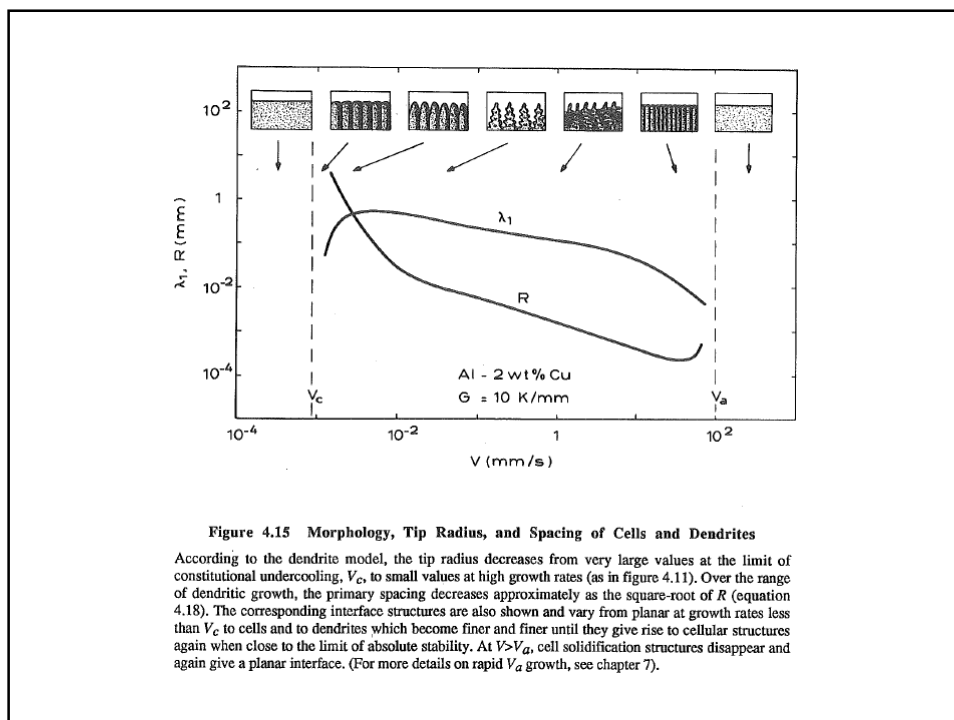
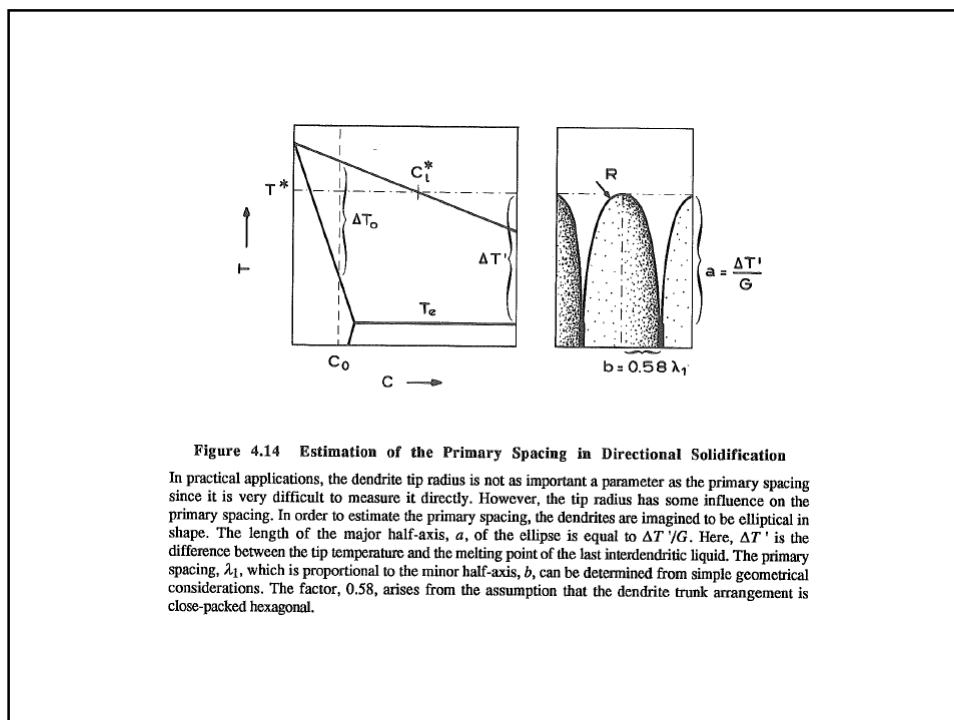


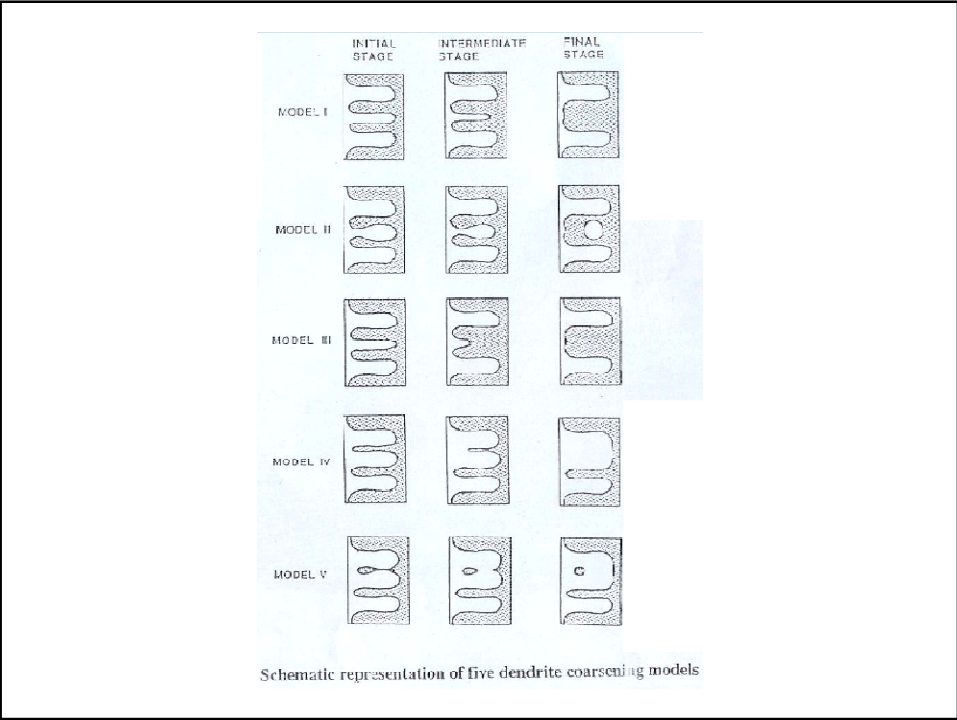
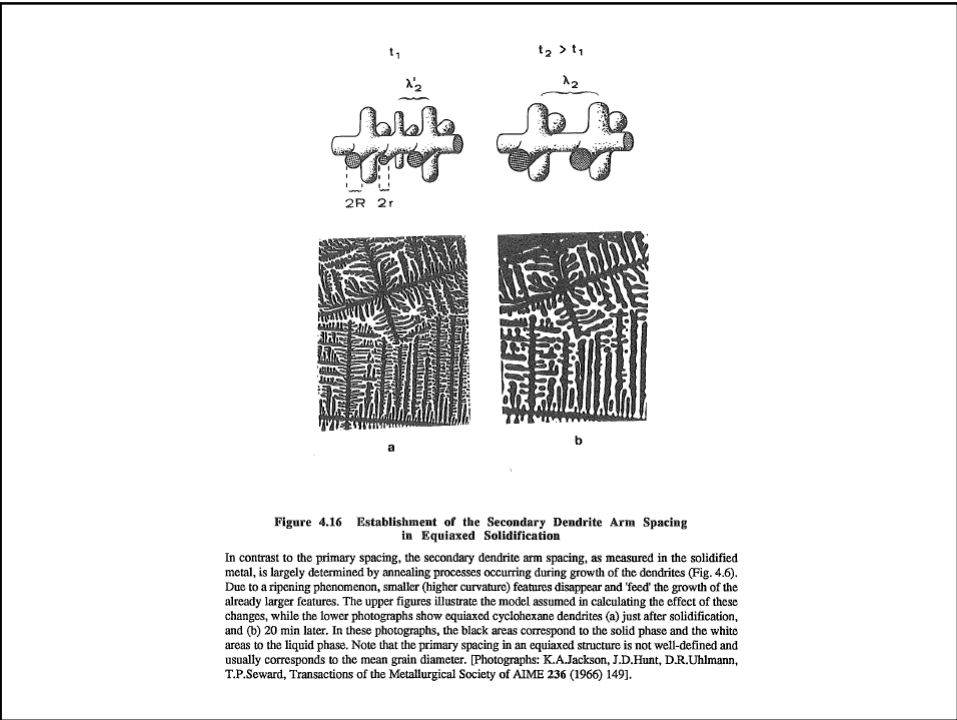
**Figure 4.11 Optimised Dendrite Tip Radius as a Function of Growth Rate**

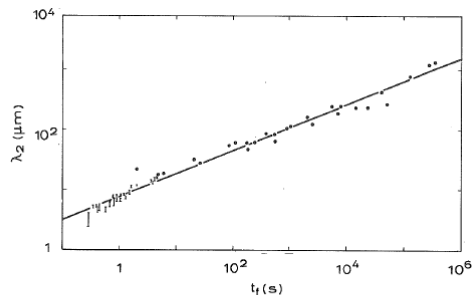
If it is assumed that, in directional solidification, growth occurs with a tip radius which is equal to the minimum instability wavelength,  $\lambda_i$ , curves such as those above can be generated. They indicate the magnitude of the dendrite tip radius for a given growth rate and temperature gradient. Note the marked effect of the temperature gradient upon the radius of curvature at low growth rates (constrained growth regime or cellular regime e.g. for  $G = 0.1\text{K/mm}$  between  $V = 10^{-4}$  and  $V = 10^{-5}\text{mm/s}$ ). A sufficiently high gradient, or a sufficiently low growth rate ( $V_c = GD/\Delta T_0$ ) will lead to the re-establishment of a planar interface (i.e. a 'dendrite' with an infinite radius of curvature).





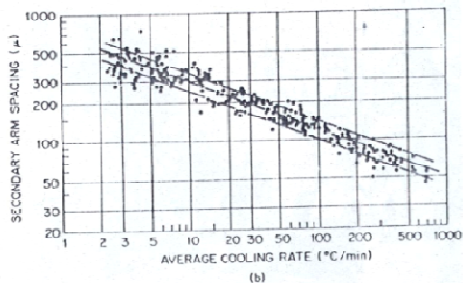
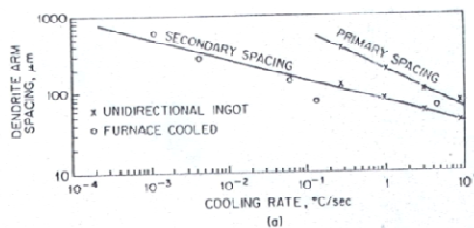




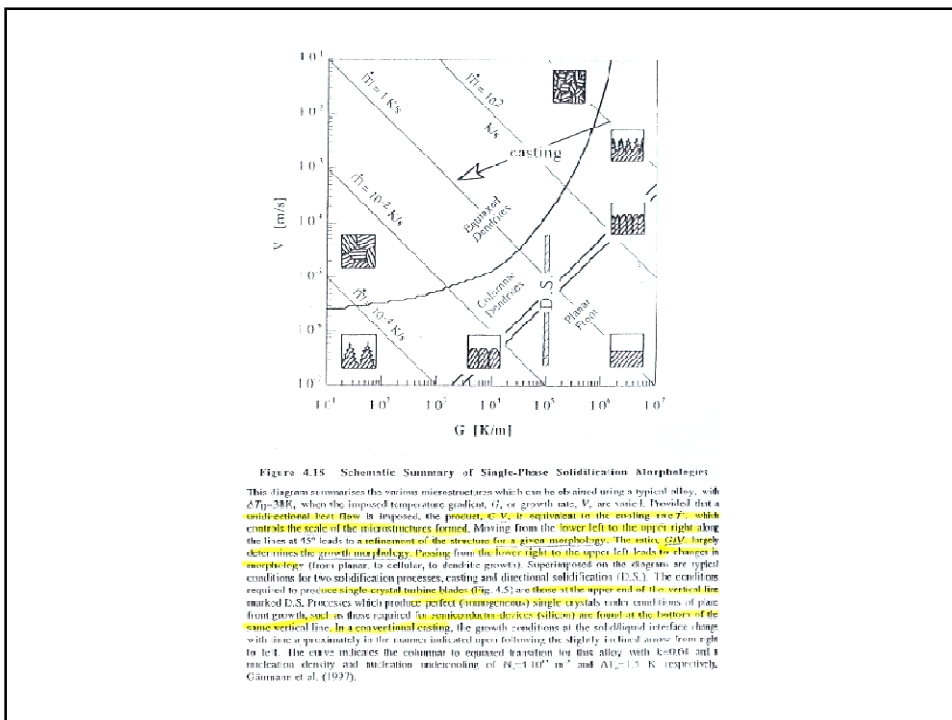
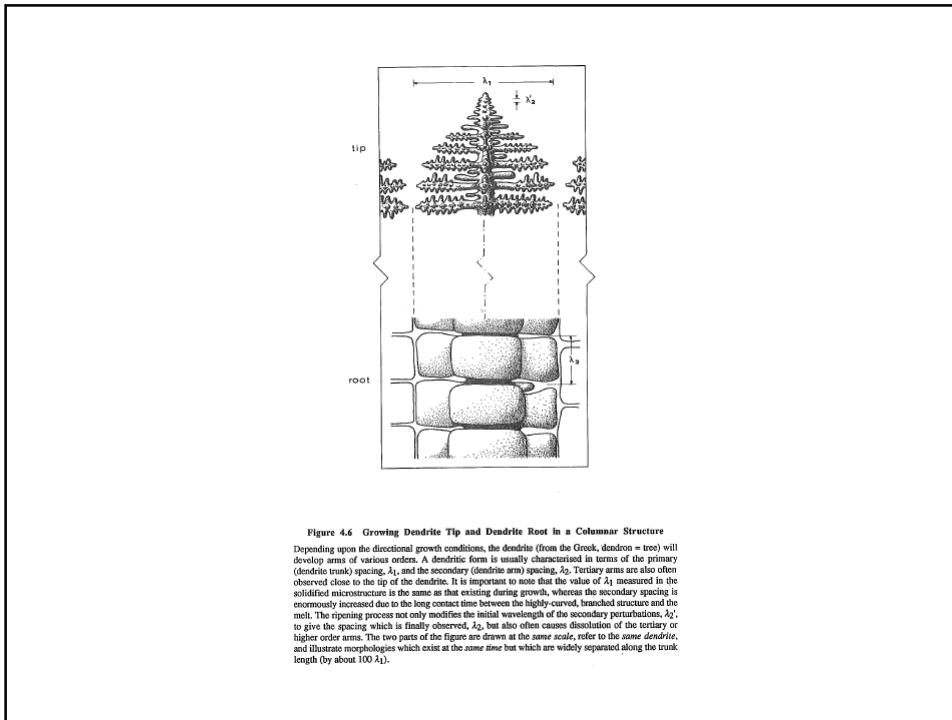


**Figure 4.17 Secondary Spacing as a Function of Solidification Time**

The best-fit curve to the experimental points for Al-4.5wt%Cu alloy over a wide range of solidification conditions shows that the secondary spacing varies approximately as the cube root of the local solidification time. The latter is defined as the time during which each arm is in contact with liquid (Fig. 4.1), and is therefore a function of the growth rate, the temperature gradient, and the alloy composition. The secondary spacing is important since, together with  $\lambda_1$ , it determines the spacing of precipitates or porosity and thus has a considerable effect upon the mechanical properties of as-solidified alloys (Fig. 1.2). [T.F.Bower, H.D.Brody, M.C.Flemings, Transactions of the Metallurgical Society of AIME 236 (1966) 624].



**FIGURE 5-15** Some experimental data on dendrite arm spacings in ferrous alloys. (a) Fe-25% Ni alloy (from Flemings *et al.*<sup>15</sup>); (b) commercial steels containing from 0.1 to 0.9% C (from Suzuki *et al.*<sup>22</sup>).



During solidification, the solid/liquid interface rejects solute into the liquid (we consider here the frequent case when the solubility of the solute element in the solid is smaller than in the liquid). This will always lead to concentration variations in the solidified alloy, known as micro-segregation. The understanding of this phenomenon is the key to interpreting the influence of solidification on the mechanical properties of cast products. Modeling is greatly facilitated by distinguishing between important factors and non-important ones.



Figure a): Longitudinal representation of growing dendrites

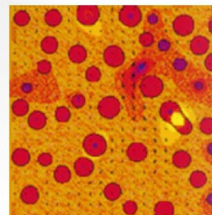


Figure b) Transversal representation of growing dendrites (red line in Figure a).

From Prof. C. Beckermann, Solidification Course 2003, EPFL-Calcom

Case

An Al-2%Cu alloy is solidifying unidirectionally at a constant velocity  $V_f$ . The temperature gradient  $G$  is imposed by the experimental configuration and we consider for simplicity that there is no flow. The primary dendrite arm spacing is defined as  $\lambda$ .

We will use the values as defined in Table 1 for illustration:

Number	Symbol	Value	Unit	Name
1	$V_f$	0.1	mm/s	Isotherm speed
2	$G$	1000	K/m	Thermal gradient
3	$k$	0.14	-	Equilibrium distribution coefficient
4	$m$	-2.6	K/wt%	Liquidus slope
5	$T_m$	660	°C	Melting point of pure Al
6	$T_{eut}$	574	°C	Eutectic temperature
7	$C_0$	2	wt%	Alloy concentration
8	$C_{eut}$	33.1	wt%	Eutectic concentration
9	$\lambda$	500	$\mu\text{m}$	Primary dendrite arm spacing
10	$D_L$	$3 \cdot 10^{-9}$	$\text{m}^2/\text{s}$	Diffusion coefficient in liquid
11	$D_S$	$3 \cdot 10^{-13}$	$\text{m}^2/\text{s}$	Diffusion coefficient in solid
12	$\alpha$	$3,7 \cdot 10^{-8}$	$\text{m}^2/\text{s}$	Thermal diffusivity

Table 1:

Values 1-2, 9 are given by the experiment, they should be measured.  
 Values 3-6, 8 are given by the phase diagram of the Al-Cu system.  
 Value 7 is an input of the problem.

Results

1) Liquidus temperature,  $T_L$

The liquidus temperature can be calculated as follow:

$$T_L = T_m + m \cdot C_0 = 654.8 \text{ }^\circ\text{C}$$

2) Mushy zone length,  $L_M$

The mushy zone length can be calculated as follow:

$$L_M = (T_L - T_{eut}) / G = 0.0808 \text{ [m]} = 8.08 \text{ [cm]}, \text{ not so small!}$$

3) Local solidification time,  $t_s$

The local solidification time can be calculated as follow:

$$t_s = L_M / V_f = 808 \text{ [s]} = 13.5 \text{ minutes}$$

4) Mean transversal speed of the solid/liquid interface in Figure b),  $V_T$

The mean speed of the s/l interface in view b) can be calculated as follow:

$$V_T = (\lambda/2) / t_s = 3.1 \cdot 10^{-7} \text{ [m/s]} = 0.31 \text{ [\mu m/s]} \ll V_f$$

The transversal growth speed is much smaller than the longitudinal growth rate.

5) Estimation of the thermal and solutal diffusion lengths in the liquid (Figure b)

$$L_{THERMAL} = \alpha / V_f = 119.7 \text{ [m]} \gg \lambda$$

$$L_{SOLUTAL} = D_L / V_f = 9.7 \text{ [mm]} \gg \lambda$$

This means that the temperature in the liquid can be considered as uniform as well as the concentration (complete mixing). Note that the thermal diffusion length is 10'000 larger than the solutal one.

6) Estimation of the thermal and solutal diffusion lengths ahead of the primary dendrite tips

$$L_{THERMAL} = \alpha / V_f = 0.37 \text{ [m]} \gg \lambda$$

$$L_{SOLUTAL} = D_L / V_f = 2 \cdot 10^{-3} \text{ [m]} \ll \lambda$$

The solutal gradient ahead of the primary dendrite tips cannot be neglected.

7) Estimation of the characteristic solutal diffusion times in the liquid and solid on the scale of dendrite arm spacing (Figure b))

$$t_{LIQUID} = (\lambda/2)^2 / D_L = 20.8 \text{ [s]} \ll t_s$$

$$t_{SOLID} = (\lambda/2)^2 / D_S = 2 \cdot 10^8 \text{ [s]} \gg t_s$$

This means that there is enough time to smooth concentrations profiles in the liquid while this is not the case in the solid, i.e. a gradient in the solid will be present after solidification.

8) Estimation of the characteristic solutal diffusion time in the liquid over the length of the mush

$$t_{LIQUID} = (L_M)^2 / D_L = 2.2 \cdot 10^6 \text{ [s]} \gg t_s$$

This means that solutal diffusion in the liquid parallel to dendrites can be neglected because the characteristic time is much greater than the solidification time

Conclusion

Knowing the importance of the these "orders of magnitude" influences on solidification can help us to distinguish between important factors and less important factors. These can then help us develop more simplified, but realistic, computer models of solidification phenomena while saving a lot of calculation time.