

Lecture # 1

Physical Organic Chemistry

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Chemistry is an empirical science but is increasingly influenced by understanding and prediction. Before starting an experiment in the laboratory, a chemist would like to know the following:

- (1) **Is the reaction possible thermodynamically?**
- (2) **How long is it going to take?**
- (3) **What will be the properties of the reaction products?**

This course introduces and documents models that enable chemists to answer these questions and to understand the reasons behind the answers.

Reactions involving organic, organometallic, and biochemically important reactants and catalysts will be presented.

We teach the tools that can be used to understand Nature and to control and create new chemistry to achieve a better world.

Given specific combinations of solvent, concentration, temperature, pressure, the presence or absence of catalysts and inhibitors, light, or other types of radiation, a given system of reactants will be converted into a mixture of products.

Rates of product formation or attainment of equilibria define chemical reactivity.

Living systems are made of ensembles of molecules that are connected through ensembles of chemical reactions.

We try to understand Nature and to imitate its efficiency and diversity.

Molecular scientists, especially chemists, are not passive observers. Chemists can even surpass Nature, by inventing new molecular entities – chemicals! And new reactions that have not been observed yet in our Universe, at least on our planet!

Molecular orbital theories

Molecular orbital (MO) theories and various approximations have been extremely useful to understand the **electronic structures** of complicated molecules, **bonding** in molecules and molecular assemblies, **substituent effects** on the relative stabilities of neutral or charged species, **deviations** from thermochemical additivity rules (such as π -conjugation, aromaticity, and antiaromaticity), **bonding in π - and σ -complexes**, **hyperconjugation**, **hypervalency**, and the relative stability of **transition structures** of concerted reactions. Simple molecular orbital theory has demonstrated the **similarities in bonding** between **organic**, **organometallic**, and **inorganic** compounds.

Background of quantum chemistry

- Molecular orbital theories are based on quantum chemistry.

- The experimental observations that eventually led to quantum mechanics began in 1828 with the discovery of cathode rays by Faraday ([light arc beginning at the negative electrode and ending at the anode \[positive electrode\] when current passes through rarefied air](#)).
- In 1859, [Kirchhoff](#) stated the black body radiation problem.
- In 1877, [Boltzmann](#) suggested that energy states of physical system (atoms and molecules) could be discrete.
- In 1900, [Planck](#) proposed the quantum hypothesis that energy-irradiating atomic systems can be divided into numbers of discrete energy elements ϵ such that each of these energy elements is proportional to the frequency ν with which they radiate energy, as defined by $\epsilon = h\nu$, where h is a numerical value ([Planck's constant](#)).
- In 1905, [Einstein](#) explained the photoelectric effect discovered in 1839 by [Becquerel](#) while studying the effect of light on electrolytic cells and by [Hertz](#) in 1887 when he observed that electrons are emitted from matter when hit by light.
- Based on Planck's quantum hypothesis, Einstein stated that light itself consists of individual quantum particles, the latter being called [photons](#) since 1926.
- In parallel with developments leading to quantum mechanics, [the structural theory of organic chemistry](#) was developed.
- In 1852, [Frankland](#) proposed that atoms of a given element have finite capacity to combine with atoms of other elements, i.e. [a definite valence](#).
- In 1858, [Kekulé](#) and [Couper](#), independently, introduced the concept of [valence bonds](#) between atoms, including bonds between two carbon atoms, and proposed the [tetravalency](#) of carbon atom.
- In 1861, [Butlerow](#) stated that the properties of a compound are determined by its molecular structure.

- In 1874, [Van't Hoff](#) recognized that the optical activity of carbon compounds, discovered by [Pasteur](#) in 1848, can be explained by the postulate that the [four-valence bonds of carbon atom](#) are directed in space to the corners of a [tetrahedron](#).
- In 1916, [Lewis](#) stated that the chemical bond between two carbon atoms in alkanes or between one carbon atom and a hydrogen atom consists of [a pair of electrons](#) held jointly by the two atoms connected by [a single bond](#). Lewis also suggested that atoms tend to reach the [electronic configuration of a noble gas](#) through the sharing of electrons with other atoms or through electron transfer.
- Although this theory predated quantum mechanics, chemists nevertheless find it a useful model for the understanding of bonding in organic molecules.
- [Dirac](#) recognized at the time when all of chemistry could be understood quantitatively by the equations of quantum mechanics but could not predict the advent of powerful computers of today.
- In 1911, [Rutherford](#) established that atoms consisted of a diffuse cloud of electrons surrounding a small positively charged nucleus and proposed the planetary model atom.
- In 1913, [Bohr](#) proposed that electrons travel in certain orbits at discrete distances from the nucleus, with specific energies. The electrons can lose or gain a fixed energy amount by jumping from one allowed orbit to another allowed orbit, absorbing or emitting electromagnetic radiation with a determined frequency ν .
- This is the basis of atomic spectroscopy: atoms heated in a flame emit ([Na emits yellow light](#), [Sr emits red light](#), etc.) or absorb (flame atomic absorption spectroscopy established by Bunsen and Kirchhoff during the 1870s).

- The foundation of quantum chemistry is the wave model in which the positively charged nucleus of an atom is surrounded by a cloud of electrons moving in orbitals, and their positions are represented by probability distributions rather than discrete points.
- This model predicts the pattern of similar elements as found in the Mendeleev periodic table of elements. It models properties of electrons and of any other fundamental particles.
- The mathematical basis of quantum chemistry was made by Dirac and Schrödinger in 1926. Heisenberg in 1932 and Dirac and Schrödinger in 1933 received Nobel Prizes for quantum mechanics.
- There is perhaps no more famous quote about quantum mechanics than Dirac's in 1929 (three years after his PhD): "The fundamental laws necessary for the mathematical treatment of a large part of physics and the whole of chemistry are thus completely known, and the difficulty lies only in the fact that application of these laws leads to equations that are too complex to be solved."

Schrödinger equation

The starting point of any theoretical description of the energy and electronic properties of a chemical system (stable or unstable species) with a given geometry is the Schrödinger equation:

$$\hat{H}\Psi(\mathbf{r}) = E\Psi(\mathbf{r})$$

where $\Psi(\mathbf{r})$ is a stationary, electronic wave function, E is the electronic energy, and \hat{H} is the Hamiltonian operator (symbol \hat{H} also used) for a system assumed to be in a fixed nuclear configuration. \hat{H} is given by the following equation:

where the successive terms represent operators ($\nabla^2 = (\partial^2/\partial x_i^2) + (\partial^2/\partial y_i^2) + (\partial^2/\partial z_i^2)$) for the **kinetic energy of the electrons** (i) of mass m_e , the **nuclear–electronic attraction** (Z_m is the atomic number of nucleus m and r_{im} is the distance between electron i and nucleus m), and the **repulsion between electrons** (r_{ij} is the distance).

The wave function $\Psi(\mathbf{r})$, which is understood to depend on the position vectors (\mathbf{r}) of all particles, describes the state of the system.

$\Psi(\mathbf{r})$ is the probability amplitude, whereas $\Psi(\mathbf{r}) \cdot \Psi(\mathbf{r}) d\tau_1 \cdots d\tau_i \cdots d\tau_N$ ($d\tau_i$ is the volume element of electron i) represents the probability distribution function for the N particles.

For bound states, it is common to **normalize the probability to unity**.

In 1927, Burrau presented a solution to the Schrodinger equation for hydrogen molecule ion, H_2^+ , which describes the nature of the one-electron bond in this two atomic, one electron species.

The same year, **Heitler** and **London** proposed an approximate solution to the Schrodinger equation for H_2 and were able to explain for the first time the covalent bond.

Their approximate solution requires the two electrons of H_2 to be separated, each being close to one of the two nuclei (protons).

Simultaneously, Condon presented another solution to the wavefunction for H_2 that permits the electrons to be distributed between the two nuclei independently of one another, each occupying a wave function similar to Burrau's function for H_2^+ .

Pauling introduced in 1932 the concept of electronegativity and the theory of hybridization of atomic orbitals (AOs).

For chemical systems with more than two electrons, the solution to the Schrodinger equation is mathematically impossible unless one makes assumptions.

One of the earliest methods has been proposed in 1930 by [Hückel](#) for π -systems and applied by several authors to solve all kind of chemical problems.

As computers have become more and more powerful, solutions of the Schrödinger equation for complex chemical systems are now possible; although exact analytical solutions are not possible, “[chemical accuracy](#),” which is solutions within the experimental error of measurements, often taken as 1 kcalmol⁻¹, is usually possible.

One very much applied calculation method to relatively large molecules including organometallic systems is based on [Kohn’s density functional theory](#) (DFT) of electronic structure.

In common calculations, the total energy is estimated by [approximate solutions of the time-dependent Schrödinger](#) equation, usually with no relativistic terms and by making use of the [Born–Oppenheimer approximation](#), which separates electronic and atomic motions.

Since the 1960s, [semiempirical MO calculations](#) (e.g. [Hückel](#), [extended Hückel theory](#), [Pariser–Parr–Pople](#) [PPP] treatments, [complete neglect of differential overlap](#) [CNDO], Dewar’s modified intermediate neglect of differential overlap, version 3 [MINDO/3], modified neglect of differential overlap [MNDO], Austin model1[AM1], and now [Stewart’s parametric model](#) numbers 3 and 6 [PM3 and PM6]) have become routine.

These semiempirical methods set various integrals to quantities that are not computed but are obtained from empirical experimental data.

The first *ab initio* Hartree–Fock (HF) calculations on diatomic molecules were carried out in 1956 using Slater orbitals as the basis set.

Ab initio (from the beginning), solve approximations to the Schrödinger equation without recourse to experimental data. However, various approximations are made in order to make the computations feasible.

Ab initio does not imply that the solution is an exact one because approximations are invariably made.

The simplest type of *ab initio* calculation is the HF scheme, commonly known as molecular orbital theory. HF theory makes the assumption that the repulsion between an electron and all other electrons can be treated as the interaction of one electron with the average position of the others. This assumption leads to an error, which is known as *electron correlation*.

Electron correlation is the difference between the HF energy using a very large basis set (mathematical representation of atomic orbitals) and the actual energy.