

# Pairwise balanced designs and sigma clique partitions



Akbar Davoodi, Ramin Javadi\*, Behnaz Omoomi

Department of Mathematical Sciences, Isfahan University of Technology, 84156-83111, Isfahan, Iran

## ARTICLE INFO

### Article history:

Received 15 September 2014

Received in revised form 6 September 2015

Accepted 10 September 2015

### Keywords:

Clique partition

Pairwise balanced design

Sigma clique partition number

## ABSTRACT

In this paper, we are interested in minimizing the sum of block sizes in a pairwise balanced design, where there are some constraints on the size of one block or the size of the largest block. For every positive integers  $n, m$ , where  $m \leq n$ , let  $\mathcal{S}(n, m)$  be the smallest integer  $s$  for which there exists a PBD on  $n$  points whose largest block has size  $m$  and the sum of its block sizes is equal to  $s$ . Also, let  $\mathcal{S}'(n, m)$  be the smallest integer  $s$  for which there exists a PBD on  $n$  points which has a block of size  $m$  and the sum of its block sizes is equal to  $s$ . We prove some lower bounds for  $\mathcal{S}(n, m)$  and  $\mathcal{S}'(n, m)$ . Moreover, we apply these bounds to determine the asymptotic behaviour of the sigma clique partition number of the graph  $K_n - K_m$ , the Cocktail party graphs and complement of paths and cycles.

© 2015 Elsevier B.V. All rights reserved.

## 1. Introduction

An  $(n, k, \lambda)$ -design (or  $(n, k, \lambda)$ -BIBD) is a pair  $(P, \mathcal{B})$  where  $P$  is a finite set of  $n$  points and  $\mathcal{B}$  is a collection of  $k$ -subsets of  $P$ , called *blocks*, such that every two distinct points in  $P$  is contained in exactly  $\lambda$  blocks. In case  $|P| = |\mathcal{B}|$ , it is called a *symmetric design*. For positive integer  $q$ , a  $(q^2 + q + 1, q + 1, 1)$ -BIBD and a  $(q^2, q, 1)$ -BIBD are called a *projective plane* and an *affine plane* of order  $q$ , respectively. A design is called *resolvable*, if there exists a partition of the set of blocks  $\mathcal{B}$  into *parallel classes*, each of which is a partition of  $P$ .

A *pairwise balanced design* (PBD) is a pair  $(P, \mathcal{B})$ , where  $P$  is a finite set of  $n$  points and  $\mathcal{B}$  is a family of subsets of  $P$ , called *blocks*, such that every two distinct points in  $P$ , appear in exactly one block. A *nontrivial* PBD is a PBD where  $P \notin \mathcal{B}$ . A PBD  $(P, \mathcal{B})$  on  $n$  points with one block of size  $n - 1$  and the others of size two is called *near-pencil*.

The problem of determining the minimum number of blocks in a pairwise balanced design when the size of its largest block is specified or the size of a particular block is specified, has been the subject of many researches in recent decades. The most important and well-known result about this problem is due to de Bruijn and Erdős [3] which states that every nontrivial PBD on  $n$  points has at least  $n$  blocks and the only nontrivial PBDs on  $n$  points with exactly  $n$  blocks are near-pencil and projective plane. For every positive integers  $n, m$ , where  $m \leq n$ , let  $\mathcal{G}(n, m)$  be the minimum number of blocks in a PBD on  $n$  points whose largest block has size  $m$ . Also, let  $\mathcal{G}'(n, m)$  be the minimum number of blocks in a PBD on  $n$  points which has a block of size  $m$ . A classical result known as Stanton–Kalbfleisch Bound [14] states that  $\mathcal{G}'(n, m) \geq 1 + (m^2(n - m))/(n - 1)$  and equality holds if and only if there exists a resolvable  $(n - m, (n - 1)/m, 1)$ -BIBD. Also, a corollary of Stanton–Kalbfleisch is that  $\mathcal{G}(n, m) \geq \max\{n(n - 1)/m(m - 1), 1 + (m^2(n - m))/(n - 1)\}$ . For a survey on these and more bounds, see [12, 13].

In this paper, we are interested in minimizing the sum of block sizes in a PBD, where there are some constraints on the size of one block or the size of the largest block. For every positive integers  $n, m$ , where  $m \leq n$ , let  $\mathcal{S}(n, m)$  be the smallest integer  $s$  for which there exists a PBD on  $n$  points whose largest block has size  $m$  and the sum of its block sizes is equal to  $s$ . Also, let  $\mathcal{S}'(n, m)$  be the smallest integer  $s$  for which there exists a PBD on  $n$  points which has a block of size  $m$  and the sum

\* Corresponding author.

E-mail address: [rjavadi@cc.iut.ac.ir](mailto:rjavadi@cc.iut.ac.ir) (R. Javadi).

of its block sizes is equal to  $s$ . In Section 2, we prove some lower bounds for  $\mathcal{S}(n, m)$  and  $\mathcal{S}'(n, m)$ . In particular, we show that  $\mathcal{S}(n, m) \geq 3n - 3$ , for every  $m, 2 \leq m \leq n - 1$ . Also, we prove that, for every  $2 \leq m \leq n$ ,

$$\mathcal{S}'(n, m) \geq \max \left\{ (n + 1)m - \frac{m^2(m - 1)}{n - 1}, m + \frac{(n - m)(n - 5m - 1)}{2} \right\},$$

where equality holds for  $m \geq n/2$ . Furthermore, we prove that if  $n \geq 10$  and  $2 \leq m \leq n - \frac{1}{2}(\sqrt{n} + 1)$ , then  $\mathcal{S}(n, m) \geq n(\lfloor \sqrt{n} \rfloor + 1) - 1$ .

The connection of pairwise balanced designs and clique partition of graphs is already known in the literature. Given a simple graph  $G$ , by a *clique* in  $G$  we mean a subset of mutually adjacent vertices. A *clique partition*  $\mathcal{C}$  of  $G$  is a family of cliques in  $G$  such that the endpoints of every edge of  $G$  lie in exactly one member of  $\mathcal{C}$ . The minimum size of a clique partition of  $G$  is called the *clique partition number* of  $G$  and is denoted by  $\text{cp}(G)$ .

For every graph  $G$  with  $n$  vertices, the union of a clique partition of  $G$  and a clique partition of its complement,  $\bar{G}$ , form a PBD on  $n$  points. This connection has been deployed to estimate  $\text{cp}(G)$ , when  $G$  is some special graph such as  $K_n - K_m$  [15,4,8,11], the Cocktail party graphs and complement of paths and cycles [16–18].

Our motivation for study of the above mentioned problem is a weighted version of clique partition number. The *sigma clique partition number* of a graph  $G$ , denoted by  $\text{scp}(G)$ , is defined as the smallest integer  $s$  for which there exists a clique partition of  $G$  where the sum of the sizes of its cliques is equal to  $s$ . It is known that for every graph  $G$  on  $n$  vertices,  $\text{scp}(G) \leq \lfloor n^2/2 \rfloor$ , in which equality holds if and only if  $G$  is the complete bipartite graph  $K_{\lfloor n/2 \rfloor, \lceil n/2 \rceil}$  [2,7,6].

Given a clique partition  $\mathcal{C}$  of a graph  $G$ , for every vertex  $x \in V(G)$ , the *valency* of  $x$  (with respect to  $\mathcal{C}$ ), denoted by  $v_{\mathcal{C}}(x)$ , is defined to be the number of cliques in  $\mathcal{C}$  containing  $x$ . In fact,

$$\text{scp}(G) = \min_{\mathcal{C} \in \mathcal{C}} \sum_{C \in \mathcal{C}} |C| = \min_{\mathcal{C}} \sum_{x \in V(G)} v_{\mathcal{C}}(x),$$

where the minimum is taken over all possible clique partitions of  $G$ .

In Section 3, we apply the results of Section 2 to determine the asymptotic behaviour of the sigma clique partition number of the graph  $K_n - K_m$ , where  $m$  is a function of  $n$ . In fact, we prove that if  $m \leq \sqrt{n}/2$ , then  $\text{scp}(K_n - K_m) \sim (2m - 1)n$ , if  $\sqrt{n}/2 \leq m \leq \sqrt{n}$ , then  $\text{scp}(K_n - K_m) \sim n\sqrt{n}$  and if  $m \geq \sqrt{n}$  and  $m = o(n)$ , then  $\text{scp}(K_n - K_m) \sim mn$ . Also, if  $G$  is the Cocktail party graph, complement of path or cycle on  $n$  vertices, then we prove that  $\text{scp}(G) \sim n\sqrt{n}$ .

## 2. Pairwise balanced designs

A celebrated result of de Bruijn and Erdős states that for every nontrivial PBD  $(P, \mathcal{B})$ , we have  $|\mathcal{B}| \geq |P|$  and equality holds if and only if  $(P, \mathcal{B})$  is near-pencil or projective plane [3]. In this section, we are going to answer the question that what is the minimum sum of block sizes in a PBD.

The following theorem can be viewed as a de Bruijn–Erdős-type bound, which shows that  $\mathcal{S}(n, m) \geq 3n - 3$ , for every  $m, 2 \leq m \leq n - 1$ .

**Theorem 2.1.** *Let  $(P, \mathcal{B})$  be a nontrivial PBD with  $n$  points, then we have*

$$\sum_{B \in \mathcal{B}} |B| \geq 3n - 3, \tag{1}$$

and equality holds if and only if  $(P, \mathcal{B})$  is near-pencil.

**Proof.** We use induction on the number of points. Let  $(P, \mathcal{B})$  be a nontrivial PBD with  $n$  points. Inequality (1) clearly holds when  $n = 3$ . So assume that  $n \geq 4$  and for every  $x \in P$ , let  $r_x$  be the number of blocks containing  $x$ . First note that for every block  $B \in \mathcal{B}$  and every  $x \in P \setminus B$ , we have  $r_x \geq |B|$ .

If there is a block  $B_0 \in \mathcal{B}$  of size  $n - 1$  and  $x_0$  is the unique point in  $P \setminus B_0$ , then for every  $x \in B_0, x$  and  $x_0$  appear within a block of size two. Therefore,  $(P, \mathcal{B})$  is near-pencil and  $\sum_{B \in \mathcal{B}} |B| = (n - 1) + 2(n - 1) = 3n - 3$ .

Otherwise, all blocks are of size at most  $n - 2$ . First we prove that there exists some point  $x \in P$  with  $r_x \geq 3$ . Since there is no block of size  $n, r_x \geq 2$  for all  $x \in P$ . Now for some  $y \in P$ , assume that  $B_1, B_2$  are the only two blocks containing  $y$ . Since  $n \geq 4$ , the size of at least one of these blocks, say  $B_1$ , is greater than two. Let  $x \neq y$  be an element of  $B_2$ . Then,  $r_x \geq |B_1| \geq 3$ . Hence, there exists some point  $x \in P$  which appears in at least three blocks.

Now, remove  $x$  from all blocks to obtain the nontrivial PBD  $(P', \mathcal{B}')$ , where  $P' = P \setminus \{x\}$  and  $\mathcal{B}' = \{B \setminus \{x\} : B \in \mathcal{B}\}$ . Therefore,

$$\sum_{B \in \mathcal{B}} |B| = r_x + \sum_{B' \in \mathcal{B}'} |B'| \geq 3 + 3(n - 2), \tag{2}$$

where the last inequality follows from the induction hypothesis.

Now, assume that for a PBD  $(P, \mathcal{B})$  equality holds in (1). If  $(P, \mathcal{B})$  is not a near-pencil, then equality holds in (2) as well and thus we have  $2 \leq r_x \leq 3$ , for every  $x \in P$ . On the other hand,  $\sum_{B \in \mathcal{B}} |B| = \sum_{x \in P} r_x = 3n - 3$ . Therefore, there are exactly

3 points, say  $x, y, z$ , each of which appears in exactly two blocks and each of the other points appears in exactly three blocks. Also, let  $B_1, B_2$  be the only two blocks containing  $y$  and assume that  $x \in B_1$ . Therefore,  $2 = r_x \geq |B_2|$  and then  $|B_1| = n - 1$ , which is a contradiction.  $\square$

Since the union of every clique partition of  $G$  and  $\bar{G}$  forms a clique partition for  $K_n$  which is equivalent to a PBD on  $n$  points, the following corollaries are straightforward.

**Corollary 2.2.** *Let  $\mathcal{C}$  be a clique partition of  $K_n$  whose cliques are of size at most  $n - 1$ . Then,  $\sum_{C \in \mathcal{C}} |C| \geq 3n - 3$ .*

**Corollary 2.3.** *For every graph  $G$  on  $n$  vertices except the empty and complete graph, we have*

$$\text{scp}(G) + \text{scp}(\bar{G}) \geq 3n - 3,$$

and equality holds if and only if  $G$  or  $\bar{G}$  contains a clique of size  $n - 1$ .

In the same vein, one can prove the following theorem which states a lower bound on the maximum number of appearances of the points in a PBD.

**Theorem 2.4.** *Let  $(P, \mathcal{B})$  be a nontrivial PBD with  $n$  points, and for every  $x \in P$ , let  $r_x$  be the number of blocks containing  $x$ . Then, we have*

$$\max_{x \in P} r_x \geq \frac{1 + \sqrt{4n - 3}}{2}, \tag{3}$$

and equality holds if and only if  $(P, \mathcal{B})$  is a projective plane or near-pencil.

**Proof.** Let  $(P, \mathcal{B})$  be a nontrivial PBD with  $n$  points and define  $r = \max_{x \in P} r_x$ . Fix a point  $x \in P$  and let  $\mathcal{B}_x \subset \mathcal{B}$  be the set of blocks containing  $x$ . The family of sets  $\{B \setminus \{x\} : B \in \mathcal{B}_x\}$  is a partition of the set  $P \setminus \{x\}$ . Thus,

$$n - 1 = \sum_{B \in \mathcal{B}_x} (|B| - 1) \leq r_x (\max_{B \in \mathcal{B}_x} |B| - 1). \tag{4}$$

Therefore, there exists some block  $B_0$  containing  $x$ , where  $r_x(|B_0| - 1) \geq n - 1$ . Now, let  $y$  be a point not in  $B_0$ . By a note within the proof of [Theorem 2.1](#), we have  $r_y \geq |B_0|$  and then

$$r(r - 1) \geq r_x(r_y - 1) \geq r_x(|B_0| - 1) \geq n - 1. \tag{5}$$

This yields the inequality.

Now, assume that equality holds in (3). Then, we have equalities in (4) and (5). Thus, all valencies  $r_x$  are equal and all blocks have the same size, say  $k$ , which shows that  $(P, \mathcal{B})$  is an  $(n, k, 1)$ -design. Also by (5), we have  $r = k$ , i.e.  $(P, \mathcal{B})$  is a symmetric design.  $\square$

Although the given bound in (1) is sharp, it can be improved if the PBD avoids blocks of large sizes. The following theorem, as an improvement of [Theorem 2.1](#), provides some lower bounds on the sum of block sizes, when there are some constraints on the size of a block.

**Theorem 2.5.** *If  $(P, \mathcal{B})$  is a PBD with  $n$  points where  $\tau$  is the maximum size of blocks in  $\mathcal{B}$ , then*

$$\sum_{B \in \mathcal{B}} |B| \geq \frac{n(n - 1)}{\tau - 1}. \tag{6}$$

Also if there is a block of size  $k$ , then

$$\sum_{B \in \mathcal{B}} |B| \geq (n + 1)k - \frac{k^2(k - 1)}{n - 1}, \tag{7}$$

and

$$\sum_{B \in \mathcal{B}} |B| \geq k - \frac{(n - k)(n - 5k - 1)}{2}. \tag{8}$$

Moreover, if  $k \geq n/2$ , then there exists a PBD on  $n$  points with a block of size  $k$ , for which equality holds in (8).

**Proof.** For every  $x \in P$ , let  $r_x$  be the number of blocks containing  $x$ . By inequality (4), we have

$$\sum_{B \in \mathcal{B}} |B| = \sum_{x \in P} r_x \geq \sum_{x \in P} \frac{n - 1}{\tau - 1} = \frac{n(n - 1)}{\tau - 1}.$$

In order to prove (7), let  $B_0 \in \mathcal{B}$  and  $|B_0| = k$ . Define,

$$\tilde{\mathcal{B}} = \{B \setminus B_0 : B \in \mathcal{B}, B \cap B_0 \neq \emptyset\}.$$

We have

$$\sum_{B \in \mathcal{B}} |B| = k(n - k).$$

Now, consider the following set:

$$S = \{(x, y) : x \neq y, x, y \in B, B \in \tilde{\mathcal{B}}\}.$$

We have

$$|S| = \sum_{B \in \tilde{\mathcal{B}}} |B|(|B| - 1) \geq \frac{1}{|\tilde{\mathcal{B}}|} \left( \sum_{B \in \tilde{\mathcal{B}}} |B| \right)^2 - \sum_{B \in \tilde{\mathcal{B}}} |B| = \frac{1}{|\tilde{\mathcal{B}}|} k^2(n - k)^2 - k(n - k). \tag{9}$$

On the other hand,  $S \subseteq \{(x, y) : x, y \in P \setminus B_0\}$ . Thus,

$$|S| \leq (n - k)(n - k - 1). \tag{10}$$

Inequalities (9) and (10) yield

$$|\tilde{\mathcal{B}}| \geq \frac{k^2(n - k)}{n - 1}.$$

Finally,

$$\sum_{B \in \mathcal{B}} |B| \geq |B_0| + \sum_{B \in \tilde{\mathcal{B}}} (|B| + 1) \geq k + k(n - k) + \frac{k^2(n - k)}{n - 1}.$$

Thus, we conclude

$$\sum_{B \in \mathcal{B}} |B| \geq (n + 1)k - \frac{k^2(k - 1)}{n - 1}.$$

To prove Inequality (8), let  $B_0 \in \mathcal{B}$  and  $|B_0| = k$  and assume that  $\mathcal{B}$  has  $u$  blocks of size 2 intersecting  $B_0$ . Define,

$$\hat{\mathcal{B}} = \{B \setminus B_0 : B \in \mathcal{B}, B \cap B_0 \neq \emptyset, |B| \geq 3\}.$$

Thus,

$$\binom{n - k}{2} \geq \sum_{B \in \hat{\mathcal{B}}} \binom{|B|}{2} \geq \sum_{B \in \hat{\mathcal{B}}} (|B| - 1).$$

Also,

$$k(n - k) = u + \sum_{B \in \hat{\mathcal{B}}} |B|.$$

Hence,

$$\begin{aligned} \sum_{B \in \mathcal{B}} |B| &\geq |B_0| + 2u + \sum_{B \in \hat{\mathcal{B}}} (|B| + 1) = k + 2k(n - k) - \sum_{B \in \hat{\mathcal{B}}} (|B| - 1) \\ &\geq k + 2k(n - k) - \binom{n - k}{2}. \end{aligned}$$

Now, assume that  $k \geq n/2$  and  $B_0 = \{x_1, \dots, x_k\}$ . We provide a PBD with a block  $B_0$  for which equality holds in (8). Consider a proper edge colouring of  $K_{n-k}$  by  $n - k$  colours and let  $C_1, \dots, C_{n-k}$  be colour classes. Each  $C_i$  is a collection of subsets of size 2. For every  $i, 1 \leq i \leq n - k$ , add  $x_i$  to each member of  $C_i$ . Now, we have exactly  $(n - k)(n - k - 1)/2$  blocks of size 3. By adding missing pairs as blocks of size 2, we get a PBD  $(P, \mathcal{B})$  on  $n$  points, with blocks of size 2 and 3 and a block of size  $k$ . In fact, each block of size 3 contains two pairs from the set  $\{(x, y) : x \in B_0, y \notin B_0\}$ . Hence,

$$\begin{aligned} \sum_{B \in \mathcal{B}} |B| &= k + \frac{3(n - k)(n - k - 1)}{2} + 2(k(n - k) - (n - k)(n - k - 1)) \\ &= k - \frac{(n - k)(n - 5k - 1)}{2}. \quad \square \end{aligned}$$

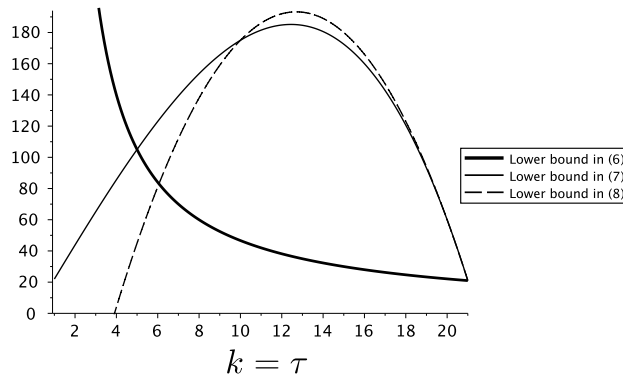


Fig. 1. Diagram of the lower bounds in (6)–(8) for  $n = 21$ .

**Remark 2.6.** Let  $(P, \mathcal{B})$  be a PBD with  $n$  points where  $\tau$  is the maximum size of blocks in  $\mathcal{B}$ . It is easy to check that among the lower bounds (6)–(8), if  $1 \leq \tau \leq (\sqrt{4n - 3} + 1)/2$ , then (6) is the best one, if  $(\sqrt{4n - 3} + 1)/2 \leq \tau \leq (n - 1)/2$ , then (7) is the best one and if  $(n - 1)/2 \leq \tau \leq n - 1$ , then (8) is the best one. The diagram of the lower bounds in terms of  $\tau$  are depicted in Fig. 1 for  $n = 21$ .

Now, we apply Theorem 2.5 to improve the bound in (1), whenever the PBD does not contain large blocks.

**Theorem 2.7.** Let  $n \geq 10$  and  $(P, \mathcal{B})$  be a PBD on  $n$  points and assume that  $\mathcal{B}$  contains no block of size larger than  $n - \frac{1}{2}(\sqrt{n} + 1)$ . Then, we have

$$\sum_{B \in \mathcal{B}} |B| \geq n(\lfloor \sqrt{n} \rfloor + 1) - 1.$$

Also, the bound is tight in the sense that equality occurs for infinitely many  $n$ .

**Proof.** Let  $\tau$  be the maximum size of the blocks in  $\mathcal{B}$ . If  $\tau \leq \sqrt{n}$ , then by (6),

$$\sum_{B \in \mathcal{B}} |B| \geq \frac{n(n - 1)}{\tau - 1} \geq \frac{n(n - 1)}{\sqrt{n} - 1} \geq n(\sqrt{n} + 1).$$

Now, suppose that  $\tau \geq \lfloor \sqrt{n} \rfloor + 1$ . Then,  $\mathcal{B}$  contains a block of size larger than or equal to  $\lfloor \sqrt{n} \rfloor + 1$ . First assume that  $\mathcal{B}$  contains a block of size  $k$ , where  $\lfloor \sqrt{n} \rfloor + 1 \leq k \leq \frac{n}{2}$ . Then, by (7),

$$\sum_{B \in \mathcal{B}} |B| \geq (n + 1)k - \frac{k^2(k - 1)}{n - 1}.$$

The right hand side of the above inequality as a function of  $k$  takes its minimum on the interval  $[\lfloor \sqrt{n} \rfloor + 1, \frac{n}{2}]$  at  $\lfloor \sqrt{n} \rfloor + 1$ . Thus,

$$\begin{aligned} \sum_{B \in \mathcal{B}} |B| &\geq (n + 1)(\lfloor \sqrt{n} \rfloor + 1) - \frac{(\lfloor \sqrt{n} \rfloor + 1)^2 \lfloor \sqrt{n} \rfloor}{n - 1} \\ &\geq n(\lfloor \sqrt{n} \rfloor + 1) + (\lfloor \sqrt{n} \rfloor + 1) \left(1 - \frac{(\sqrt{n} + 1)\sqrt{n}}{n - 1}\right) \\ &= n(\lfloor \sqrt{n} \rfloor + 1) - \frac{\lfloor \sqrt{n} \rfloor + 1}{\sqrt{n} - 1} \\ &> n(\lfloor \sqrt{n} \rfloor + 1) - 2. \end{aligned}$$

The last inequality holds, since  $n \geq 10$ . Finally, assume that  $\mathcal{B}$  contains a block of size  $k$ , where  $\frac{n}{2} < k \leq n - \frac{1}{2}(\sqrt{n} + 1)$ . Then, by (8)

$$\sum_{B \in \mathcal{B}} |B| \geq k - \frac{(n - k)(n - 5k - 1)}{2}.$$

Again, the right hand side of the above inequality as a function of  $k$  takes its minimum on the interval  $[\frac{n}{2}, n - \frac{1}{2}(\sqrt{n} + 1)]$  at  $n - \frac{1}{2}(\sqrt{n} + 1)$ . Hence,

$$\begin{aligned} \sum_{B \in \mathcal{B}} |B| &\geq n - \frac{1}{2}(\sqrt{n} + 1) - \frac{(\sqrt{n} + 1)(-4n + \frac{5}{2}(\sqrt{n} + 1) - 1)}{4} \\ &= n(\sqrt{n} + 1) + \frac{3n - 7}{8} - \frac{3}{2}\sqrt{n} \\ &> n(\sqrt{n} + 1) - 2, \end{aligned}$$

where the last inequality is because  $n \geq 10$ . This completes the proof.

Finally, in order to prove tightness of the bound, let  $q$  be a prime power and  $(P, \mathcal{B})$  be an affine plane of order  $q$ . Suppose that  $\{B_1, \dots, B_q\}$  is a parallel class. Add a single new point to all the blocks  $B_1, \dots, B_q$ . The new PBD has  $n = q^2 + 1$  points,  $q^2$  blocks of size  $q$  and  $q$  blocks of size  $q + 1$ . Hence, the sum of its block sizes is

$$q^3 + q^2 + q = (q^2 + 1)(q + 1) - 1 = n(\lfloor \sqrt{n} \rfloor + 1) - 1. \quad \square$$

### 3. Sigma clique partition of complement of graphs

Given a graph  $G$  and its subgraph  $H$ , the complement of  $H$  in  $G$  denoted by  $G - H$  is obtained from  $G$  by removing all edges (but no vertices) of  $H$ . If  $H$  is a graph on  $n$  vertices, then  $K_n - H$  is called the complement of  $H$  and is denoted by  $\bar{H}$ .

In this section, applying the results of Section 2, we are going to determine the asymptotic behaviour of the sigma clique partition number of the graph  $K_n - K_m$ , when  $m$  is a function of  $n$ , as well as the Cocktail party graph, the complement of path and cycle on  $n$  vertices.

The clique partition number of the graph  $K_n - K_m$ , for  $m \leq n$ , has been studied by several authors. In order to notice the hardness of determining the exact value of  $\text{cp}(K_n - K_m)$ , note that if we could show that  $\text{cp}(K_{111} - K_{11}) \geq 111$ , then we could determine whether there exists a projective plane of order 10 [8]. Wallis in [15], proved that  $\text{cp}(K_n - G) \sim n$ , if  $G$  has  $o(\sqrt{n})$  vertices. Also, Erdős et al. in [4] showed that  $\text{cp}(K_n - K_m) \sim m^2$ , if  $\sqrt{n} < m < n$  and  $m = o(n)$ . Moreover, if  $m = cn$  and  $1/2 \leq c \leq 1$ , then Pullman et al. in [9] proved that  $\text{cp}(K_n - K_m) = 1/2(n - m)(3m - n - 1)$ .

In the following theorem, we present upper and lower bounds for  $\text{scp}(K_n - K_m)$  and then we improve these bounds in order to determine asymptotic behaviour of  $\text{scp}(K_n - K_m)$ .

**Theorem 3.1.** For every  $m, n, 1 \leq m \leq n$ , we have

$$mn - \frac{m^2(m - 1)}{n - 1} \leq \text{scp}(K_n - K_m) \leq (2m - 1)(n - m) + 1. \tag{11}$$

**Proof.** Adding the clique  $K_m$  to every clique partition of  $K_n - K_m$  forms a PBD on  $n$  points. Thus, the lower bound is obtained from Inequality (7). For the upper bound, let  $V(K_n) = \{x_1, \dots, x_n\}$  and  $V(K_m) = \{x_{n-m+1}, \dots, x_n\}$ . Note that the clique  $\{x_1, \dots, x_{n-m+1}\}$  along with  $(m - 1)(n - m)$  remaining edges form a clique partition of  $K_n - K_m$ . Hence,  $\text{scp}(K_n - K_m) \leq (n - m + 1) + 2(m - 1)(n - m). \quad \square$

In the following theorem, for  $m \leq \frac{\sqrt{n}}{2}$ , we improve the lower bound in (11).

**Theorem 3.2.** If  $m \leq \frac{\sqrt{n}}{2}$ , then

$$(2m - 1)n - O(m^2) \leq \text{scp}(K_n - K_m) \leq (2m - 1)n - \Omega(m^2).$$

**Proof.** The upper bound holds by (11). For the lower bound, consider an arbitrary clique partition of  $K_n - K_m$ , say  $\mathcal{C}$ , and add the clique  $K_m$  to obtain a PBD  $(P, \mathcal{B})$  with  $n$  points. Let  $\tau$  be the size of maximum block in  $\mathcal{B}$ . It is clear that  $m \leq \tau \leq n - m + 1$ . We give the lower bound in the following cases. First note that since  $m \leq \sqrt{n}/2$ , we have  $(2m - 1)^2 \leq n - 1$ .

If  $\tau \leq \frac{n-1}{2m-1}$ , then by (6), we have

$$\sum_{C \in \mathcal{C}} |C| \geq (2m - 1)n - m.$$

If  $\frac{n-1}{2m-1} \leq \tau \leq n/2$ , then  $2m - 1 \leq \tau \leq n/2$ , and by (7),

$$\sum_{C \in \mathcal{C}} |C| \geq (n + 1)\tau - \frac{\tau^2(\tau - 1)}{n - 1} - m.$$

The right hand side of this inequality is increasing as a function of  $\tau$  within the interval  $[2m - 1, n/2]$ . Hence,

$$\sum_{C \in \mathcal{C}} |C| \geq (n + 1)(2m - 1) - \frac{(2m - 1)^2(2m - 2)}{n - 1} - m \geq (2m - 1)n - m.$$

Finally, if  $n/2 \leq \tau \leq n - m + 1$ , then, by (8),

$$\sum_{C \in \mathcal{C}} |C| \geq \tau - \frac{(n - \tau)(n - 5\tau - 1)}{2} - m.$$

Consider the right hand side of this inequality as a function of  $\tau$  within the interval  $[n/2, n - m + 1]$ . It attains its minimum at  $\tau = n - m + 1$ . Hence,

$$\sum_{C \in \mathcal{C}} |C| \geq n - 2m + 1 - \frac{(m - 1)(5m - 4n - 6)}{2} = (2m - 1)n - O(m^2). \quad \square$$

The following lemma is a direct application of Theorem 2.7 that gives a lower bound for  $\text{scp}(K_n - H)$  in terms of  $\text{scp}(H)$ . Here,  $\omega(G)$  stands for the clique number of graph  $G$ .

**Lemma 3.3.** *Let  $H$  be a graph on  $m$  vertices. If  $\omega(H) \leq n - \frac{1}{2}(\sqrt{n} + 1)$  and  $\omega(\bar{H}) \leq m - \frac{1}{2}(\sqrt{n} + 1)$ , then*

$$\text{scp}(K_n - H) + \text{scp}(H) \geq n(\lfloor \sqrt{n} \rfloor + 1) - 1.$$

**Proof.** Assume that  $\mathcal{C}$  is an arbitrary clique partition for  $K_n - H$  and  $\tau$  is the size of largest clique in  $\mathcal{C}$ . Then, we have  $\tau \leq n - m + \omega(\bar{H}) \leq n - m + m - \frac{1}{2}(\sqrt{n} + 1) = n - \frac{1}{2}(\sqrt{n} + 1)$ . Also, by assumption,  $H$  has no clique of size larger than  $n - \frac{1}{2}(\sqrt{n} + 1)$ . Moreover, every clique partition of  $H$  along with every clique partition for  $K_n - H$  form a PBD. Hence, by Theorem 2.7,  $\text{scp}(K_n - H) + \text{scp}(H) \geq n(\lfloor \sqrt{n} \rfloor + 1) - 1$ .  $\square$

We need the following lemma in order to improve the upper bound in (11) whenever  $\sqrt{n} \leq m \leq n$ . The idea is similar to [15] that uses a projective plane of appropriate size to give a clique partition for the graph  $K_n - K_m$ .

**Lemma 3.4.** *Let  $H$  be a graph on  $m$  vertices. If there exists a  $(v, k, 1)$ -design, such that  $k \geq m$  and  $v - k \geq n - m$ , then  $\text{scp}(K_n - H) \leq n(v - 1)/(k - 1) + \text{scp}(\bar{H}) - m$ .*

**Proof.** Let  $(P, \mathcal{B})$  be a  $(v, k, 1)$ -design. Select a block  $B_1 \in \mathcal{B}$  and delete  $k - m$  points from it. Also, delete  $v - k - (n - m)$  points not in  $B_1$ . Now, consider the remaining points as vertices of  $K_n - H$  and each block except  $B_1$  as a clique in  $K_n - H$ . Thus,  $\text{scp}(K_n - H) \leq r(n - m) + (r - 1)m + \text{scp}(\bar{H}) = nr - m + \text{scp}(\bar{H})$ , where  $r = (v - 1)/(k - 1)$  is the number of blocks containing a single point.  $\square$

We are going to apply Lemma 3.4 to projective planes and provide a clique covering for  $K_n - H$ . Since the existence of projective planes of order  $q$  is only known for prime powers, we need the following well-known theorem to approximate an integer by a prime.

**Theorem A ([1]).** *There exists a constant  $x_0$  such that for every integer  $x > x_0$ , the interval  $\hat{a} [x, x + x^{.525}]$  contains prime numbers.*

The following two theorems determine asymptotic behaviour of  $\text{scp}(K_n - K_m)$ , when  $\sqrt{n}/2 \leq m$  and  $m = o(n)$ .

**Theorem 3.5.** *Let  $H$  be a graph on  $m$  vertices. If  $\frac{\sqrt{n}}{2} \leq m \leq \sqrt{n}$ , then  $\text{scp}(K_n - H) \leq (1 + o(1))n\sqrt{n}$ . Moreover,  $\text{scp}(K_n - K_m) = (1 + o(1))n\sqrt{n}$ .*

**Proof.** Let  $q$  be the smallest prime power greater than or equal to  $\sqrt{n}$ . By Theorem A, we have  $\sqrt{n} \leq q \leq \sqrt{n} + \sqrt{n}^{.525}$ . Thus,  $q \geq \sqrt{n} > m - 1$  and  $q^2 \geq n \geq n - m$ . Since there exists a projective plane of order  $q$ , by Lemma 3.4, we have

$$\text{scp}(K_n - H) \leq n(q + 1) - m + \text{scp}(\bar{H}) \leq n(q + 1) - m + \frac{m^2}{2},$$

where the last inequality is due to the fact that for every graph  $G$  on  $n$  vertices,  $\text{scp}(G) \leq \frac{n^2}{2}$  [2,6]. Hence,

$$\text{scp}(K_n - H) \leq n^{1.5} + n^{1.2625} + 1.5n = (1 + o(1))n\sqrt{n}.$$

Also, by Lemma 3.3,  $\text{scp}(K_n - K_m) \geq (1 + o(1))n\sqrt{n}$ .  $\square$

In the following theorem, for  $\sqrt{n} \leq m \leq n$ , we improve the upper bound in (11).

**Theorem 3.6.** *If  $\sqrt{n} \leq m \leq n$ , then  $\text{scp}(K_n - K_m) \leq (1 + o(1)) nm$ . Also, if in addition  $m = o(n)$ , then  $\text{scp}(K_n - K_m) = (1 + o(1)) nm$ .*

**Proof.** Let  $\sqrt{n} \leq m \leq n$ , and also let  $q$  be the smallest prime power which is greater than or equal to  $m$ . By Lemma A,  $m \leq q \leq m + m^{525}$ . Thus,  $q = (1 + o(1)) m$ . Since there exists a projective plane of order  $q$ , by Lemma 3.4, we have

$$\text{scp}(K_n - K_m) \leq n(q + 1) - m = (1 + o(1)) nm.$$

On the other hand, when  $m = o(n)$ , Inequality (11) yields  $\text{scp}(K_n - K_m) \geq (1 + o(1)) nm$ , which completes the proof.  $\square$

Theorems 3.2, 3.5 and 3.6 make clear asymptotic behaviour of  $K_n - K_m$  in case  $m = o(n)$ .

**Corollary 3.7.** *Let  $m$  be a function of  $n$ . Then*

- (i) *If  $m \leq \frac{\sqrt{n}}{2}$ , then  $\text{scp}(K_n - K_m) \sim (2m - 1)n$ .*
- (ii) *If  $\frac{\sqrt{n}}{2} \leq m \leq \sqrt{n}$ , then  $\text{scp}(K_n - K_m) \sim n\sqrt{n}$ .*
- (iii) *If  $m \geq \sqrt{n}$  and  $m = o(n)$ , then  $\text{scp}(K_n - K_m) \sim mn$ .*

In what follows, we consider the case  $m = cn$ , where  $c$  is a constant. First note that if  $1/2 \leq c \leq 1$ , then by Theorem 2.5, since  $m \geq n/2$ , there exists a PBD on  $n$  points with a block of size  $m$ , for which equality holds in (8). Hence, we have  $\text{scp}(K_n - K_m) = \frac{(1-c)}{2} \left( (5c - 1)n^2 + n \right)$ . In order to deal with the case  $c < 1/2$ , we need the following well-known existence theorem of resolvable designs.

**Theorem B ([10]).** *Given any integer  $k \geq 2$ , there exists an integer  $v_0(k)$  such that for every  $v \geq v_0(k)$ , a  $(v, k, 1)$ -resolvable design exists if and only if  $v \equiv 0 \pmod k$  and  $v - 1 \equiv 0 \pmod{k-1}$ .*

**Theorem 3.8.** *Let  $0 < c < 1/2$  be a constant and  $m, n$  be some integers satisfying  $m = cn$ . Then*

$$c(1 - c^2)n^2 + \Omega(n) \leq \text{scp}(K_n - K_m) \leq \frac{(1 - c)(\lfloor 1/c \rfloor - c)}{\lfloor 1/c \rfloor (\lfloor 1/c \rfloor - 1)} n^2 + O(n). \tag{12}$$

*In particular, if  $1/c$  is integer, then  $\text{scp}(K_n - K_m) \sim c(1 - c^2)n^2$ .*

**Proof.** The lower bound in (12) is obtained from the lower bound in (11). For the upper bound, let  $k = \lfloor 1/c \rfloor$  and define  $v$  as the smallest number greater than or equal to  $n - m$  which satisfies the conditions of Theorem B. Without loss of generality we can assume that  $n$  is sufficiently large, i.e.  $n \geq v_0(k)$ . Thus, we have  $v \leq n - m + k^2$  and by Theorem B, there exists a  $(v, k, 1)$ -resolvable design. Remove  $v - n + m$  points from such a design to obtain a PBD  $(P, \mathcal{B})$  on  $n - m$  points whose blocks are partitioned into  $t = (v - 1)/(k - 1)$  parallel classes. First, we show that  $m \leq t$ . Note that

$$m - t = cn - \frac{v - 1}{k - 1} \leq cn - \frac{(1 - c)n - 1}{k - 1} = \frac{(ck - 1)n + 1}{k - 1}.$$

If  $k = 2$ , then  $ck < 1$  and  $m - t < 1$ . Also, if  $k > 2$ , then  $ck \leq 1$  and thus  $m - t \leq 1/(k - 1) < 1$ . Therefore,  $m \leq t$ .

Now, let  $v_1, \dots, v_m$  be  $m$  new points and for every  $i, 1 \leq i \leq m$ , add point  $v_i$  to all blocks of  $i$ th parallel class. These blocks form a clique partition  $\mathcal{C}$  for  $K_n - K_m$ , where

$$\sum_{C \in \mathcal{C}} |C| \leq \sum_{B \in \mathcal{B}} |B| + \frac{v}{k} m = (n - m) \frac{v - 1}{k - 1} + \frac{mv}{k}.$$

Hence,

$$\begin{aligned} \sum_{C \in \mathcal{C}} |C| &\leq \left( \frac{(1 - c)^2}{k - 1} + \frac{c(1 - c)}{k} \right) n^2 + O(n) \\ &= \frac{(1 - c)(k - c)}{k(k - 1)} n^2 + O(n). \quad \square \end{aligned}$$

We close the paper by proving that if  $G$  is the Cocktail party graph, complement of path or cycle on  $n$  vertices, then  $\text{scp}(G) \sim n\sqrt{n}$ . Given an even positive integer  $n$ , the Cocktail party graph  $T_n$  is obtained from the complete graph  $K_n$  by removing a perfect matching. If  $n$  is an odd positive integer, then  $T_n$  is obtained from  $T_{n+1}$  by removing a single vertex. In [18,5] it is proved that if  $G$  is the Cocktail party graph or complement of a path or a cycle on  $n$  vertices, then  $n \leq \text{cp}(G) \leq (1 + o(1)) n \log \log n$  and it is conjectured that for such a graph,  $\text{cp}(G) \sim n$ .

**Theorem 3.9.** *Let  $P_n$  be the path on  $n$  vertices. Then,  $\text{scp}(\overline{P_n}) \sim n^{3/2}$ .*



**Proof.** By Lemma 3.3, we have  $\text{scp}(\overline{P_n}) \geq n^{3/2} - 2n - 3$ . Now, by induction on  $n$ , we prove that there exists a constant  $c$ , such that  $\text{scp}(P_n) \leq n^{3/2} + c n^{13/10}$ . The idea is similar to [18].

Let  $d = \lfloor \sqrt{n} \rfloor$ ,  $e = \lceil \frac{n}{d} \rceil$  and  $q$  be the smallest prime greater than  $\sqrt{n}$ . By Lemma A,  $q \leq \sqrt{n} + n^{3/10}$ . In an affine plane of order  $q$ , choose a parallel class, say  $C_1$ , and delete  $q - d$  blocks in  $C_1$ . Then, remove  $q - e$  blocks in a second parallel class, say  $C_2$ . The collection of remaining blocks is a PBD on  $de$  points.

Assume that  $a_{ij}$  is the intersection point of block  $i$  of  $C_1$  and block  $j$  of  $C_2$  in the remaining PBD. Thus,  $C_1 = \{a_{i1}, a_{i2}, \dots, a_{ie}\} : 1 \leq i \leq d$  and  $C_2 = \{a_{1j}, a_{2j}, \dots, a_{dj}\} : 1 \leq j \leq e$ . Now, replace each block in  $C_2$  by members of a clique partition of a copy of  $\overline{P_d}$  on the same vertices. Also, replace each of the blocks  $\{a_{11}, a_{12}, \dots, a_{1e}\}$  and  $\{a_{d1}, a_{d2}, \dots, a_{de}\}$  in  $C_1$  by members of a clique partition of a copy of  $\overline{P_e}$  on the same vertices. In fact, we have replaced  $e + 2$  blocks by some clique partitions of complement of paths and  $q(q + 1) - (e + 2)$  blocks are left unchanged. It can be seen that the resulting collection, is a partition of all edges of  $\overline{P_{de}}$  except  $(e - 1)$  edges namely  $a_{11}a_{12}, a_{d2}a_{d3}, a_{13}a_{14}, a_{d4}a_{d5}, \dots$ . Adding these  $e - 1$  edges to this collection comprise a clique partition for  $\overline{P_{de}}$ . Hence,

$$\text{scp}(\overline{P_n}) \leq \text{scp}(\overline{P_{de}}) \leq qde - 2e + e \text{scp}(\overline{P_d}) + 2 \text{scp}(\overline{P_e}) + 2(e - 1).$$

Since  $e \leq d + 3$ ,  $\text{scp}(\overline{P_e}) \leq \text{scp}(\overline{P_d}) + 6d$ . Thus,

$$\text{scp}(\overline{P_n}) \leq qd(d + 3) + (d + 5) \text{scp}(\overline{P_d}) + 12d.$$

Therefore, by the induction hypothesis, we have

$$\begin{aligned} \text{scp}(\overline{P_n}) &\leq (\sqrt{n} + n^{3/10})\sqrt{n}(\sqrt{n} + 3) + (\sqrt{n} + 5)(n^{3/4} + c n^{13/20}) + 12\sqrt{n} \\ &\leq n^{3/2} + (1 + o(1)) n^{13/10} \\ &\leq n^{3/2} + c n^{13/10}. \quad \square \end{aligned}$$

Asymptotic behaviour of  $\text{scp}(T_n)$  and  $\text{scp}(\overline{C_n})$  can be easily determined using  $\text{scp}(\overline{P_n})$ , as follows.

**Corollary 3.10.** Let  $T_n$  and  $C_n$  be the Cocktail party graph and cycle on  $n$  vertices, respectively. Then,  $\text{scp}(\overline{C_n}) \sim n^{3/2}$  and  $\text{scp}(T_n) \sim n^{3/2}$ .

**Proof.** By Lemma 3.3,  $\text{scp}(\overline{C_n}) \geq \frac{n^{3/2}}{2} - 2n - 1$  and  $\text{scp}(T_n) \geq n^{3/2} - n - 1$ .

Note that  $\overline{P_n}$  is obtained from  $\overline{C_{n+1}}$  by removing an arbitrary vertex  $v$ . Adding  $n - 2$  edges incident with  $v$  to any clique partition of  $\overline{P_n}$  forms a clique partition for  $\overline{C_{n+1}}$ . Therefore,  $\text{scp}(\overline{C_{n+1}}) \leq \text{scp}(\overline{P_n}) + 2(n - 1)$ . Also, adding at most  $n/2$  edges to any clique partition for  $\overline{P_n}$  forms a clique partition for  $T_n$ . Thus,  $\text{scp}(T_n) \leq \text{scp}(\overline{P_n}) + 2\frac{n}{2}$ . Hence, by Theorem 3.9,  $\text{scp}(\overline{C_n}), \text{scp}(T_n) \leq (1 + o(1)) n^{3/2}$ .  $\square$

## References

- [1] R.C. Baker, G. Harman, J. Pintz, The difference between consecutive primes. II, Proc. Lond. Math. Soc. (3) 83 (3) (2001) 532–562.
- [2] F.R.K. Chung, On the decomposition of graphs, SIAM J. Algebr. Discrete Methods 2 (1) (1981) 1–12.
- [3] N.G. de Bruijn, P. Erdős, On a combinatorial problem, Nederl. Akad. Wetensch., Proc. 51 (1948) 1277–1279. = Indagationes Math. 10, 421–423.
- [4] P. Erdős, R. Faudree, E.T. Ordman, Clique partitions and clique coverings. In Proceedings of the First Japan Conference on Graph Theory and Applications (Hakone, 1986), volume 72, pages 93–101, 1988.
- [5] D.A. Gregory, S. McGuinness, W. Wallis, Clique partitions of the cocktail party graph, Discrete Math. 59 (3) (1986) 267–273.
- [6] E. Györi, A.V. Kostochka, On a problem of G. O. H. Katona and T. Tarján, Acta Math. Acad. Sci. Hung. 34 (3–4) (1979) 321–327.
- [7] J. Kahn, Proof of a conjecture of Katona and Tarján, Period. Math. Hung. 12 (1) (1981) 81–82.
- [8] N.J. Pullman, Clique coverings of graphs—a survey, in: Combinatorial Mathematics, X (Adelaide, 1982), in: Lecture Notes in Math., vol. 1036, Springer, Berlin, 1983, pp. 72–85.
- [9] N.J. Pullman, A. Donald, Clique coverings of graphs. II. Complements of cliques, Util. Math. 19 (1981) 207–213.
- [10] D.K. Ray-Chaudhuri, R.M. Wilson, The existence of resolvable block designs, in: Survey of Combinatorial Theory (Proc. Internat. Sympos., Colorado State Univ., Fort Collins, Colo., 1971), North-Holland, Amsterdam, 1973, pp. 361–375.
- [11] R. Rees, Minimal clique partitions and pairwise balanced designs, Discrete Math. 61 (2–3) (1986) 269–280.
- [12] R. Rees, D.R. Stinson, On the number of blocks in a perfect covering of  $v$  points, Discrete Math. 83 (1) (1990) 81–93.
- [13] R.G. Stanton, A retrospective look at the Erdős-DeBruijn theorem, J. Stat. Plan. Inference 58 (1) (1997) 185–191.
- [14] R.G. Stanton, J.G. Kalbfleisch, The  $\lambda - \mu$  problem:  $\lambda = 1$  and  $\mu = 3$ , in: Proc. Second Chapel Hill Conf. on Combinatorial Mathematics and its Applications Univ. North Carolina, Chapel Hill, N.C., 1970, Univ. North Carolina, Chapel Hill, N.C., 1970, pp. 451–462.
- [15] W.D. Wallis, Asymptotic values of clique partition numbers, Combinatorica 2 (1) (1982) 99–101.
- [16] W.D. Wallis, The clique partition number of the complement of a cycle, in: Cycles in Graphs (Burnaby, B.C., 1982), in: North-Holland Math. Stud., vol. 115, North-Holland, Amsterdam, 1985, pp. 335–344.
- [17] W.D. Wallis, Clique partitions of the complement of a one-factor. In Proceedings of the fourteenth Manitoba conference on numerical mathematics and computing (Winnipeg, Man., 1984), volume 46, pages 317–319, 1985.
- [18] W.D. Wallis, Finite planes and clique partitions, in: Finite Geometries and Combinatorial Designs (Lincoln, NE, 1987), in: Contemp. Math., vol. 111, Amer. Math. Soc. Providence, RI, 1990, pp. 279–285.